Constraints on Reflexivization*

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Abstract

Discussing reflexivization via autonomous morphemes (e.g. via self-anaphora like English herself, French lui-même or Hebrew acmo, or via 'pronominal' morphemes such as German sich or French se), I show that any form of lexical or syntactic bundling via adicity reduction or any form of predicate reflexivization (e.g. via self incorporation) in these languages is too strong to be the general mechanism involved, favoring analyses in terms of direct covaluation (via the antecedent binding the anaphor) as in the classical view. This means in particular that what counts as an anaphor cannot be related to self incorporation (into predicates), raising the question of how to *derive* why anaphors are anaphors, a question discussed in Charnavel and Sportiche (2021). I also discuss some (limited) boundary conditions on analyses for incorporated English self- or French auto-, and explore syntactic (as opposed to lexical) analyses for predicates prefixed with self- or auto-, as well as for inherently reflexive verbs.

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1 Introduction

1.1 The Problem

Two analytico-theoretical questions are tightly intertwined: why are anaphoric expressions such as reflexives anaphoric, and what exactly is the mechanism by which a reflexive meaning arises. For example, under some views, a reflexive DP argument of a predicate is in fact lexically defined as a function taking this predicate as argument, and turning it into a reflexive predicate. In turn, this has consequences on how to understand why such expressions must be in a local relation with their antecedents. The view just mentioned for example, entails that the reflexive and its antecedent must be co-arguments of the predicate in question.

In what follows, I primarily discuss reflexive pronouns in English and French, and to a lesser extent German and Hebrew, showing why some widely held analyses (diagonalizing adicity reduction, or predicate reflexivization via *self* movement or incorporation) cannot be right. More precisely, such analyses cannot be right as sole analyses: as such analyses are more restrictive than what is needed, it is difficult to show that they are not available *in addition to* more permissive analyses. Here, I will simply assume, by Ockham's razor, that there is no such unnecessary duplication. The discussion will examine self-reflexive pronouns in English (themselves) and French ($elle-m\hat{e}me$) as well as SE reflexives (in Reinhart and Reuland, 1993's terminology) in German (sich) or French (se).

1.2 Outline

The well formedness of the sentence Leila washed Lydia meaning that Leila washed Lydia leads us to postulate the existence of a lexical item, wash, as a dyadic predicate, a two place relation this sentence is in part the syntactic realization of. Or to say things another way, the verb wash assigns two theta roles, say Agent and Theme here, these theta roles bijectively assigned to the two DPs Leila and Lydia.

Now suppose that we get a reflexive meaning: Leila washed herself. Ignoring the case of the sentence *Leila washed Leila* (a possibly mild condition C violation in some languages, depending on context), this could arise in different ways. To illustrate some options, let us begin with the following cases:

- (1) a. Leila washed herself
 - b. Leila s' est lavée French Leila se is washed
 - c. Leila hat sich gewaschen German Leila has self washed
 - d. Leila raxca et acma *Hebrew* Leila washed herself

In English (1a), French (1b), German (1c) and Hebrew (1d), a DP, or a particle morphologically independent from the verb, *himself*, *se*, *sich*, *acma*, appears, typically described as a reflexive pronoun or clitic. This raises the following question: how does the reflexive

Note that throughout, I am disregarding so-called proxy readings, unless explicitly discussed, e.g. in section 3.3.2. These readings are important, and telling, but not relevant to most of the points made here.

reading arise in each case? Fundamentally, there are two approaches, each with subcases.²

- 1. The first approach, diagonalizing³ semantic arity reduction, postulates that semantically, in some, or all, of these cases, the predicate involved is $wash_R$, a variant of the dyadic wash, which is semantically monadic and means self-wash defined as follows: $wash_R(x) = self$ -wash(x) = wash(x, x): a single element, x, satisfies both argument slots of wash.
- 2. The second approach, argument covaluation, does not assume that such semantic adicity reduction occurs. There is a single semantically dyadic predicate wash, syntactically dyadic as well, thus taking two DP arguments syntactically. The reflexive reading arises because these two DPs have the same denotation. In other words, the reflexive meaning arises because it is the meaning of wash(x,y) where x=y, that is where x and y are required to covary, that is denote the same individual or are covalued variables.

The first approach will be shown to be not viable for these cases. Furthermore, it will be argued that the second approach is only viable if the 'reflexive' argument is understood as directly covalued with its antecedent and not (only) via predicate reflexivization.

First the interpretations of sentences in (1) will be discussed. It will be shown that the first approach, reflexivization via semantic arity reduction, excludes some available interpretations and must thus be abandoned (as sole option). Next the behavior of such sentences as in (1) will be contrasted with counterparts in which reflexivization is not encoded via the presence of an *independent* nominal reflexive morpheme such as *himself*, *se*, *sich*, 'acma, but instead via the presence of a pre predicate morpheme such as *self* in the case of 'explicitly reflexive predicates' such as *self-identify*. This discussion will provide further support for the conclusion that the first approach is inadequate for examples in (1). In addition, it will show that only the version of the second approach in which reflexivization involves direct covaluation is adequate. Finally, further differences between these two types of cases will be discussed, as well as how the latter type (explicitly or inherently reflexive predicates) could be analyzed.

2 Reflexivizations: types of analysis

This section provides a simple argument showing that any approach to reflexivization in terms of semantic adicity reduction for reflexive constructions using English X-self (which also apply to French X- $m\hat{e}me$, French se, German sich is too strong as they rule out available interpretations.

Let us first further detail the two approaches to reflexivization mentioned above. To the examples in (1), repeated below, let us add a couple ((2e) and (2f)):

Throughout, I limit myself to the languages and constructions explicitly discussed. It is conceivable that other options are available, as Déchaine and Wiltschko (2017) discuss.

So named because it restrict the relevant pairs of arguments of the predicate (those involved in the reflexive relation) from a cartesian product X² to its diagonal. Note also that quite generally, composing an argument with its predicate reduces the arity of the predicate by one. This is of course not what is meant here and in the remainder of this article by arity reduction. What is meant are cases in which the syntactic argument of the predicate is not a semantic argument of this predicate but rather a function mapping this predicate into a reflexive predicate.

- (2) a. Leila washed herself
 - b. Leila s'est lavée French Leila se is washed
 - c. Leila hat sich gewaschen Leila has self washed
 - d. Leila raxac 'et acma *Hebrew* Leila washed herself
 - e. Leila washed
 - f. Leila hitraxec Hebrew Leila morph-wash

Begin with the first approach:

- 1. Option #1: The predicate $wash_R$ could be formed from wash in the lexicon, in which case it is semantically and syntactically monadic. This option, semantic and syntactic adicity reduction, is what Reinhart and Siloni (2005) call lexical bundling. In (2e), it would be signaled by the absence of an otherwise required direct object, in (2d), it would be signaled by the presence of the special verb morphology (hitpa'el), and in (2b) by the presence of the particle se which would presumably be attached to the verb lexically as a (detachable) particle (reminiscent of English verb particle constructions). In English (2a), German (2b) or Hebrew (2c), this option would be excluded under the usual assumption that the very presence of two DPs requires the predicate to be syntactically dyadic.
- This of course presupposes that that there are lexical rules combining morphemes and affecting how the syntax and semantics of the combination is computed. A theory of grammar allowing such rules should include a restrictive theory of what lexical rules are (dis)allowed and why. The limiting case is one in which there are no lexical rules at all.
- Option #2: The predicate $wash_R$ could be formed from wash in the syntax, in which case it is semantically monadic but syntactically dyadic. There is semantic adicity reduction without syntactic adicity change. The verb wash becomes the semantic one place predicate $wash_R$ because of its syntax. Since two DPs are projected, some mechanism insures this result. This is what Reinhart and Siloni (2005) call syntactic bundling. Informally, while two DPs are projected the presence of the Hebrew verbal morphology in (2d) or the presence of the particle se in French (2b) would indicate that the theta role normally assigned to the silent object DP is "bundled" in syntax with the other one and this complex theta role is assigned to the subject DP.⁴ Similarly for (2e) where the presence of an otherwise illicit silent DP object would signal that bundling has occurred. This extends to English (2a) or Hebrew (2c) as follows: himself/acma is not an argument, it is not a theta bearer. Rather, its function is to mark wash as interpreted reflexively; normally, theta roles of a predicate have to be bijectively associated with DPs but if one of the syntactic dependent of the predicate is X-self, the theta role that this dependent normally gets is assigned to something else - the "antecedent" of X-self, e.g via bundling. The same could be said with the Hebrew verbal morphology in (2d) or English (2e), assuming the presence of a silent DP behaving like English X-self. Reinhart and Siloni (2005) does not spell out the precise mechanism underlying syntactic bundling, but one mechanism, possibly underlying it in part, assumes that semantic adicity reduction directly results from the reflexive pronoun, or morpheme or the silent object being a function taking the verb wash as argument and

See Sportiche (2014a) for reasons why these constructions are not 'just' unaccusative, see section 3.3.1 and footnote 16

returning $wash_R$, predicated of the appropriate argument of the verb (here the subject). This option, **diagonalizing arity reducing functional** self is adopted in Cresswell (1973), Bach and Partee (1980), Keenan (1987), Schlenker (2005), Spathas (2010), Lechner (2012), for example.⁵ Such an approach requires enriching the generative power of the system, essentially treating reflexives as quantifiers able to manipulate the argument structure of the predicate they take as argument.

