

# The pluripotentiality of bilabial consonants:

## The images of softness and cuteness in Japanese and English

### Abstract

The current study experimentally examined whether labial consonants were sound-symbolically associated with the images of softness and cuteness in Japanese and English. The results showed that all the bilabial consonants [p, b, m,  $\phi$ , w] used in Japanese convey such images. In English, the consonants evoking the image of softness were bilabials, but not labiodentals, and those linked to the image of cuteness were unaspirated, low-frequency bilabials. These results demonstrated the pluripotentiality of sound symbolism, meaning that a certain set of linguistic sounds evokes different meanings and images both within a single language and across languages (e.g. Kawahara & Kumagai to appear; Winter et al. 2019). Moreover, under the backcloth that the description of the glide /w/ concerning the place-of-articulation in Japanese and English is not uncontroversial, the current paper indicates—based on the current sound-symbolic experimental results—that the glide /w/ is phonologically labial in each language.

### 1. Introduction

#### 1.1 Sound symbolism of bilabial consonants

In linguistics, it is assumed that an arbitrary relationship exists between sounds and meanings (de Saussure 1916). This enables people to refer to a certain item as one name in one language but to the same item as a different name in another language; for example, the animal that is referred to as *cat* in English is referred to as *neko* in Japanese and *gato* in Spanish. Natural languages also showcase precisely the opposite characterisation—that a linguistic sound or feature evokes particular meanings and images, which is referred to as sound symbolism (Hinton et al. 1994, 2006). A typical example of sound symbolism is the openness of vowels in articulation being sound-symbolically linked with the image of largeness (Newman 1933; Sapir 1929). Subsequent studies have confirmed that the sound-symbolic effect holds for numerous languages (e.g. Berlin 2006; Shinohara & Kawahara 2016; Ultan 1978). Sound symbolism has now become one of the featured research themes in linguistics, psychology, and cognitive science (for overview papers, see, e.g. Akita 2015; Hinton et al. 1994, 2006; Kawahara 2020; Lockwood & Dingemanse 2015; Nuckolls 1999; Sidhu & Pexman 2018). These overview articles and edited books on sound symbolism show that a variety of research topics have been explored—such as basic vocabulary and interjections (e.g. Blasi et al. 2016; Urban 2011; Wichmann 2010; Winter et al. 2019), human names (e.g. Cutler et al. 1990; Kawahara et al. 2015; Kawahara 2017; Pitcher et al. 2013; Shinohara & Kawahara 2013; Sidhu et al. 2019; Wright et al. 2005), fictional names of characters in TV animation and computer games (e.g., Pokémon character names by Kawahara et al. 2018 et seq.; Kumagai et al. 2020; Sidhu & Pexman 2019; Uno et al. 2020), and brand names (e.g. Bolts et al. 2016; Klink 2000; Lowrey & Shrum 2007; Peterson & Ross 1972; Pogacar et al. 2015; Yorkston & Menon 2004).

Recently, there have been a number of studies on the sound-symbolic effects of bilabial consonants, the sounds produced by touching the upper and lower lips together. In psychology, D’Onofrio (2014) used the *bouba-kiki* paradigm (Köhler 1929) to examine whether phonetic

46 features affect the selection of shapes; the results suggested that labial consonants more likely  
47 match with round shapes than spiky ones. Apart from such visual-auditory, sound-symbolic  
48 associations, recent studies have clarified that, even within a single language, bilabial consonants  
49 can be associated with multiple meanings and images such as baby-ness, cuteness, softness,  
50 innocence, and smallness. Kumagai and Kawahara (2017, 2020) suggest that Japanese speakers  
51 associate bilabial consonants [p, b, m,  $\phi$ , w] with the image of babies.<sup>1</sup> Most baby diaper brands  
52 in Japan contain the bilabial consonants [p, m] (e.g. *muunii*, *meriizu*, *mamiipoko*) (Kawahara  
53 2017). This observation is interesting because bilabial consonants tend to appear in babbling in  
54 the earlier stages of language acquisition (Jakobson 1941, 1968; MacNeilage 1994). Kumagai  
55 and Kawahara (2017, 2020) conducted a forced-choice test that examined whether words that  
56 contain bilabial consonants (e.g. *paraperu*) are more appropriate for baby diaper brands than  
57 those that do not contain any bilabial consonants (e.g. *tarateru*). The study found that names that  
58 contain bilabial consonants are preferred as a baby diaper brand name. Kumagai and Kawahara  
59 (2017, 2020) also conducted a free elicitation task that asked native speakers of Japanese to come  
60 up with brand names for both baby diaper brands and adult cosmetics. The results showed that  
61 bilabial consonants were more likely to appear in baby diaper brand names than in cosmetics  
62 brand names.

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64 Kumagai and Kawahara's study has inspired researchers to analyse sound-symbolic effects of  
65 bilabial consonants; consequently, intriguing findings have been reported by several studies.  
66 Kumagai (2019) indicated a new type of alternation in which [h] becomes [p] in girls' nicknames  
67 in Japanese (e.g. *Haruka* → *Paruru*, an ex-member of AKB48, a young Japanese girls' idol  
68 group). Since the new type occurs even in the word-initial position, it should be distinguished  
69 from the traditional [h]→[p] alternation observed word-internally in Sino-Japanese words (e.g.  
70 *kin* 'gold' + *hatsu* 'hair' → *kin-patsu* 'gold hair'). He also demonstrated via experiments that the  
71 bilabial [p] was the consonant that is most likely ([m] being the second most likely) to express  
72 cuteness.<sup>2</sup> Kawahara (2019) investigated the names of cute girls' fighters who appeared in a  
73 Japanese TV animation named *PreCure*, in which normal girls transformed themselves into cute  
74 fighters called 'PreCure' with new names that tended to possess bilabial consonants (e.g. *Cure*  
75 *Peach*, *Cure Milky*). Further, experiments using imaginary Pokémon characters demonstrated  
76 that, for Japanese speakers, names with bilabial consonants were more appropriate for fairy types,  
77 which look cuter, than normal character types in Japanese (Kawahara & Kumagai 2019b) and  
78 that for English speakers, names with the bilabial [p] were also more appropriate for fairy-type  
79 characters (Kawahara et al. 2020).<sup>3</sup> Kumagai et al. (to appear) examined images of hardness and  
80 softness in the texture of snacks, thereby experimentally demonstrating that, among Japanese  
81 voiceless plosives [p, b, t, d, k, g], the bilabial [p] is more likely to evoke the image of softness  
82 than non-bilabial sounds, like [k, g] (for similar results, see also Akita & McLean to appear).  
83 Hosokawa et al. (2018) and Uno et al. (2020) found that bilabial consonants were more likely to