The second approach can be implemented as follows:

- 3. Option #3: this option - **argumental functional** self - is similar to option #2: but there is neither semantic nor syntactic adicity reduction: in English (2a) or Hebrew (2c), himself/acma counts as an argument, a theta bearer. The way in which reflexivization is achieved is by making reflexive the predicate that the reflexive pronoun is a dependent of. In the present case, the reflexive pronoun is analyzed as a function taking the relation wash (or more generally a polyadic predicate) as argument and encodes the property that (the) two arguments of wash are semantically covalued, e.g. via a presupposition. The way this is done technically is by decomposing himself as him + self, where him ends up being the argument of wash and self is an identity function that takes the verb wash as argument and returns the verb wash together with the property that its two arguments are covalued. This is adopted in some form for example in Reinhart and Reuland (1993), Reuland (2001), Patel-Grosz (2013), Sauerland (2013), Ahn (2015), McKillen (2016), Déchaine and Wiltschko (2017). Technically, here is Sauerland's explicit representative treatment: the self portion of himself is the identity function with a presupposition. It takes wash as argument yielding self wash, so that Leila washed herself ends up as Leila self-washed her, with her necessarily coreferential with Leila to satisfy the presupposition self lexically comes with. The literature does not specify, or entertain, how this would generalize to French se or German sich, English (2e) or Hebrew (2f), but there are imaginable ways to do this, e.g. assuming that they are associated with a silent object of the form himself.⁶
- 4. Option #4: A final option is the classical option, anaphor binding adopted e.g. in Chomsky (1981), Heim and Kratzer (1998), Büring (2005), as well as in a variety of Agree/Movement based approaches such as Lebeaux (1983), Kayne (2002), Drummond et al. (2011), Rooryck and vanden Wyngaerd (2011). Again that there is no (semantic or) syntactic adicity change. The verb wash projects two DPs and some mechanism insures that the resulting meaning is reflexive. This is achieved by requiring the silent DP of Hebrew and French and the English reflexive to be anaphoric: they must be bound by a local antecedent, here the subject, where binding entails covaluation (which could a priori either be coreference or semantic binding).

This option requires explaining why such an aphoric DPs behave in this manner and in what way the Hebrew verbal morphology, the French particle se or the morphology of X-self mandate such behavior.

Overall then, Options #1, #2 and #3 all involve some form of predicate reflexivization. As we will see such approaches are too restrictive. They exclude possible interpretations

Difficulties of various sorts arise requiring some additional machinery for example: (i) the reflexivizing function does not say which arguments of the base predicate enter into the reflexive relation: the right arguments must be guaranteed to participate in the reflexive relation; (ii) the target predicate may not be dyadic in the right way e.g. with Henri expects himself to win where himself is not an argument of expect, or This museum sells replicas of itself, where itself is not even a syntactic dependent of sell; or with Henri seems to himself to be pale where Henri is not an argument of seem.

There also are difficulties with such an approach, see section 3.4.

and can't therefore be the (unique) way in which reflexives yield reflexive meanings. Option #4 does not involve predicate reflexivization.

Now, there is no a priori reason why different ways of expressing reflexive relations within the same language or across languages should all use the same mechanisms. Different reflexivization strategies could use different mechanisms, or the same strategy could in principle use multiple mechanisms even in the same language. And indeed, for example, English himself has not been analyzed as involving bundling, but French se reflexivization has been analyzed as syntactic bundling in Reinhart and Siloni (2005), or structurally ambiguous (depending on cases) between syntactic and lexical bundling in Hovav and Doron (2009). But if different mechanisms are in principle available and, as far as is known, (mother tongue) learners typically converge on the same mechanism(s) for a given strategy in a given language (as is almost always assumed⁷), the right theory must provide inference strategies mapping the evidence available to language learners to the right mechanism, yielding the observed convergence. This is actually the main problem to solve for any analysis and a non trivial one which is not addressed at all here (but see Déchaine and Wiltschko, 2017 for suggested steps to approach this question).

3 Constraints on Reflexivization via pronouns

We now examine some properties of reflexive constructions where reflexivization is coded via the presence of a pronominal element, one that agrees in ϕ -features with its antecedent, such as X-self, se, sich, acma in English, French, German and Hebrew respectively.

3.1 No reflexivization via semantic adicity reduction

Consider the following type of examples originally discussed in Heim (1994):

(3) Oedipus_k wants [localdomain PRO_k to find himself_k]

What is of interest in such cases is the question of for whom (in which worlds) the binding theory mandated coreference (or semantic binding) between *PRO* and *himself* holds. There are basically two non mutually exclusive candidates. A first candidate is the actual world in which case I, the speaker, believe that there is a single individual who I take to be Oedipus, such that I take Oedipus to want Oedipus to punish Oedipus. A second candidate is any member of the set of Oedipus's modal alternatives. In this case Oedipus could express his desire as: I should punish myself, where there is coreference for Oedipus (but where Oedipus could take himself to be someone else than Oedipus: he could believe he is Achilles, or even not know who he is). I am not going to discuss here why the second option can hold without the first as this is not relevant for our purposes (but cf. Sportiche (2022a), Sportiche (2022b)). What is relevant here is that the first option can hold without the second holding, as Heim (1994) remarks. To see this, let us place ourselves in the following scenario.

This is not the case however of Haiden (2020) which, for French se, defends lexical bundling for agentive verbs with non proxy reflexives, a different analysis for other cases of agentive verbs or for experiencer verbs. I take the evidence provided insufficient to warrant such a conclusion, but showing why would require a full discussion not provided here.

(4) Oedipus Scenario: Oedipus, raised as King Polybus's only son, kills someone he does not know, Laius his real father, whose only son he in fact is. Later, an oracle reveals that, to end a god sent plague on Thebes, Laius's killer must be punished. Oedipus searches for Laius 's killer to punish him in order to satisfy the gods and end the plague.

In such a scenario, sentence (3) is well formed and true.⁸ This raises an apparent problem for the Binding theory. The problem is that for Oedipus, *PRO* denotes Oedipus (PRO is read *de se*) but *himself* does not.⁹ In Sportiche (2022a), Sportiche (2022b), I discuss why this is in fact not a problem as there is coreference for the speaker. What matters to the present discussion is that the speaker can truthfully report that the person Oedipus wants to find is in fact Oedipus, without Oedipus thinking that the person he wants to find is Oedipus. I will describe this as coreference *de re* non *de dicto*. This interpretation is also available in the French, German (as Heim 1994 reports), and Hebrew (Yael Sharvit, p.c.) counterparts of (3):¹⁰

- (5) a. Oedipus veut PRO se trouver
 - b. Oedipus will PRO sich finden
 - c. Oedipus roce limco et acmo

It should be clear that this interpretation is excluded under any analysis of reflexivization as involving semantic adicity reduction. Indeed under such analyses, the verb in the infinitive is a monadic predicate predicated of PRO, that is of Oedipus. Given that PRO is read de se, that is as Oedipus for Oedipus, the only meaning predicted is that of Oedipus wishing: I will find myself. Under such analyses, the predicate find is turned into the reflexive predicate $\lambda x.find_R(x)$ which is equivalent to $\lambda x.find(x,x)$ with coreference between the two arguments of find in all worlds.