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<sup>1</sup> Some scholars may point out that the glide [w] should be categorized into the bilabial consonant, as it is a labiovelar (IPA). See section 1.2 for this issue.

<sup>2</sup> Apart from Japanese, there are a number of studies that explore sound-symbolic effects on the image of cuteness. For example, Jang (2018) reported that Korean exhibits tensification to express *Aegyo*, which is the way Korean speakers talk to pets and lovers.

<sup>3</sup> Kawahara et al. (2018) and Kawahara & Kumagai (2019a) are pioneering sound-symbolic research papers on Pokémon names.

84 occur in the English names of non-villains that appear in Disney’s animated films (e.g.  
85 *Pinocchio*, *Mickey*) than in those of villains. Further, the number of bilabial consonants are  
86 negatively correlated with size in Japanese Pokémon names (Shih et al. 2018, 2019), and names  
87 that contain bilabial consonants are less appropriate for post-evolution Pokémon characters than  
88 those that contain coronal consonants in experiments using imaginary Pokémon characters  
89 (Kawahara & Moore to appear). To summarize, what has been clarified in previous studies is that  
90 it is likely that [p] is associated with the image of cuteness in both Japanese and English and that  
91 bilabial consonants can evoke an image of innocence and smallness in English.

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## 94 **1.2 Labiality of [w]: Evidence from sound symbolism**

95 As evident from the above discussion, although there has been an increased interest in  
96 sound-symbolic effects of bilabial consonants, a few studies utilise evidence from  
97 sound-symbolic studies to phonological argumentation (Kumagai & Kawahara 2017, 2020;  
98 Kawahara 2019; Kawahara & Kumagai 2019; see Kawahara 2020 for sound symbolism in  
99 phonology). In line with these studies, the current study addresses the issue of the place of  
100 articulation of glide /w/, based on sound-symbolic evidence. According to the International  
101 Phonetic Association, [w] is described as a labiovelar glide, pronounced with upper and lower  
102 lips touching together and with the back of the tongue moving upward to the velum. Since it  
103 involves the lips, it may not be a problem that [w] is included in the bilabial consonant group.  
104 However, there is no unanimous description of the glide concerning the place of articulation in  
105 introductory textbooks and papers on Japanese and English: the Japanese [w] is described as a  
106 labial (Kubozono 2015; Shibatani 1990), as a velar (Pintér 2015; Tsujimura 2014; Yamaguchi  
107 2007), or as a labiovelar (Labrune 2012);<sup>4</sup> the English glide /w/ is described as a labiovelar (or  
108 labial-velar) glide or approximant (Carr 2020; Collins et al. 2019; Cruttenden 2014; Giegerich  
109 1992; Hammond 1999; Yavas 2016) or as a bilabial approximant (Roach 2009).

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111 A number of scholars have addressed the issue of the glide /w/ concerning the place of  
112 articulation (e.g. Anderson 1976; Gick 2003; Nevins & Chitoran 2008; Ohala & Lorentz 1977),  
113 showing that the phonological behaviour of the glide /w/ varies across languages.<sup>5</sup> Then, is there  
114 evidence to determine the place-of-articulation feature of the glide /w/ in Japanese and English?  
115 Kumagai (2017) examined whether the OCP-labial effect<sup>6</sup> is generalized in a Japanese  
116 morphophonological phenomenon known as *rendaku* (see Vance 1987, 2015; Vance & Irwin  
117 2016 for *rendaku*), suggesting that the glide /w/ is not specified with the place-of-articulation

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<sup>4</sup> Some native speakers of Japanese round their lips slightly when they produce it (Vance 1987).

<sup>5</sup> For example, Karuk shows a /w/-/m/ alternation (e.g. /asiw/ + /-ʃak/ a [ʔásim-ʃak] ‘to close one’s eyes’; cf. [ʔásiw] ‘to sleep’; [sir] ‘to disappear’) (Levi 2008), suggesting that the glide /w/ is specified with the place-of-articulation feature [labial]. Meanwhile, Luganda is an example in which the glide /w/ should be specified with [dorsal] (=velar); it shows a /w/-/g/ alternation (e.g. /-wanga/ → [gg<sup>w</sup>aanga] ‘nation’; Clements 1986; Cole 1967; Kawahara 2007). For another example, there is a language called Fula showing both types of alternation (e.g. Nevins & Chitoran 2008; Paradis 1987).

<sup>6</sup> OCP is an abbreviated form of Obligatory Contour Principle, which prevents identical elements from occurring in a certain domain (e.g. Bye 2011; Leben 1973; McCarthy 1986; Suzuki 1998; Yip 1988).

118 feature [labial] in phonology; this is because, unlike the other labial consonants /p, b, m, φ/, it  
119 does not participate in the OCP-labial effect. Meanwhile, it is observed that an English-speaking  
120 child replaces the cluster /sm, sw, sp/ with the labiodental [f] (e.g. *smell* = [fjell; *Smith* = [f]ith;  
121 *spoon* = [f]oon; Tessier 2016:176), which can be explained, provided that bilabials /m, w, p/  
122 share the place-of-articulation feature [labial] with labiodental [f] and that the labiality is  
123 faithfully preserved between underlying and surface representations (i.e., input and output in  
124 optimality-theoretic terms) (for Optimality Theory, see McCarthy 2002, 2008; Prince &  
125 Smolensky 1993/2004).<sup>7</sup> In addition, as evident below, a number of sound-symbolic studies  
126 have addressed the issue. Kawahara and Kumagai (2019) showed that bilabial consonants, except  
127 for [w], were appropriate for the Pokémon names of fairy characters. Kawahara’s (2019) analysis  
128 of *PreCure* names showed that the glide /w/ was less likely to appear in their names than other  
129 bilabial consonants. Since the glide /w/ shows different distributions from other bilabial  
130 consonants, these two studies may become examples casting doubts on the labiality of the glide  
131 /w/. Meanwhile, Kumagai and Kawahara (2017, 2020) showed that all the bilabial consonants /p,  
132 b, m, φ, w/ are appropriate for baby diaper brand names, suggesting that the glide /w/ should be  
133 categorized into the [labial] group. To summarize, not all sound-symbolic studies reach a  
134 consensus regarding the labiality of the Japanese glide /w/, and, to the best of my knowledge,  
135 there are very few discussions based on sound-symbolic evidence in English.<sup>8</sup>

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### 138 **1.3 Purposes of the current study**

139 The first purpose of the current study is to experimentally examine whether labial consonants are  
140 sound-symbolically associated with the images of softness and cuteness in Japanese and English  
141 (*yawarakai* “soft” and *kawaii* “cute” in Japanese)<sup>9</sup> for the following reasons. First, it remains

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<sup>7</sup> The labiality of the glide /w/ could be discussed in terms of English phonotactics. There are few English words with a sequence of homorganic consonants, such as /pw/, /bw/, /fw/, and /vw/ in the onset position (Giegerich 1992; Harris 1994). Similarly, the final consonant *b* in the sequence *mb* of the English words—for example—*bomb*, *lamb*, and *iamb* is not pronounced. This might be accounted for by the rule that two sequential consonants with the place-of-articulation feature [labial] cannot occur in onset and coda clusters, but not all of the phonotactic restrictions can be explained by the homorganicity of sequential consonants. For example, there are also a few English words with a sequence of *dw* and *gw* (e.g. *dwell*, *dwarf*, *dwindle*, *Gwen*, *guano*) (Hammond 1999). It is impossible to account for the *dw* case in terms of the homorganic articulation of sequential consonants because /d/ is [coronal], while /w/ is not. It is also impossible to offer an account, in terms of the place of articulation, for why there are few words with the consonant cluster /gw/, while English words with a sequence of /kw/ are much more in number (e.g. *quote*, *queen*, *quality*, *quantity*, *question*) because /k/ and /g/ belong to the same category [dorsal].

<sup>8</sup> It should be noted that not all data available to researchers become evidence for the phonology of language speakers (see de Lacy 2009 for a discussion). In this sense, sound-symbolic associations do not always become firm evidence of phonological representations (see Kawahara 2020 for a related discussion), but it is of great importance to collect linguistic data related to the current issue and to continue the discussion.

<sup>9</sup> As will mentioned in section 2, the current study asked participants to judge whether given names sound cute or soft, without providing any definition of these words *soft/yawarakai* and

142 unclear whether *all* bilabial consonants are associated with the image of softness in Japanese.  
143 The bilabial [p] was the most likely consonant to evoke the image of softness in Japanese, as lips  
144 are softer than other articulatory organs such as the alveolar ridge and the velum in the oral  
145 cavity (Kumagai et al. to appear). If the sound-symbolic association of bilabial [p] results from  
146 the sensation that lips are tied with an image of softness, it is predicted that *all* bilabial  
147 consonants are associated with the image of softness not only in a single language but also in  
148 others, such as English.<sup>10</sup> Second, although bilabial [p, m] are likely to express cuteness in  
149 Japanese (Kumagai 2019), it is underexplored whether *all* bilabial consonants are associated with  
150 the image of cuteness in that language. Where does the image of cuteness originate from? One  
151 possibility is that it results from a (cute) pouting gesture that appears when humans articulate  
152 bilabial consonants. The pouting gesture is known as part of the photographic pose called a duck  
153 face. A study on duck faces for Chinese speakers (Qiu et al. 2015) showed that people making  
154 duck faces are more likely to be neurotic; however, on the other hand, online articles showed that  
155 duck faces appear to be sexually enticing,<sup>11</sup> and that the Japanese duck face, called *ahiru-guti* ‘a  
156 duck mouth’ or *tyun-gao* ‘face like a bird’, is also likely to be an appealing expression.<sup>12, 13</sup> If  
157 the pouting gesture evokes the image of cuteness, then it is not difficult to imagine that *all*  
158 bilabial consonants evoke such an image in Japanese, let alone other languages. Third, even if it  
159 is found that bilabial consonants are associated with the images of softness and cuteness in  
160 Japanese, it remains to be determined whether the sound-symbolic associations are conveyed by  
161 *labial* consonants, including labiodental consonants and bilabial consonants, as there are not any  
162 non-bilabial consonants such as labiodentals in the Japanese language. Relatedly, although the  
163 *p*-initial names were more appropriate for fairy-type (cute) Pokémon characters (Kawahara et al.  
164 2020), it remains to be seen whether the sound-symbolic association involves aspiration, as the  
165 study conducted an experiment using only nonce names that begin with *p*, but not those that  
166 begin with *sp* (when the following vowel is stressed, the *p*-initial is usually aspirated but the  
167 *sp*-initial ([s] + consonant clusters) is unaspirated. Thus, in order to resolve these issues, the  
168 current study examines whether the English labiodental fricative [f] has the same  
169 sound-symbolic effect as other bilabial consonants, and whether aspirated and unaspirated  
170 bilabial consonants sound soft and cute to English speakers, respectively.