I conclude that for English himself, French se or German sich, we cannot entertain options #1 and #2 above, that is, Lexical Bundling, Syntactic Bundling or more generally semantic adicity reduction. As mentioned in the introduction, the right analysis, whatever it is, must be strictly weaker, more permissive, than semantic adicity reduction; as a result, what is more precisely excluded are analyses requiring semantic adicity reduction as the sole option for these cases. Semantic adicity reduction could be allowed, if a second, alternative analysis is available that would allow the relevant reading. This seems like an unnecessary duplication one furthermore that precludes the possibility of a unified analysis for all occurrences of self. As I take such an outcome to be highly desirable, 12 I conclude that adicity reduction is not involved.

⁸ As Charlow (2010) remarks, accenting the reflexive makes these readings more accessible. Charlow reports that accent is not required however, as do other speakers. Still, this is an important remark that we do not investigate here, but is further discussed in Charnavel and Sportiche (2021).

Similarly, suppose that Oedipus thinks he is Achilles as above, and that he wants himself (Achilles) to find Oedipus. Then it is true that Oedipus wants to find himself, but the content of Oedipus's desire is not 'I should find myself'.

Some speakers have difficulties accessing this reading in their own language for such sentences, perhaps a reflection of the general, lesser accessibility of de re non de dicto construals. But speakers allowing them do report the contrasts discussed in the remainder of this article.

Furthermore, I know of no language in which this difference is morphologically coded, that is one morphology for adicity reduction and another for non adicity reduction. Superficial examples do exist but do not withstand analysis. This said, such a two option analysis is defended in Hovav and Doron (2009) in some cases.

Charnavel and Sportiche (2022) and Charnavel and Sportiche (2021) propose such a unified treatments of self anaphora and self intensifiers.

How would options #3 and #4 fare?

In principle, a presuppositional analysis as in option #3 of the second approach could accommodate these facts. Under such an analysis, the presence of himself, se or sich signals the presence of a presupposition according to which two arguments of the predicate are coreferential. This presupposition would have to be relativized to attitude holders, so that it would hold either in Oedipus's modal alternatives yielding de dicto coreference, or for the speaker, that is in the actual world yielding de re coreference, or both. But as we will see shortly, this would require, rather implausibly, treating the self appearing as part of himself differently from the other occurrences of self, as well as an implausible syntax (because of problems with self incorporation).

Option #4 would be straightforward: Condition A requires coreference or covaluation but does not specify in which worlds this must hold, the speaker's belief worlds, or Oedipus's. Just like the preceding option, it requires relativizing covaluation to particular attitude holders (as discussed in Sportiche, 2022a, Sportiche, 2022b).

3.2 Self marked Predicates

The conclusion that semantic adicity reduction is not right is corroborated by an observation made in Heim (1994) whose analytical significance has been overlooked, I think. Heim, 1994, section 3, remarks that in German, inherently reflexive verbs (such as French (se) suicider/commit suicide) or explicitly marked reflexive predicates such as self-identify/autogérer, hitpa'el forms) (can) behave differently from verbs with a reflexive pronoun argument. I will use for these predicates the descriptive term reflexive predicates without preconception on how they should be analyzed.

Consider the following sentences in the given scenario:

(6) Henri sees a dead body he mistakenly thinks is Anna's. I (and my adressee) know that the dead body is in fact Maria's. Henri tells me: I think Maria killed Anna. I can report to my adressee:

a. Henri pense que Maria s'est tuée Henri thinks Maria killed herself French

b. Henri pense que Maria s'est suicidée Henri thinks Maria committed suicide

French

The first sentence (in French or English) is a true report (it reports what happened $de\ re$), while the second (in French or English) is not. For it to be true, Henri would have to think: 'Maria killed herself'. We can describe the difference as follows: assuming informally that se in the first example stands for the theme argument, the identity relation between the agent Maria and what the theme se denotes can be read $de\ re$ non $de\ dicto$. The identity relation between the agent and the theme in the second sentence can't be.

Heim's German examples with non inherent but explicitly marked reflexive predicates involve a *selbst/selber* nominal (contrasted with verbs with *sich selbst/selber* instead of bare *sich*):

- (7) a. Der Hans soll sich mal vorstellen, mit sich selber sprechen zu müssen. 'Hans should imagine having to talk with himself'
 - b. Der Hans soll sich mal vorstellen, Selbstgespräche führen zu müssen. 'Hans should imagine having to conduct self-conversations'

According to Heim (and other German speakers I checked the data with), only the first one can mean that Hans's imagination-alternatives talk with him, where Hans does not realize that this 'him' is Hans himself.

The difference can be more minimally illustrated in French (or English) in which (many) predicates can be explicitly reflexivized by adding the prefix *auto/self*.

Before proceeding, note that, in the cases we will consider, auto does involve reflexivization as opposed to what Spathas et al. (2015) calls the "anti assistive" meaning "by oneself", "alone" or "without help" - an a priori plausible alternative - argued for for Greek afto/auto in Spathas et al. (2015). This is discussed in section 5.1. So for example, the noun critique/criticism can be prefixed with auto yielding autocritique/self-criticism; the verb critiquer/criticize can have a reflexive clitic as in se critiquer/criticize oneself or have a reflexive clitic and (a mandatory conjunction to which we will return) the prefix auto yielding s'autocritiquer /self-criticize. The following examples illustrate that anaphor binding reflexivization and reflexive predicates behave differently:

- (8) Suppose Henri hears Maria often criticizes someone who he thinks is Anna. He thinks: Maria criticizes her too much. In fact, Henri is mistaken, Maria is criticizing herself. I report:
 - a. Henri pense que Maria se critique trop Henri thinks that Maria se criticizes too much
 - b. Henri pense que Maria s'autocritique trop Henri thinks that Maria se self-criticizes too much

The first report is a true report, with de re non de dicto coreference of the reflexive and its antecedent in the embedded clause. The second report is false: in it, coreference must be de dicto. Henri must be thinking: "there is self-criticism by Maria", which is not the case. This behavior is clearly due to the presence of auto and as Heim notes for German with selbst-N forms, can be observed in nominals in French or in English. In the context above, the first sentence is a truthful report, while the second is not:

- (9) a. Henri pense que Maria fait trop de critiques d'elle même Henri thinks that Maria voices too many criticisms of herself
 - b. Henri pense que Maria fait trop d'autocritiques Henri thinks that Maria voices too many self-criticisms

Finally, this difference can be illustrated with reflexive verbs in English. Here are a couple of examples.

Suppose Connie thought that Toby identified the fuzzy character on the picture as Karla the spy, not realizing Toby was in fact pointing at himself. The first report below is a true report, with *de re* non *de dicto* coreference, while the second is not.

- (10) a. Connie thought that Toby had identified himself as a spy
 - b. Connie thought that Toby had self-identified as a spy

Here is a second example, using a strategy from $\frac{\text{Charlow}}{\text{Charlow}}$ (2010) to help bring out the $de\ re$ reading.

Note however that, assuming counterfactually that the meaning is the anti-assistive 'without help', it would cast serious doubts on any analysis of reflexivization via *self* incorporation, as overt incorporation would not be expected to yield a reflexive reading, but an anti-assistive reading instead.

- (11) Elie, the high priest wants to placate the gods by sacrificing a member of the community. He says: I want to sacrifice the oldest member of the community. He does does not realize it's him! But I do. I report:
 - a. Funny, Elie wants to immolate himself!
 - b. Funny, Elie wants to self immolate!

The first report is true, the second is false.

In conclusion, self/auto marked predicates behave differently (allowing fewer readings) than predicates taking a self DP argument. Reducing the latter to the former via (covert) self incorporation therefore yields incorrect results: anaphor binding cannot be reduced to self incorporation.¹⁴

3.3 Reflexivization as anaphor binding: further reasons

In this section, further support is provided contrasting bound anaphora reflexivization from predicate reflexivization in two areas: Focus alternatives and Proxies. A third area is the syntactic configurations in which either occur, which, as will see in section 3.4 make reducing the former to the latter implausible.

3.3.1 Focus Alternatives

Sportiche (2014a) discusses the following type of examples to show that French reflexive constructions cannot be unaccusative:

(12) Seul Henri s' est critiqué Only Henri SE is criticized Only Henri criticized himself

The truth of (12) can be denied by uttering (13a) or (less easily)¹⁵ (13b) but not (13c):

- (13) a. Non, Pierre s'est critiqué aussi No, Peter criticized himself too
 - b. Non, Pierre a critiqué Henri aussi No, Peter criticized Henri too
 - c. Non, Henri a aussi critiqué Pierre No, Henri criticized Peter too

Sportiche (2014a) concludes that the unavailable denials (13c) shows that the superficial subject is not (just) an underlying object. ¹⁶

Naturally, it is possible to propose an ad hoc solution: stipulate that covert *self* incorporation has different properties than overt *self* incorporation to accommodate the facts discussed in this section. I ignore such an unmotivated option.

Haiden (2020) reports such readings as experimentally unavailable. I take the experimental protocol adopted to be insufficiently controlled to warrant this conclusion (there is no denying that there are preeferences - availability is a different matter). Furthermore, facts are quite clearly as reported for me and my consultants, as are the striking contrast between (13a) as answer to (12) and (13a) as answer to (14a).

More precisely now in light of Charnavel and Sportiche (2022) and Charnavel and Sportiche (2021), hence the 'just' qualification, the superficial subject cannot **only** have the theta role assigned to the object. A derivation in which (somehow) the object move to the subject theta position (ending up with two theta roles) is consistent with the available focal alternatives.

Now suppose we make the verb a reflexive predicate by adding auto as below in (14a):

- (14) a. Seul Henri s' est autocritiqué Only Henri SE is self-criticized Only Henri self-criticized himself
 - b. Only Toby self-identified as a spy

Now, not only can't (14a) be denied by uttering (13c), showing that these *auto/self* verbs are not unaccusatives, it also can't be denied by uttering (13b). In other words, while (12) allows both a sloppy reading (*only Henri engages in self-criticism*) deniable by (13a) and a strict reading (*Only Henri criticizes Henri*) deniable by (13b), (14a) only allows the sloppy reading. Similarly, (14b) can only be denied by uttering *No, Karla also self-identified as a spy* and not by uttering *No, Karla also identified Toby as a spy*. Thus (14a), and (14b) only allow the sloppy reading. This corroborates the conclusion that treating cases like (12) by reducing them to cases like (14a) or (14b) via *self* incorporation is not viable.

The same facts can be reproduced with reflexive nominals. The truth of (15a) can be felicitously denied by uttering (15b) or (15c) but not (15d) (the possessive bolded only to indicate it is the focus associate of only):

- (15) a. Seules \mathbf{ses}_k critiques de \mathbf{lui}_k -même blessent \mathbf{Henri}_k Only \mathbf{his} criticisms of himself hurt \mathbf{Henri}
 - b. Non, les critiques de lui_m -même de Pierre_m blessent Henri_k aussi No, Peter's criticisms of himself too hurt Henri
 - c. Non, les critiques de Henri par Pierre aussi blessent Henri_k No, Peter's criticisms of Henri also hurt Henri
 - d. Non, les critiques de Pierre par Henri aussi blessent Henri_k No, Henri 's criticisms of Peter also hurt Henri

This is consistent with long standing conclusions regarding what can be possessivized in nominals: if both an agent and a theme are present, only the agent can be possessivized (unless the agent is in a by-phrase): the focal alternatives to the possessor can thus only range over agents.¹⁷

Now, using explicitly reflexive nominals still allows (15b) as denial but removes the strict reading, thus excluding the denial in (15c):

(16) Seules \mathbf{ses}_k autocritiques blessent Henri_k Only **his** self-criticisms hurt Henri

3.3.2 Proxies

Another reason to reject a predicate reflexivization analysis is that overtly reflexive predicates disallow proxy readings, while reflexive binding of a DP does not. Reducing the latter to the former blurs a necessary distinction. Thus, there is a minimal contrast between examples (17a) and (18a):

This correctly predicts that $Seules \, \mathbf{ses}_k$ critiques par lui_k -même blessent $Henri_k$ / $Only \, \mathbf{his}_k$ criticisms by $himself_k$ hurt $Henri_k$, or Seules les critiques de \mathbf{lui}_k par lui_k -même blessent $Henri_k$ / Only the criticisms of $\mathbf{himself}_k$ by $him(self)_k$ hurt $Henri_k$ can be felicitously denied by (15d), and not by (15c).

- (17) a. Seul Ringo s' est critiqué only Ringo SE is criticized Only Ringo criticized himself
 - b. Only Ringo identified himself as one of the Beatles
- (18) a. Seul Ringo s' est autocritiqué only Ringo SE is self-criticized Only Ringo self-criticized himself
 - b. Only Ringo self-identified as one of the Beatles

Indeed, while (17a) could be used to describe a situation in which Ringo, visiting the Madame Tussaud museum, criticizes the way in which his wax figure represents him, (18a) couldn't. The latter can only mean that Ringo criticized Ringo the person. Similarly, while (17b) could be used to describe a situation in which Ringo, visiting the Madame Tussaud museum, identified his wax figure as one of the Beatles, (18b) couldn't. The latter can only mean that Ringo identified himself the person as one of the Beatles.

3.4 Short further remarks on a functional *self* analysis

As mentioned, the analysis proposed in Lechner (2012) is a version of option #2 (diagonalizing semantic arity reduction) and therefore too strong. Alternatives along the lines suggested in Sauerland (2013), a version of option #3, face difficulties.

Lechner (2012) takes *self* to be an arity reducer taking a relation as argument. To acquire this argument, *self* moves via Anaphor Raising, a QR equivalent. Taking Sauerland (2013) as example (but the discussion would apply - mutatis mutandis - to others e.g. Reuland (2011)) takes *self* to be the identity function taking a relation as argument and adding the presupposition that two individual arguments of this relation are covalued.¹⁸

(19) ||self||(P)(x)(y)| presupposes that x = y and denotes P.

Such approaches have the merit of motivating why self movement takes place. But they leave much unclear.¹⁹

Let us start with asking whether the structure and distribution of *himself* allow *self* moving by itself? The answer seems negative for several reasons.

First, invoking *self* incorporation seems inconsistent with syntactic constraints on head movement: anaphors can occur in contexts (adjuncts, inside PPs or nominals) not accessible to head movement (incorporation is strictly bounded, cf. Baker 1988, Angelopoulos and Sportiche (2022)). For example, the following examples are well formed, with *itself* a plain anaphor:

(20) Ce musée vend des répliques de lui-même This museum sells replicas of itself

Unlike Lechner (2012), Sauerland (2013) is not concerned with deriving the general properties of anaphor binding. Rather, it defends a presuppositional analysis to assimilate it to other cases of weakened presupposition (which, according to him, materializes for reflexives on focal alternatives to himself, when the presupposition introduced by self is deemed not to have to hold). Sauerland's formulation is thus meant to apply to binary relations only as below; this would have to be modified to take into account more complex cases, perhaps along the lines explored by Lechner, who does try to take the syntactic complexity of VPs into account. One option is the proposal in

¹⁹ It should be clear, incidentally, that some of these difficulties apply to options #1 and #2 as well, in addition to further difficulties not discussed here.

Second, the behavior of himself is paralleled in terms of distribution and interpretation by the French lui- $m\hat{e}me$ /him-same (see Charnavel and Sportiche, 2016). Yet, as Safir (1996) remarks, $m\hat{e}me$ is adjectival and never incorporates into verbs. Furthermore lui- $m\hat{e}me$ denotes an individual but $m\hat{e}me$ is a two place identity (or similarity) adjective, thus presumably heading an AP: it can't be the head of lui- $m\hat{e}me$; instead lui must be, with $m\hat{e}me$ an adjunct, hence not in a syntactic position to incorporate. A unified approach to English himself and French lui- $m\hat{e}me$ would appear out of reach despite their common properties.

Next, how is the trace of *self* as part of *himself* interpreted, given that traces ought ot be interpreted as copies?