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172 The second purpose of the current study is, based on the current sound-symbolic experimental  
173 results for Japanese and English, the discussion on whether the place-of-articulation feature  
174 [labial] is specified for the glide /w/ in each language. If the experiment shows that the glide [w]  
175—in addition to bilabial consonants such as [p, b, m]—evokes the images of softness and  
176 cuteness in Japanese and English, then it will be concluded that it shares the feature [labial] with  
177 other bilabial consonants. Meanwhile, if the glide does not show the same tendency as other

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*cute/kawaii* in English and Japanese.

<sup>10</sup> The words for ‘lips’ are more likely to contain labial sounds in many languages (Urban 2011), and of course, there is a labial sound in the Japanese word *kutibiru* for ‘lips’.

<sup>11</sup> <https://www.businessinsider.com/what-duck-face-reveals-about-your-personality-2016-7>. (9 August, 2020, accessed).

<sup>12</sup> <https://kotaku.com/the-successor-to-duck-lips-is-sparrow-face-1444758736>. (9 August, 2020, accessed).

<sup>13</sup> There is a book written in Japanese that discusses why the Japanese duck face is appealing (Nomura 2010).

178 bilabial consonants, then it is unlikely to possess the feature [labial]. The current paper suggests  
179 that the glide /w/ is phonologically labial in Japanese and English, although the English results  
180 were less clear.

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## 183 2. Experiment

### 184 2.1 Task and stimuli

185 The task of the current experiment was that, given nonce words, participants were asked which  
186 of them sounded cuter or softer (*kawaii* and *yawarakai* in Japanese, respectively).<sup>14</sup> The targeted  
187 languages were Japanese and English, each of which has different labial consonants and  
188 phonotactic restrictions, and thus different stimuli were prepared so as not to deviate from the  
189 phonotactic rules of each language. There were five to six conditions, each of which had three  
190 pairs of nonce words with and without labial consonants, as shown in Tables 1 and 2. The labial  
191 consonants in the experimental group were replaced with the alveolar counterparts.

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193 The Japanese language has five labial consonants: the voiceless bilabial plosive [p], the voiced  
194 bilabial plosive [b], the bilabial nasal [m], the voiceless bilabial fricative [ɸ], and the labiovelar  
195 glide (approximant) [w] (e.g. Labrune 2012). All these consonants can occur in the onset position.  
196 The first three [p, b, m] can precede any vowel [i, e, a, o, u]. There are a number of phonotactic  
197 restrictions on [ɸ] and [w]. Although the [ɸ] can precede any vowel, and the [w] can precede [i, e,  
198 a, u] in loanwords (e.g. Pintér 2015), [ɸ] is known as an allophone of /h/ before [u], and [w] is  
199 followed by [a] in native Japanese words (e.g. Tsujimura 2014). Thus, in the stimuli, the vowels  
200 after [ɸ] and [w] were restricted to [u, a], respectively. All the stimuli consisted of four morae,  
201 and the targeted consonants were placed at the onset position in the first and third morae.

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203 The English language has five labial consonants: the voiceless bilabial plosive [p], the voiced  
204 bilabial plosive [b], the bilabial nasal [m], the voiceless labiodental fricative [f], and the  
205 labiovelar glide (approximant) [w]. The only difference between Japanese and English is that  
206 while the Japanese fricative is a bilabial [ɸ], the English counterpart is a labiodental [f]. The  
207 current experiment did not target the voiced labiodental fricative [v] because, as the Japanese  
208 language had no voiced fricative, such as [β], it was difficult to compare the results of both  
209 languages. It is well known that voiceless plosives exhibit different realizations according to  
210 phonetic environments: /p/ is phonetically realized as an aspirated consonant [p<sup>h</sup>] when it is  
211 placed in the onset position of a syllable and when the syllable to which it belongs is assigned  
212 stress. However, it is not produced with aspiration in [s] + consonant clusters (e.g. /sp/). The  
213 current experiment distinguished these two different environments and set up a total of six  
214 conditions [p<sup>h</sup>, p, b, m, f, w]. All the stimuli consisted of two syllables, and the targeted  
215 consonants were syllable-initial in each of the stimuli.

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<sup>14</sup> In the current experiment, no definition of cuteness (*kawaii*) and softness (*yawarakai*) was provided to participants of each language, which may have affected the participants' responses (see section 3.2 for a discussion).

Table 1. Pairs of stimuli (Japanese)

	Exp	Katakana	Phonetics forms		Cont	Katakana	Phonetics forms
Cond 1	[p]	パロポン ペリパン ポレポン	paropon peripan porepon	vs. vs. vs.	[t]	タロトン テリタン トレトン	taroton teritan toreton
Cond 2	[b]	バロボン ベリバン ボレボン	barobon beriban borebon	vs. vs. vs.	[d]	ダロドン デリダン ドレドン	darodon deridan doredon
Cond 3	[m]	マロモン メリマン モレモン	maromon meriman moremon	vs. vs. vs.	[n]	ナロノン ネリナン ノレノン	naronon nerinan norenon
Cond 4	[ɸ]	フロフン フリフン フレフン	ɸuroɸun ɸuriɸun ɸureɸun	vs. vs. vs.	[s]	スロスン スリスン スレスン	surosun surisun suresun
Cond 5	[w]	ワロワン ワリワン ワレワン	warowan wariwan warewan	vs. vs. vs.	[j]	ヤロヤン ヤリヤン ヤレヤン	jarojan jarijan jarejan