And how do we address the long standing challenge (cf. e.g. Reinhart and Reuland 1993, section 2.2. p. 662) facing approaches invoking predicate reflexivization to derive (rather than stipulate) that the reflexive pronoun argument is one that enters into the reflexive relation? To illustrate, in a clause like *PRO* to assign him to myself, we would want:

- (i) *self* to require covaluation of two arguments of *assign*: by hypothesis, this would mean that *self* is the identity function on predicates.
- (ii) myself to end up being interpreted like me: this would require self to be the identity function on individuals. This looks incompatible with the previous point: indeed, we want the moved self to take a predicate as argument, but its trace to take an individual as argument.
- (iii) PRO being covalued with *me*, not with *him*: this could perhaps derive from Condition B, but it looks implausible as Condition B can sometimes be overriden but the interpretation to exclude here is never available.

This last point requires somehow building into the analysis that the argument slot occupied by the reflexive is involved in the reflexive relation: this comes down to stipulating that the reflexive must be bound.

Finally, it is unclear how lexical entries such as in (19) or in footnote 20 would be compatible with reflexives used logophorically, which can apparently be long distance bound, or with the use of reflexives as intensifiers (as in e.g. *Mary herself did it*) where no predicate reflexivization is involved.

Now, invoking himself movement instead could be advantageous (both for option #2 and for option #3) since in many cases, a functional (her)self is not syntactically sister to its argument as e.g. in (20) or with the reflexive an ECM subject or in an adjunct for example²⁰. This movement would indeed be QR-like, motivated by the need to acquire an argument, leaving an individual trace x (which we would want to be interpreted as a pronoun participating in the reflexive relation- not entirely clear how this can be derived). This QR like movement is a variety of phrasal movement which does not put self in the spine (so self need not be read de dicto cf. footnote 24) and the locality of anaphor binding could be derived as it is in Lechner (2012): it would be the locality of QR. This treatment would extend straightforwardly to French lui-même (and could extend to Hebrew acmo, or even perhaps to French se or German sich. Naturally, a full implementation would have to be worked out, but the difficulties are very substantial. The question would still remain

This is what is done in (McKillen, 2016, p.91) where the denotation of self is defined as: [[self]] $= \lambda x_e.\lambda P_{e,et}.\lambda y_e: x = y.P(x)(y)$. Such an approach stipulates that himself requires an antecedent, losing the gain provided by Sauerland's implementation. In addition it requires a predicate as argument (which can only be satisfied either because himself is a sister to a predicate - or in fact if it is sister to an argument in a constituent sister to a predicate- or by movement of himself to the predicate.

about the lexical properties of self, wherever it occurs (cf. section 4.2). C-command of the anaphor by its antecedent would have to be derived (I am not sure it can). It would have to be verified that QR locality is the right kind of locality.²¹

To conclude this section, I briefly discuss a very informal suggestion made by Dylan Bumford as it is informative in a different way. To handle the facts discussed in section 3.2, it postulates a functional analysis of *himself* and *self* as follows in (a) and (b):

```
(21) a. ||\text{himself}||^w = \lambda v \lambda R \lambda x R(x_v)(x_w)
b. ||\text{self}||^w = \lambda R \lambda x R(x_w)(x_w)
c. ||\text{self}|| = \lambda w \lambda R \lambda x R(x_w)(x_w)
```

First, (21b) should be modified to (21c) (with λw saturated locally) to guarantee that covaluation holds for the attitude holder, as required, not for the speaker only. Second, (21a) defines the reflexive to be a function taking a binary predicate R as argument whose two arguments are distinguished as is required: one is evaluated by the attitude holder (in w), the other not necessarily. This approach is (instructively) too weak. Indeed consider again:

- (22) Elie, the high priest wants to placate the gods by sacrificing a member of the community. He says: I want to sacrifice the oldest member of the community! He does does not realize it's him! But I do. I report:
 - a. Funny, Elie wants to immolate himself!

true

b. Funny, Elie wants to self immolate!

false

In (22a), one of the variables is referentially valued by the speaker, not by Elie. The intent of (21a) is to allow this. But this now yields a functional himself that is too weak since there is no guarantee that the value of x in world v and the value of x in world w have any connection: the reflexive reading is lost. To illustrate, suppose that the best student in the class is Leila for me, the speaker, but is Lydia for Charles. Suppose Charles says: 'the best girl in the class saw Leila' to mean that Lydia saw Leila. The following report would be false: 'Charles said that the best girl in the class saw herself'. Yet, it is predicted true by (21a) because its predicted meaning would be: Charles said that the person he takes to be the best girl in the class (namely Lydia) saw the person I take to be the best girl in the class (namely Leila). What is needed - this is discussed in detail in Sportiche (2022b) - is that the reflexive and its antecedent be covalued for someone (relevant).²²

To guarantee this result, (21a) could be modified to (stipulating that himseft is anaphoric:

(23)
$$||\text{himself}||^w = \lambda R \lambda x R(x_w)(x_w)$$

This could in principle work although this faces some of the difficulties discussed above: (i) it is unclear how *himself* and *self* are compositionally related; (ii) there is no guarantee that the argument slot *himself* occupies participates in the reflexive relation as in option #3; (iii)

I am not sure it is. QR can escape tensed clauses (as shown in Fox, 2002 in the discussion of Tiedeman's puzzle); although QR of α cannot outscope a DP outside the tensed clause containing α , it can outscope a VP (I think this leads to overgeneration). Also, John showed Mary himself is fine, but the DO cannot outscope the IO in such cases., etc...

Furthermore, even if there was a connection between x_w and x_v , say x_w is the counterpart in w of x_v in v, this would be insufficient if Sportiche (2022b) is right in concluding that covaluation must be in the same world to meet binding condition A.

since *himself* is not necessarily a sister to the predicate it takes as argument, the syntax would have to be manipulated in ways that would need to be plausible.

4 Analytical Implications

4.1 Viable analyses

The difference of behavior between the type of examples in (9) or (10) repeated below has analytical implications.

- (24) a. (i) Henri pense que Maria fait trop de critiques d'elle même Henri thinks that Maria voices too many criticisms of herself
 - (ii) Henri pense que Maria fait trop d'autocritiques Henri thinks that Maria voices too many self-criticisms
 - b. (i) Connie thought that Toby identified himself as a spy
 - (ii) Connie thought that Toby self-identified as a spy

Any treatment that analyzes se critiquer/identify oneself as involving a predicate with the semantics as self-criticize/self-identify is too restrictive. Looking at the examples below:

- (25) a. Henri identified himself as...
 - b. Henri self-identified \emptyset (as...)
 - c. Henri $self_k$ -identified [him t_k] as...

The facts discussed exclude as too restrictive all treatments in terms of semantic adicity reduction under which the verb of a sentence like (25a) is turned into a one-place predicate applying to the antecedent of the reflexive (with no object projected) as in (25b). This reinforces our earlier conclusion against option #1 and #2.²³

Whether these facts also exclude treatments in which the reflexive interpretation arises by turning it into a reflexive predicate without semantic adicity reduction is less immediately clear as it depends on the specifics of its implementation.

I take as boundary condition that any explanatory theory has to satisfy the postulation of a unique element self appearing at the very least in both (25a) and (25b). Most treatments adopting (option # 2 or) option #3, e.g. Reuland (2011), Patel-Grosz (2013), Sauerland (2013) (substantially building on Lechner (2012)), Spathas (2017), do satisfy this requirement by taking option #3 to involve the syntax shown in (25c) via (covert) self incorporation. The challenge such treatments face is to explain why (25a) analyzed as (25c) and structures such as (25b) behave differently in the respects discussed, as well as those in sections 3.3.1 and 3.3.2. But known implementations of option #3 assimilate (25c) to (25b) are unable

Funny, Elie wants to immolate himself!

²³ It is worth noting that Heim's German examples contrast selbst forms with sich in a PP. But the same contrast holds with direct object sich: In the same scenario as above with Elie, the high priest, I report:

a. Komisch, Elie will sich opfern/verbrennen! Funny, Elie wants himself immolate/immolate

b. Komisch, Elie will eine Selbstverbrennung tun! Funny, Charles wants a self-immolation do Funny, Elie wants to self immolate!

The first report is true, the second false. This shows that an analysis of non PP *sich* in terms of arity reduction as in Büring (2012) is not tenable.

to account for the systematic differences between (25a) and (25b) we documented.²⁴ In addition, self incorporation as a predicate reflexivizer is implausible as a general solution to the locality requirement reflexive pronouns are subject to for a variety of reasons discussed in 3.4 below: (i) it is incompatible with the syntactic distribution of reflexive pronouns like himself; (ii) it cannot be extended to French $lui-m\hat{e}me$ which behaves like himself in the relevant respect as $m\hat{e}me$ does not, and, being adjectival - cf. Safir (1996), cannot, incorporate into verbs; (iii) is inconsistent with the internal syntax of himself, $lui-m\hat{e}me$ (and in fact other reflexive expressions such as Greek o eaftos mu - cf. Angelopoulos and Sportiche, 2022).²⁵ Perhaps some new implementation taking self as functional as in option #3 would be able to circumvent the problems noted. We briefly discuss its challenges in section 3.4.

Adopting option #4 for cases in (1) leaves room to handle intrinsically reflexive predicates (like *suicide*), or explicitly marked reflexive predicates (like *self-criticism*) differently in a variety of ways (e.g. conceivably, albeit not necessarily, by assuming that they alone involve semantic arity reduction). Note however that not all implementations allow such differential treatments. For example, treating an anaphor as a wholesale trace of its antecedent as in Drummond et al. (2011) entails treating the reflexive and its antecedent, both instances of the same syntactic object as per the copy theory of traces, as covalued in all worlds. This is just as strong as option #2 treatments and thus too strong.

4.2 Why are anaphors anaphors?

It is desirable to derive from primitive properties why certain expressions, e.g. *herself* can behave anaphorically under certain circumstances.

Taking herself to be a functional arity reducer has the merit of providing an answer to this question by attributing a particular lexical content to it, namely, as mentioned earlier, that of being a reflexivizing function taking the predicate it is a dependent of as argument. This in addition derives why antecedent and reflexive are in a local relation. But as argued, this is not viable.

Similarly, taking *self* of *herself* to be a reflexivizer having to incorporate to the predicate the reflexive is an argument of provides similar benefits. To force incorporation, *self* ought to be taken as function taking a predicate as argument, but as discussed above, it is most unclear how to implement such a view in a consistent way and looks non viable as well.

Consequently, a different approach must be developed, one that does not rely on predicate reflexivization. I take this to be an independently welcome conclusion. Indeed, it is most unclear how these approaches can generalize to logophoric usages of English or French self reflexives, without invoking ad hoc lexical ambiguities (why wouldn't the self of logophoric

²⁴ It is worth pointing out in this respect, that making self a member of the syntactic spine, we expect the semantic contribution of self to have to be read de dicto as shown by the findings in Percus (2000): Percus (2000) shows that the (covert) world pronouns such elements contain must be indexed (bound) to the closest binder, that is that such elements must be read de dicto.

Note also that trying to reduce the locality of reflexive binding to properties of an inalienably possessed self is unpromising, given the difference in distribution between reflexives and inalienably possessed elements, e.g. the data discussed in sections 3.3.1 (availability of strict readings) and 3.3.2 (proxy readings) neither of which is allowed in inalienable possession cases. For example, this view is adopted in Safir (1996) which takes the self of himself to be the conflation of a metonymic part/whole relation MET with the part of an individual noted self, seen as an (inalienably possessed) body part. The whole is the person referred to by him so that Henrik loves himkself means Henri loves him represented by (his) self. Inalienable possession is also appealed to in Déchaine and Wiltschko (2017) to derive locality requirements.

himself not be a reflexivizer?). Furthermore, it is surely desirable to treat uniformly not only the *self* or anaphoric reflexives or logophoric reflexives, but also the *self* morpheme of intensifying reflexives, a widespread homophony that demands explanation. By this measure, no current analysis is satisfactory.

Ultimately, I adopt an altogether different approach, not discussed here but developed in Charnavel and Sportiche (2022) and Charnavel and Sportiche (2021) and forthcoming work, according to which *self* takes two individual arguments, asserting their covaluation, and attempting to unify all uses of *self*, in anaphors, logophors and intensifiers, whether incorporated or not.

5 Explicitly marked or intrinsically reflexive predicates

5.1 A detour: auto and incorporated self

As mentioned earlier, we took it that auto can involve reflexivization as opposed to only what Spathas et al. (2015) calls the "anti assistive" meaning "by oneself", "alone" or "without help" - an a priori plausible alternative - argued for for Greek afto/auto therein.

There are several reasons to adopt this conclusion.

First, when looking at some predicates at least, with several arguments, one argument, always understood as covalued with the subject of the predicate must be covert in the presence of *auto*, *self*. Thus, a sentence such as:

(26) Charles a auto évalué Maria / Charles self evaluated Maria

is ill formed: if the anti assistive reading was an option here, it should be well formed and mean 'Charles evaluated Maria without help'.

Second, this behavior is also visible for example in a nominalization such as self-evaluation/auto-evaluation: if self/auto could mean 'without help' here, this should be able to mean 'evaluation by someone of someone or other without help, which it can't. It must mean 'evaluation by X of Xself. Finally, auto (and self) are compatible with stative predicates such as connaitre/knowledge as in s'auto-connaitre, self-knowledge, unlike the 'anti-assistive' usages which are not compatible with stative predicates (cf. Hole (2002)). This last point means at least that auto, self cannot be only anti-assistive.

This said, the 'flavor' of the anti-assistive reading is available, for example in:

(27) Henri s'est auto-évalué Henri did a self evaluation

Leaving aside the question of whether this is technically an anti-assistive reading (without help) or just an adnominal intensifying reading (Henri himself evaluated himself) (see Charnavel and Sportiche 2022 and references therein for discussion), an intensifying reading is available raising the question of whether a 'pure' intensifying reading is available for auto. I first discuss cases in which it could be thought that it is but in which I am going to suggest it is not and discuss why this flavor can arise. Next I will point out cases in which it is. This first conclusion is based on the well formedness and wide use (hundreds of thousand or more hits on a Google search for French, more than a hundred thousand for English self portrait of oneself alone) of nominal expressions such as:

(28)	a.	auto évaluation de soi	self evaluation of oneself
	b.	auto contrôle de soi	self control of oneself
	c.	auto réalisation de soi	self realization of oneself
	d.	auto estime de soi	self esteem of oneself
	e.	auto portrait de soi	self portrait of oneself
	f.	auto perception de soi	self perception of oneself
	g.	auto découverte de soi	self discovery of oneself

In all these examples all involving nominals with two theta roles, all interpreted as reflexive relations, is the presence of $de\ soi/of\ oneself$, which is systematically interpreted as a theme, never as an agent or an experiencer. As said above $auto\ \'evaluation\ /self\ evaluation\ must$ mean evaluation by X of Xself. But it could also equivalently mean evaluation of X by Xself. The examples above can immediately be accounted for if the latter is a closer paraphrase for these cases, making auto standing for an incorporated version of the passive by-phrase $by\ X$ -self:

(29)	a.	auto évaluation de soi	
		évaluation de soi par soi-même	

- b. auto contrôle de soi contrôle de soi par soi-même
- c. auto réalisation de soi réalisation de soi par soi-même
- d. auto estime de soi estime de soi par soi-même
- e. auto portrait de soi portrait de soi par soi-même
- f. auto perception de soi perception de soi par soi-même
- g. auto découverte de soi découverte de soi par soi-même

self evaluation of oneself evaluation of oneself by oneself control of oneself by oneself control of oneself by oneself self realization of oneself realization of one by oneself self esteem of one by oneself self portrait of oneself portrait of one by oneself self perception of oneself self discovery of oneself discovery of one by oneself

In other words, all these nominals are akin to impersonal passives (roughly like the pseudo English there was danced the polka by many and the version of these nominals with a missing argument are ambiguous, one version being passive nominals: auto-critique/self-criticism, for example, either has an incorporated theme with a silent agent/subject, or has a passivized object, thus allowed to be silent and an incorporated by X-self phrase:

```
(30) a. auto-critique
b. [e_k [auto_m critique t_m]]
c. [e_m [auto_k critique t_m t_k]]
```

Returning now to verbs, e.g. the verb critiquer/criticize, we have two variants of the reflexive constructions, with and without auto:

(31) a. Jean doit se critiquer/ Jean must criticize himself b. Jean doit s'autocritiquer/Jean must self-criticize

where, as noted, the presence of *auto* requires the reflexive clitic *se*. The proposed analysis above leads to a subtle difference between the two: both can be properly glossed as *criticize* oneself, but the second is also glossable as being criticized by oneself, with the by-phrase

incorporated as auto. This explains why auto incorporation can give rise to an anti-assistive flavor: choosing to add auto to the sentence in (31a) does not change its truth value and thus appears to be redundant unless auto is focused. It is therefore natural (as an implicature) assume as a hearer that it is focused, changing the sentence focus value. As a result, alternatives to the contribution of auto are understood to be excluded. If auto/self is an incorporated theme, this is saying that Jean is the sole theme. This option is illustrated in the following discourse:

(32) A: Jean a incriminé Pierre / John incriminated Peter B: Non, Jean s'est auto incriminé / No, John self incriminated.