Table 2. Pairs of stimuli (English)

	Exp	Alphabets		Cont	Alphabets
Cond 1	[p <sup>h</sup> ]	paungpaung piungpiung peangpeang	vs. vs. vs.	[t <sup>h</sup> ]	taungtaung tiungtiung teangteang
Cond 2	[p]	spaungspaung spiungspiung speangspeang	vs. vs. vs.	[t]	staungstaung stiungstiung steangsteang
Cond 3	[b]	baungbaung biungbiuing beangbeang	vs. vs. vs.	[d]	daungdaung diungdiuing deangdeang
Cond 4	[f]	faungfaung fiungfiung feangfeang	vs. vs. vs.	[s]	saungsaung siungsiung seangseang
Cond 5	[m]	maungmaung miungmiung meangmeang	vs. vs. vs.	[n]	naungnaung niungniung neangneang
Cond 6	[w]	waungwaung wiungwiung weangweang	vs. vs. vs.	[j]	yaungyaung yiungyiung yeangyeang

222 All the pairs were randomized per participant and per session. Following the previous  
 223 experimental studies on bilabial consonants, as detailed in section 1.1 (Kawahara & Kumagai  
 224 2019; Kawahara & Moore to appear; Kumagai & Kawahara 2017, 2020; Kumagai 2019;  
 225 Kumagai et al. to appear), the current study adopted experiments using non-auditory stimuli; the

226 stimuli were provided in katakana orthography for Japanese speakers and in the Latin alphabet  
227 for English speakers.

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## 229 **2.2 Procedure**

230 The present study used an online questionnaire through SurveyMonkey. The experiment  
231 consisted of two sessions: in the first session, participants were first provided an explanation that  
232 they would be presented with two names per question and that their task was to choose which  
233 they felt was a cuter name (*kawaii namae* ‘cute name’ in Japanese); thereafter, they were asked  
234 to judge all of the pairs of the stimuli. As soon as the first session ended, the second session  
235 began, where the participants were provided an explanation that they would be presented with  
236 two names per question and that their task was to choose which name they felt had an image of  
237 “soft” (*yawarakai imeeji* ‘soft image’ in Japanese); thereafter, they were asked to judge all the  
238 pairs of the stimuli. After the second session, the participants were asked several questions  
239 regarding their age, gender, and whether they were native speakers of Japanese/English.  
240 Moreover, the participants in the Japanese experiment were asked whether they had studied  
241 sound symbolism, and participants in the English experiment were asked whether they were  
242 familiar with the term *sound symbolism*.<sup>15</sup>

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## 244 **2.3 Participants**

245 For the Japanese participants, the author of the current paper advertised the experiment in  
246 university classes, and a total of 83 native-speaking students participated. They did not belong to  
247 the university’s linguistics department or related fields, and they reported having never studied  
248 sound symbolism. One of the participants did not complete the task, and, thus, data for the  
249 remaining 82 were analysed. All the participants were female and their age range was 18–30  
250 years.

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252 For the English participants, the weblink for the experiment was distributed through  
253 SurveyMonkey, and a total of 106 native speakers were recruited. The survey asked whether they  
254 were familiar with the term *sound symbolism* and 60 participants answered in the affirmative;  
255 thus, they were excluded from the analysis, and the remaining 46 speakers were targeted. There  
256 was a total of 36 female speakers; 17 of the speakers were aged under 30 years.

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## 258 **2.4 Results**

259 The current section presents the results of each experiment. The figures display the rate at which  
260 the nonce words containing labial consonants were chosen. Error bars represent 95% confident  
261 intervals.

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### 263 **2.4.1 Softness in Japanese**

264 Figure 1 presents the rate at which Japanese speakers judged the stimuli with bilabial consonants  
265 to be soft: [p] = 0.614, [b] = 0.711, [m] = 0.695, [ɸ] (represented as *f*) = 0.911, [w] = 0.797. All  
266 the bilabial consonants were above chance level. Following the previous studies that conducted a  
267 forced-choice task (e.g. Kumagai & Kawahara 2017, 2020), the author made a generalized linear

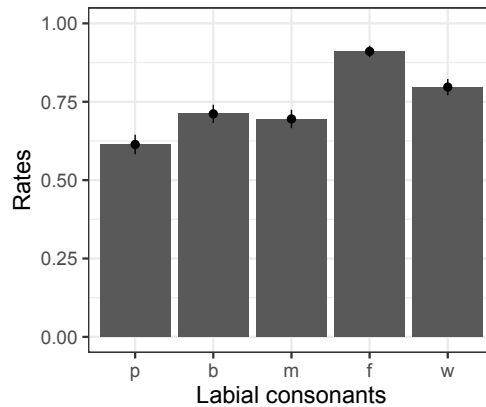
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<sup>15</sup> For the Japanese experiment, the author of the current paper advertised the experiment in his classroom, where he had already talked about what sound symbolism was. Thus, he asked a different question for Japanese speakers.



268 mixed-effects logistic regression (*glmer*) analysis, with participants and items being random  
269 effects. Consequently, the five consonants were significantly judged as soft names ( $\beta = 2.471$ , SE  
270 = 0.323,  $z = 7.646$ ,  $p < .001$ ). In order to compare the number of observed outcomes to that of  
271 expected outcomes, the author also ran binomial tests; the results revealed that all the five  
272 consonants showed the significance of the observed outcomes ( $[p] = 155/246$   
273 (=82participants\*3pairs),  $p < .001$ ;  $[b] = 175/246$ ,  $p < .001$ ;  $[m] = 171/246$ ,  $p < .001$ ;  $[\phi] =$   
274  $224/246$ ,  $p < .001$ ;  $[w] = 196/246$ ,  $p < .001$ ).

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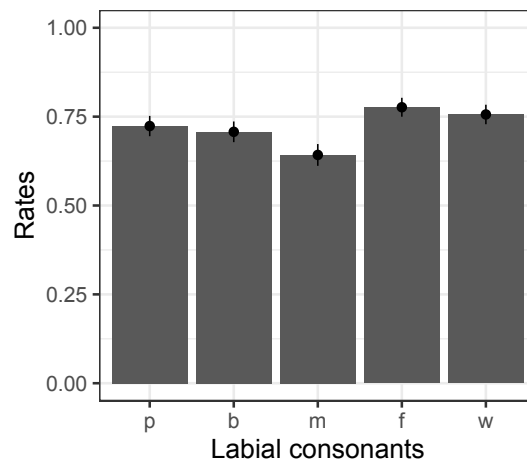
279 Figure 1. Rates of selecting names with labial consonants for a ‘soft’ name (Japanese)

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#### 281 2.4.2 Cuteness in Japanese

282 Figure 2 depicts the results for the image of cuteness in Japanese. The rates of each response  
283 were  $[p] = 0.724$ ,  $[b] = 0.707$ ,  $[m] = 0.642$ ,  $[\phi]$  (represented as  $f$ ) = 0.776, and  $[w] = 0.756$ .  
284 Similar to the results of softness, all the bilabial consonants were above chance level. A *glmer*  
285 analysis showed that these consonants were significantly judged as cute names ( $\beta = 2.036$ , SE =  
286 0.228,  $z = 8.917$ ,  $p < .001$ ). Moreover, a binomial test showed significance in the observed  
287 outcomes of all consonants ( $[p] = 178/246$ ,  $p < .001$ ;  $[b] = 174/246$ ,  $p < .001$ ;  $[m] = 158/246$ ,  $p$   
288  $< .001$ ;  $[\phi] = 191/246$ ,  $p < .001$ ;  $[w] = 186/246$ ,  $p < .001$ ).

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293 Figure 2. Rates of selecting names with labial consonants for a ‘cute’ name (Japanese)

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### 2.4.3 Softness in English

Figure 3 depicts the extent to which English speakers judged the stimuli containing labial consonants as a soft name. The following rates are presented in each graph: [p<sup>h</sup>] (represented as *ph*) = 0.754, [p] (represented as *sp*) = 0.746, [b] = 0.775, [f] = 0.384, [m] = 0.703, [w] = 0.601. All the bar graphs except the fourth one were above chance level. A binomial test indicated the significance of the expected results for [p<sup>h</sup>, p, b, m, w] ([p<sup>h</sup>] = 104/138 (=46participants\*3pairs),  $p < .001$ ; [p] = 103/138,  $p < .001$ ; [b] = 107/138,  $p < .001$ ; [m] = 97/138,  $p < .001$ ; [w] = 83/138,  $p < .05$ ), but showed significance of the unexpected result for [f] ([f] = 53/138,  $p < .01$ ). This implies that it is evident that the labiodental [f] cannot evoke the image of softness. Then, the author conducted a *glmer* analysis that excluded the data of responses to the labiodental [f]; the results revealed that the names that contain bilabial consonants [p<sup>h</sup>, p, b, m, w] were judged to be soft names ( $\beta = 1.188$ , SE = 0.151,  $z = 7.844$ ,  $p < .001$ ).

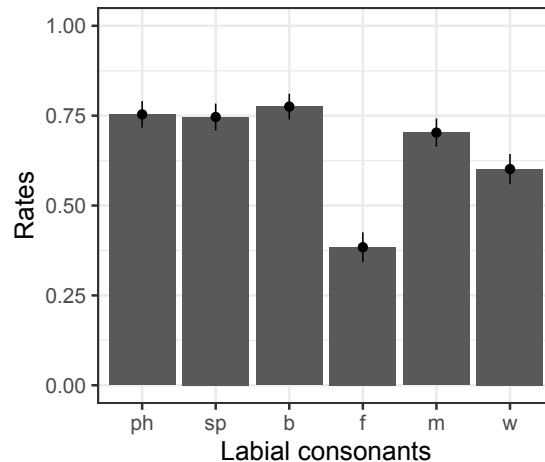


Figure 3. Rates of selecting names with labial consonants for a 'soft' name (English)

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### 2.4.4 Cuteness in English

Figure 4 depicts the responses by English speakers in which the stimuli with labial consonants sounded cuter than those without them: [p<sup>h</sup>] (represented as *ph*) = 0.523, [p] (represented as *sp*) = 0.616, [b] = 0.775, [f] = 0.196, [m] = 0.659, and [w] = 0.37. The expected responses were obtained in the second, third, and fifth graphs (i.e. [p, b, m]) but not in the others (i.e. [p<sup>h</sup>, f, w]). A binomial test yielded significant results for [p, b, m] ([p] = 85/138,  $p < .01$  (=46participants\*3pairs); [b] = 107/138,  $p < .001$ ; [m] = 91/138,  $p < .001$ ), but showed no significant result for [p<sup>h</sup>] ([p<sup>h</sup>] = 72/138,  $p = .671$ ) and significance of the unexpected result for [f, w] ([f] = 27/138,  $p < .001$ ; [w] = 51/138  $p < .01$ ). Then, a *glmer* analysis with the three consonants [p<sup>h</sup>, f, w] excluded indicated that the responses to the three consonants [p, b, m] were significant ( $\beta = 1.553$ , SE = 0.173,  $z = 8.952$ ,  $p < .001$ ).