If *auto/self* is an incorporated agent, this is saying that Jean is the sole agent, yielding an anti-assistive flavor.

(33) A: Jean a incriminé Pierre /John incriminated Peter B: Non, Pierre s'est auto incriminé / No, Pierre self incriminated.

Now there are cases such as these found in English, or its approximate French translation:

- (34) a. The strong attendance for a self-produced poetry reading fired up Jane ('Just Kids', Patti Smith, illustrated edition, 2018, p. 285)
 - b. L'affluence pour ce spectacle auto-produit excita Jeanne

Here, a 'standard' reflexive reading is excluded, since the verb *produire /produce* takes reading/lecture as one argument, and, in this context, the other one, encoded by *auto/self*, means Patti Smith, the writer. This shows that taking *self* to be a predicate reflexivizer reflexivizing the predicate to which it attaches is plainly wrong.

I take such cases to instantiate a logophoric usage of the reflexive, referring back to the person (Patti Smith) from whose point of view the event is reported. So there is still coreference between two expressions, but here, it is between the agent, the producer, and the logophoric center (which, following Charnavel (2020) and Charnavel (2019), I take to be a pronoun subject of a locally represented logophoric operator), together with an anti assistive flavor for the same reasons as above. ²⁶.

There are expressions such as:

(35) un four auto-nettoyant a self cleaning oven 'an oven that cleans itself'

Here we have an active verb form (a present participle in French), where *auto/self* can readily be analyzed as an incorporated internal argument. Cases like *autocollant/lit. self sticking/sticker* again with an active form in French, which seems to mean 'sticks by itself' are a bit surprising. Indeed, if *auto* could mean *by itself*, *oneself* in the sense of alone, the ill formedness of (26) would become puzzling. Instead, I suggest that *auto/self* still behaves like an internal argument of *coller/stick*, but in this case, the external argument is not agentive, but simply causal: a more accurate paraphrase *autocollant/lit. self sticking/sticker* would be 'sticks by itself' with a by-phrase in the sense of 'sticks because of itself', still a passive

Unlike French *auto*, English *self* is used as (part of) an intensifier. We might therefore a priori expect it to be usable as a pure intensifier when bare and 'incorporated'. I think this does not occur for reasons that require a more articulated discussion of the properties of *self* than can be given here, but cf. Charnavel and Sportiche (2022).

like structure but of a causal external argument.

English self also allows self to stand for an (incorporated) internal argument (as in (35) or a self-configuring program, meaning 'a program configuring itself'). But the option of self being an incorporated external argument as in French is routinely available with self+passive participle as in a self configured program paraphrased by 'a program configured by itself'.

Finally, as mentioned above, there also are cases where *auto* does have a non reflexive intensifying use (this is also true in English as the glosses indicate):

- (36) a. Ce politicien a auto-financé sa campagne electorale 'This politician self financed his electoral campaign'
 - b. L'auto financement de sa campagne électorale the self financing of his electoral campaign
 - c. Les nations hors G-7 pourraient acheter des pétroliers et les auto assurer 'Nations outside the G-7 could buy tankers, and self insure them'

Here, there does not seem to be any reflexivization of the predicates involved (finance, insure). Nor is a logophoric use plausible, as these examples are fine without any context provided point of view holder. This is an intensifying use of auto, self (and for the second, the meaning seems not to be anti-assistive but rather adnominal: the nations themselves insure them, rather than the nations insure them without help).

The existence of such cases raises unresolved questions, e.g. why (26) is ill formed (unlike in (26), the fine examples in (36) have an animacy mismatch between subject and object, which may play a role).

5.2 Self-marked predicates

Let us briefly return to the difference between the two examples below, all of which behaving like their French counterparts in the relevant respects, limiting the discussion here to suggestive remarks:

- (37) a. His criticism of/knowledge of/confidence in/ himself, he taught himself skills
 - b. His self-criticism/ self-knowledge / self-confidence/ self-taught skills

Because self and auto in such cases share many descriptive properties, it is reasonable to attempt a unified treatment for both, in particular using what can be learned from the French cases.

Now, we assume that (37a) is handled classically: *himself* is an anaphor subject to Condition A and *self* incorporation is not involved, for reasons discussed earlier. Given examples such as (3) in contexts like (4), we take the coindexing requirement between the anaphor and its binder to be world parametrized.

How should the cases of *self/auto*-prefixation such as (37b) be treated? Given the conclusion that lexical options are not needed for the cases we discussed, it is desirable to avoid lexical options for these if possible, particularly in the absence of a coherent theory of what lexical operations are allowed to do.

Now, whether a verb, a noun or an adjective allows a *self*-variant depends in part on its lexical properties: if *self* is a reflexivizer, its host has to be reflexivizable in principle, else no coherent interpretation can be constructed. This need not be lexically encoded. But

only some of the in principle eligible hosts are attested with *self* variants.²⁷ This seems to be, at least in part, an arbitrary property that must be listed somewhere and does not say whether the forms result from syntactic composition or not. A possible solution to this question of productivity is to assume that the only mode of composition is syntactic but that (late) lexical insertion acts as a filter on what complex or idiosyncratic forms are actually allowed/attested - but this would have to be made precise of course.

Furthermore, there are reasons to treat *self* forms, and the French equivalent *auto* forms as syntactically composed.

Recall the discussion in section 5.1 above. The French equivalent of English 'incorporated' self is auto. French auto like English self can be used to encode reflexive relations on nouns autoévaluation/self evaluation or adjectives auto satisfait/self satisfied, but much more easily on verbs s'autoévaluer/ self evaluate than in English. When present, self/auto is present, the reflexive relation must hold de dicto.

Importantly, the reflexive relation need not be between semantic arguments of the same predicate:

- (38) a. S' auto juger innocent objectivement est difficile se self judge innocent objectively is difficult To objectively judge oneself innocent is difficult
 - b. Charles voulait s' auto proclamer roi Charles wanted to se self proclaim king Charles wanted to proclaim himself king
 - c. Un roi auto-proclamé a king self-proclaimed a self proclaimed king.

Here oneself/himself are not arguments of the verbs judge/proclaim but the subject of the adjectival or nominal small clause. This is also available on some participles at least as in (38c) (although not on nouns or adjectives which do not license ECM/small clause complement structures) where the reflexive relation (proclaim oneself king) also holds between the subject of proclaim and the subject of the nominal small clause headed by king. And of course, this is also true of English participles self proclaimed king, self styled expert, self named prophet, self confessed murderer... as well as a limited number of verbs such as:

- (39) a. Charles identified himself as...
 - b. Charles self-identified \emptyset (as...)

It is thus not possible to take self/auto to, as a general rule, lexically covaluate two arguments of the predicate it modifies.

Let us now very briefly sketch how a syntactic treatment could be formulated: such cases could involve self/auto mandatorily raising as a head when merged (superficially) bare, and would thus be subject to locality constraints on head movement. French would be similar but with auto never licensing an overt possessor and with mandatory auto movement. The main challenge is to derive the necessarily de dicto reading of such constructions. If self/auto ended up in the spine, taking the predicate as argument, as in several analyses in options #2 and #3, this would be derived given footnote 24. But this would mean classic incorporation

Self-forms are by no means marginal. A search of online databases for English returns thousands of self-forms, verbs being the least represented category. In addition, speakers do not reject novel forms, although they tend to be aware that they are novel.

would not be quite what is involved: in classic incorporation, the incorporee is an argument or the incorporator; here it would be the reverse.