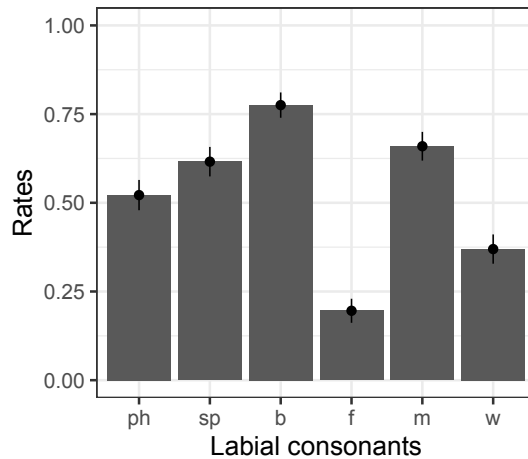


Figure 4. Rates of selecting names with labial consonants for a 'cute' name (English)

### 3. Discussion

#### 3.1 The image of softness

The results of the current experiment showed that the names that contain bilabial consonants were judged to be cuter names (*kawaii namae* in Japanese) than those that do not contain any bilabial consonants. This suggests that *all* the bilabial consonants used in Japanese ([p, b, m,  $\phi$ , w]) were sound-symbolically associated with the image of softness. There are two possible explanations for these results. The first one is the physiological idea that softness results from the texture of the lips (Kumagai et al. to appear). The second explanation involves acoustic motivation. Ohala (1983, 1994) proposed the Frequency Code Hypothesis in which 'low-frequency consonants are associated with low-frequency sounds, large size, softness, and heavy, slow movements' (Hinton Nichols & Ohala 1994/2006:10). If bilabial consonants are assumed to have low-frequency energy (Berlin 2006), it is possible that Japanese speakers associate them with the image of softness.

However, the situation is different in English. The results showed that the consonants judged to be soft were [p<sup>h</sup>, p, b, m, w] but not [f]. The labiodental [f] does not evoke the image of softness, as it involves only the lower lip and not both lips, which may not satisfy the condition that lips are softer than other articulatory organs in the oral cavity (Kumagai et al. to appear). Moreover, since both [p<sup>h</sup>] and [p] exhibited significant results for the image of softness, aspiration was not significant in the relationship between bilabial consonants and the image of softness, at least, in English.

The current results pertaining to the labiodental [f] require further discussion. The binomial test yielded the unexpected result that the response to [s] in the control group was significant, which implies that the alveolar [s] was more likely to be judged to be soft than the labiodental [f]. Thus, only from these results, it may be difficult to conclude that the alveolar [s] can evoke the image of softness. The reason for these results requires analysis with new experiments in further research.

#### 3.2 The image of cuteness

356 The current results indicated that, as was the case with the image of softness, *all* the bilabial  
357 consonants were associated with the image of cuteness in Japanese, which also replicated  
358 Kumagai's (2019) results of the image of cuteness. As mentioned in section 1.3, one possibility  
359 for the origin of the image of cuteness is that it resulted from a pouting gesture produced by  
360 articulating bilabial consonants. Another possibility is that a sound-symbolic association resulted  
361 from another in the minds of language speakers. Previous studies have already shown that a  
362 meaning or image is mutually linked to another (see also French 1977; Gallace et al. 2011;  
363 Kawahara & Kumagai to appear). Thus, it is possible that the image of cuteness may be mutually  
364 associated with that of softness in Japanese and, then, the sound-symbolic association between  
365 bilabials and the image of softness could have produced the sound-symbolic association between  
366 bilabials and the image of cuteness. The opposite case is also possible: the sound-symbolic  
367 association of cuteness may have produced that of softness.

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369 The results for the image of cuteness in English were more complex: bilabial [p, b, m] were  
370 judged to be cute, while [p<sup>h</sup>, f, w] were not. Unlike the case of Japanese, the account only with  
371 the pouting gesture is insufficient. Then, are there any additional possible reasons for these  
372 results? First, the English word *cute* is not semantically equal to the Japanese word *kawaii*, which  
373 may be responsible for the less clear results in English. The English word *cute* means not only  
374 'attractive' but also 'clever/cunning', which may have been reflected in the current results (see  
375 also section 3.3). For example, although voiced obstruents evoke a negative image due to their  
376 aerodynamic challenge (Uno et al. 2020), if low-frequency consonants [b, m], including voiced  
377 obstruents, can evoke the image of villainess, then it is possible that the names that contain the  
378 low-frequency consonants [b, m] will be more likely judged to be *cuter* names (including the  
379 meaning of cleverness/cunningness).<sup>16</sup> This may account for why [b, m] is higher than *ph* and *sp*  
380 [p<sup>h</sup>, p] in terms of the rate presented in Figure 4.

381  
382 Secondly, the names with [p<sup>h</sup>] were not significantly judged to be cute, which was not consistent  
383 with the results of Kawahara et al. (2020) that the *p*-initial name was appropriate for the (cute)  
384 fairy type of Pokémon characters. Where did the discrepancy come from? It may be difficult to  
385 draw a conclusion at the moment because the experimental designs of each study were not  
386 completely equal, but, as the *p*-initial name usually involves aspiration, it is necessary to conduct  
387 an experiment with auditory stimuli, as there is a possibility that aspiration (with strong expelled  
388 breath) can evoke the image of strength, which may not have matched with the image of  
389 cuteness.

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391 Third, the results for [w] are rather puzzling, because it belongs to low-frequency consonants  
392 (Berlin 2006), which would show the same tendency as other bilabial consonants [b, m].  
393 Moreover, the results for [w] in the image of cuteness are contradictory to those in the image of  
394 softness in English. Rather, it was unpredicted that the response to [j] <y> was significant, which  
395 implies that the alveolar [j] could be associated with the image of cuteness. This needs to be

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<sup>16</sup> Voiced plosives have low frequency energy that is referred to as voice bar (Reetz & Jongman 2009) and a lower adjacent F<sub>0</sub> contour (e.g. Kingston & Diehl 1994), and labial nasals show a lower frequency range in the anti-formant than did the alveolar and velar nasals (Reetz & Jongman 2009).

396 examined with new experiments, since it has the aforementioned problem of the ambiguous  
397 meaning of cuteness.

398  
399 Fourth, apart from phonetic features, the profile of Japanese and English speakers was somewhat  
400 different: all the Japanese participants (N=82) were female or aged under 30 years, while there  
401 were only 14 profiles that overlapped in terms of age and gender among the English speakers,  
402 which might be responsible for the different results in sound symbolic effects. The author  
403 assumed that such a difference did not affect participants' responses, as differences in gender and  
404 age in sound symbolism are understudied (cf. Kawahara et al. to appear; Klink 2009). However,  
405 a study in psychology showed that females were more sensitive to cute infants (Lobmaier et al.  
406 2010); thus, there is no denying that gender differences influence responses to the notion of  
407 cuteness. Thus, how differences in gender and age arise in the judgment of softness and cuteness  
408 would be an interesting avenue for further research.

409  
410 Finally, the response to the labiodental [f] was lower than a chance level. If the sound-symbolic  
411 association of cuteness resulted from the pouting gesture with the (upper and lower) lips in  
412 articulating bilabial consonants, it is convincing that the labiodental [f] does not evoke such an  
413 image, as it involves only the lower lip and not both lips. On the other hand, like the image of  
414 softness, the response to the alveolar [s] was significant for the image of cuteness. However, it is  
415 unclear whether the alveolar [s] itself evokes the images of softness and cuteness. Thus, it seems  
416 best to refrain from drawing a conclusion until future experiments are conducted. To sum up, it is  
417 safe to conclude that the consonants to express cuteness in English are unaspirated,  
418 low-frequency bilabials.

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### 421 **3.3 The place of articulation of the glide /w/**

422 Based on the experimental results, this section discusses whether the glide /w/ is specified with  
423 the place-of-articulation feature [labial] in Japanese and English. For the results with Japanese  
424 speakers, it is not only the bilabial consonants [p, b, m,  $\phi$ ] but also [w] that is more likely to  
425 evoke the images of softness and cuteness, which suggests that the glide /w/ shares the feature  
426 [labial] with the bilabial consonants. Moreover, precisely speaking, the feature [labial] is  
427 associated with such images in Japanese. This accords with the claim made by Kumagai and  
428 Kawahara (2017, 2020) that the glide /w/ belongs to the [labial] category.

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430 For the results with English speakers, the glide [w] and the bilabial consonants were more likely  
431 to evoke the image of softness. This suggests that it is specified with the feature [labial] being  
432 associated with the image of softness in English. Meanwhile, the results for the image of  
433 cuteness were less clear. A possible reason for these results is that the glide /w/ shows labiality in  
434 one case but does not in another case within a single language; in fact, as mentioned in section  
435 1.2, both types of evidence have been reported thus far on the non-labiality of the Japanese glide  
436 /w/. Considering this peculiar behaviour, it may be unsurprising that the current results for  
437 English showed both labiality and non-labiality; however, even if this holds true, how the place  
438 of the articulation of the glide /w/ is analysed in phonological theories would be a serious  
439 challenge. To summarize, based on the current results for the image of softness, it is possible that  
440 the glide /w/ is phonologically labial in Japanese and English.

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#### 443 **4. Concluding remarks**

444 The experiments in this study showed that Japanese speakers associate all the bilabial consonants  
445 [p, b, m,  $\phi$ , w] with the images of softness and cuteness. In addition, the images of softness and  
446 cuteness of bilabial consonants could be mutually linked in the minds of Japanese speakers. In  
447 contrast, the results for English were less clear; English speakers associate the bilabial  
448 consonants [p<sup>h</sup>, p, b, m, w] with the image of softness, while they associated some of the bilabial  
449 consonants (i.e. unaspirated, low-frequency bilabials) [p, b, m] with the image of cuteness.  
450 Overall, the current study demonstrated the pluripotentiality of sound symbolism, meaning that a  
451 set of linguistic sounds conveys multiple meanings both within a single language and across  
452 languages (Kawahara & Kumagai to appear; Winter et al. 2019), and also suggested that the  
453 glide /w/ is phonologically labial in Japanese and English.

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455 There are a number of remaining issues that could possibly be addressed in future research. First,  
456 the notion of a word in a language is not always the same as that in another. In fact, it seems that  
457 it is difficult to find a word in foreign languages that corresponds with the Japanese word *kawaii*  
458 (Buckley 2016). In the case of the current study, the meaning of cuteness in English is different  
459 from the notion of *kawaii* in Japanese, which may have caused the difference in results. Thus, it  
460 is necessary to examine the image of cuteness/*kawaii* by providing a clear definition of a  
461 particular word, based on experimental reports in psychology, such as Nittono (2016). Second,  
462 the current study assumed that the image of softness resulted from the physiological reason that  
463 lips are softer than other articulation-related organs such as the alveolar ridge and the velum in  
464 the oral cavity (Kumagai et al. to appear), and that the image of cuteness resulted from the  
465 pouting gesture that appears in articulating bilabial consonants. In consideration of these aspects,  
466 it is expected that such images are likely found across languages. Other languages should be  
467 tested in the future. Third, the current study suggests the possibility that the image evoked by a  
468 particular set of sounds arises from another image by the same set of sounds. Thus, since there is  
469 a possibility that new semantic associations can be discovered, it is worth examining the  
470 pluripotentiality of bilabial consonants across natural languages.

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