The following observations about French *auto* support the conclusion that movement (or simply the merging) is syntactic in French, since *auto* can merge in different positions, higher or lower than re:²⁸

- (40) a. Charles s' est re évalué Charles se is re evaluated Charles evaluated himself again
 - b. Charles s' est re auto évalué Charles se is re self evaluated Again, Charles evaluated himself
 - c. Charles s' est auto re évalué Charles se is self re evaluated Charles re evaluated himself
 - d. Charles s'est lui-même re évalué Charles se is himself re evaluated Charles has himself reevaluated himself

Sportiche (2012) shows that French re basically behaves like an autonomous adverb, merging above (some) VP projection (which in a 'shell' could be the lower VP or the higher vP). So auto can be even higher. Sentence (40a), is ambiguous between two interpretations: a repetitive reading (Charles evaluated himself twice) and a restitutive (someone evaluated Charles, and then Charles did it, an ambiguity arising from the different merging positions of re).

Sentence (40b) is not ambiguous. As *re* outscopes *auto* what is repeated is Charles performing a self-evaluation: adding *auto* here removes the possibility that the first evaluation was done by someone other than Charles (and this is predicted by the analysis of *auto* proposed in section 5.1.

In sentence (40c), auto is added to 'Charles s'est réévalué' with auto outscoping re. Auto is felicitous as a way to emphasize that the reevaluator is Charles (and not someone else) (see again section 5.1), whether (40a) is interpreted restitutively (someone evaluated Charles, and then Charles did it), or repetitively (Charles evaluated himself, and then Charles did it again). So here the resulting meaning is very similar to that of (40d).²⁹ That auto can merge higher than an autonomous adverbial casts doubts on an incorporation approach, raising anew the questions of why movement is local, and why the reflexive relation must be read de dicto.

Hebrew hitpa'el (as in (41a), where the specific morphology is glossed as 'morph') which is reportedly limited to covaluating coarguments would still need to be accounted for: while French (or English) allows reflexive readings without auto ((38a) without auto/self is well

As discussed in Sportiche (2012), 're' followed by a vowel can for the author be pronounced [rö] or [re], the latter only allowing a restitutive reading, the former being ambiguous but preferably repetitive. The following examples only use the former.

English re- has a much more limited distribution but the same facts can partially be observed in nominals. One can standardly have:

⁽i) a. Maria did an evaluation; b. Maria did a self evaluation; c. Maria did a reevaluation; d. Maria did a self reevaluation

⁽d) would be felicitous in a context such as: Maria's doctor did an evaluation of her but she didn't trust anything that he said, so she decided to do a self re-evaluation, to reevaluate herself herself.

formed) in ECM/small clause cases, Hebrew does not.

- (41) a. Leila hitraxec Hebrew
 Leila morph-wash
 Leila washed herself
 b. Leila s'est layée French
 - b. Leila s'est lavée French Leila se is washed Leila washed herself

But the question arises as to how the reflexive reading in examples (41) arises. Here the answer requires understanding the role played by s(e) or the hitpa'el morphology as they not only license reflexive readings, but middle readings as well, and in the French case at least, anticausative readings. Because of this variety of possibilities, Sportiche (2014b) argues that there is nothing specifically reflexive in (41b), the reflexive reading arises as a by-product of independent factors. A unified analysis of the role played by the hitpa'el morphology may lead to similar conclusions (see Kastner (2016) for some discussion) and might at the very least show that the hitpa'el conjugation does not instantiate an arity reduction process.

Two additional remarks:

First one property that needs to be derived for all case discussed in this section is subject orientation: in all cases, the subject must be part of the reflexive relation. This is not a necessary property of such "prefixes". Thus the French prefix entre/inter yields verbs such as entrecroiser, entrelacer, entremeler behaving as follows: they have bare variants croiser, lacer, meler/cross, lace, mix taking three arguments (A croise B with C/A cross B with C) and acquire a reciprocal reading on the object with inter (A makes the Bs cross each other). But subject orientation is possible too as with s'entretuer, s'entredechirer, s'entremeler/killeach other, tear each other apart, mix with each other (the last two being non causative). This is in my view suggests a syntactic treatment, not in terms of presupposition of covaluation, but in which self/auto is higher than VP and attracts the closest argument it can (namely the subject) as one involved in the covaluation self/auto asserts.

A second remark is that reflexive self/auto attaches to nouns or participles, and otherwise can only covalue direct syntactic or semantic dependents, never adjuncts. This strongly suggests therefore that nouns do take arguments that self/auto can covalue, and that passive participles can retain the external argument that the corresponding verbs have as arguments and not adjuncts, which can then participate in the covaluation relation induced by self/auto.

5.3 Inherently Reflexive Predicates

Finally, consider verbs that look inherently or lexically reflexives:

(42) Charles washed/ shaved

Are these instances of lexical reflexivization turning the verb wash into an intransitive $wash_R$? There is evidence suggesting a negative answer, based on the properties of again which functions like French re discussed earlier. Thus Charles evaluated himself again is ambiguous between a repetitive reading (Charles evaluated himself twice) and a restitutive reading (someone else evaluated Charles after which Charles evaluated himself). As suggested in von Stechow (1996) (see Beck and Johnson, 2004, Sportiche, 2012 for supporting discussions), this can be derived by assuming that again modifies either the entire vP

(roughly: 'Charles cause Charles be evaluated') or the inner VP only (roughly: 'Charles be evaluated'). In other words, this can be handled by postulating two distinct merging sites for *again* roughly as below, where the lexical presupposition of *again* requires that what its sister describes has previously occurred:

```
(43) a. Repetitive: [again [_{vP} A cause [_{VP} B evaluated ]]] b. Restitutive [_{vP} A cause [ again [_{VP} B evaluated ]]]
```

With this background, consider the following:

- (44) a. Monday morning, Lydia shaved Charles
 - b. Monday afternoon, Charles shaved again

Speakers report this as a well formed discourse.³⁰ Given that the shaver is not Charles the first time around, this means (44b) encodes a restitutive reading. So there must be a VP meaning 'Charles be shaved' that is modified by again. In other words, this means that the verb shave in (44b) is syntactically transitive. This could be handled by taking the VP in (44b) (or other structures with similar verbs) to allow a silent direct object self or himself. Further evidence for the conclusion that there is a syntactic direct object comes from what manner adverbials contribute:

- (45) a. Lydia washed thoroughly
 - b. Charles shaved closely
 - c. Maria dressed nicely

In all cases, these adverbials can modify the resulting state (of washing, shaving or dressing) holding of the subject and is analyzed as modifying VP, which would be consistent with the general observation that manner adverbials are structurally the lowest adverbs. In other words, the analysis would be:

```
(46) a. Lydia<sub>q</sub> v [ [_{VP} e<sub>q</sub> 'resulting state'] thoroughly] b. Lydia<sub>q</sub> v [ [_{VP} e<sub>q</sub> washed] thoroughly]
```

Furthermore, consider the following two sentences in the context provided:

- (47) Charles is a famous barber who loves to shave celebrities. Looking at a fuzzy picture of a famous barber, he says: I hope I will shave him! He does not realize it's him in the picture, but I do. I report:
 - a. Funny! Charles hopes to shave himself!
 - b. Funny! Charles hopes to shave!

The first sentence is judged true, while the second is judged false. In other words, inherently reflexive *shave* is a transitive verb, but behaves like predicates explicitly marked with *self*. To derive this, one option would be to assume that the object is a silent *self*. This object would have to mandatorily incorporate to form *self-shave*, something reasonable if what is idiosyncratic about these verbs is that *self-shave* is spelled out as *shave*.

The French verb se suicider/commit suicide behaves differently: Lydia a tué Charles, il est revenu à la vie, puis s'est suicidé *de nouveau / Lydia killed Charles, came back to life and committed suicide *again, suggesting that suicide mandatorily contains auto/self, as its etymology suggests.

Verbs such as shave, wash, dress etc.. are sometimes called "naturally reflexive" or "naturally self oriented". It is unclear what 'natural' means here. Surely, there is nothing intrinsically self directed about their meanings. Furthermore, it is far from clear that the most frequent use of such verbs is self directed. An alternative, consistent with the data discussed here, is that when used reflexively, they involve a canonical body part and are thus cases of inalienable possession (a reflexivization strategy overtly used in a number of languages - see e.g. Déchaine and Wiltschko, 2017): Charles shaved his face/beard, Lydia washed her body, Elie dressed his body in which the canonical body part denoting noun incorporates and remains (recoverably) silent. Such constructions display the de dicto property illustrated in (47).

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