

## Computational linguistics as natural science

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**Abstract.** Theoretical linguistics uses an epistemological framework consisting of the modern experimental method combined with premodern ordinary language justification. Natural language syllogisms are not accepted for justification in the hard sciences, however. It is argued that they should not be accepted in theoretical linguistics either. Computational linguistics is proposed as a possible way for bridging the gap. The study of Romance clitics is used as an example to illustrate the merits and challenges of the proposed methodology. Specifically, a Python based analysis of the clitic data is presented whose correctness is verified by deductive calculations performed by a computer.<sup>1</sup>

**Keywords:** computational linguistics; deductive reasoning; natural science; clitics

### 1 Natural science, deductive reasoning and theoretical linguistics

The 17<sup>th</sup> century natural philosophers who invented and then developed modern science took great care to follow the canons of mathematical rigor in their work. Galileo, after introducing the notion of uniformly accelerated motion makes Simplicio, one of the three protagonists in the *Two New Sciences*, to inquire “whether this acceleration is that which one meets in nature” (Galileo 1954 [1638]: 178) and then describes a set of experiments demonstrating that balls falling on an inclined plane have the properties deduced from his mathematical construct. Most of Galileo’s work is dedicated to rigorous proofs. A century later Isaac Newton observed how his science “sets forth mathematical principles of natural philosophy” such that “the motions of the planets, the comets, the moon, and the sea are deduced

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<sup>1</sup> An earlier version of this manuscript was submitted to *Language Sciences*, where it received one positive and one negative review. The editor invited a revised version. During the revision process the editorship of the journal changed hands, however, and the new editor rejected my resubmission (this version) virtually overnight. The stated reason for rejection was that the Newtonian scientific framework, proposed in this paper, was not considered to provide a “sufficiently secure foundation” for this journal’s standards.

from these forces by propositions that are also mathematical” (Newton 1999 [1726]: 382).<sup>2</sup> Newton’s work, like that of Galileo’s, consists mostly of mathematical proofs.

The notion of mathematical rigor and formalization as such were not new, however. Both Galileo and Newton could take mathematical rigor for granted, in fact both were inspired by Euclid’s axiomatic geometry.<sup>3</sup> The maxim that explanation follows observation was invented by Aristotle and was followed throughout the medieval period. Empirical facts were gathered into large encyclopedic tomes, while there were many attempts at coming to terms with basic physical phenomena such as movement by applying the Aristotelian-Archimedean tradition.<sup>4</sup> What eventually substituted the medieval Aristotelian natural philosophy was the way theory and observation were organized into the new epistemological system. While the pre-moderns collected observations and categorized and formalized them within aprioristic theoretical prisms, often synthesizing Aristotle and the theologians of their era, modern science uses controlled experimentation to establish facts and mathematical reasoning to demonstrate that the theory does capture them. It is against this background, then, that it is of some interest to note that while a substantial portion of modern linguistics works within the context of the established experimental hypothesis-testing framework, they seldom use rigorous, deductive demonstrations.<sup>5</sup> What justifies the neglect?

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<sup>2</sup> This citation from the Author’s preface for the English translation of Newton’s *Principia* (3<sup>rd</sup> edition), translated by I. Bernard Cohen, Anne Whitman and Julia Budenz.

<sup>3</sup> See Cuomo (2001). Newton constructed *Principia Mathematica* by using geometrical proofs instead of the algebraic methods typical of modern calculus and perhaps did so for the admiration of the ancient geometry as reported by his assistant Henry Pemberton.

<sup>4</sup> Clagett (1961). I would like to quote here from Edward Grant: “It must not be thought that during the Middle Ages there was little interest in seeking knowledge of physical reality. On the contrary, since Aristotle himself was convinced that he had arrived at a system which represented physical reality, his many followers in the thirteenth century, most notable Thomas Aquinas, were also physical realists, much like Copernicus [...] Their physical realism was, for the most part, indistinguishable from their wholehearted acceptance of Aristotle’s physics and cosmology” (Grant 1971: 88). The pre-modern relationship between mathematics, mathematical reasoning and nature was established in Aristotle’s *Physics* and *Posterior Analytics* and was preserved in that form virtually intact until the 17<sup>th</sup> century science. See Bochner (1966).

<sup>5</sup> There exists a subgenre of linguistics that denies the applicability of the modern scientific method to language and linguistics (see, for example, Itkonen 1983). The methodological point I wish to establish in this article applies irrespectively of whether

36 In answering this puzzle, we must first reject the possibility that linguists were unaware of the fact that deduction and  
 37 rigorous calculation play a role in science. Evidence is not hard to find. Chomsky, writing in the preface of *Syntactic*  
 38 *Structures*, points out that his work seeks to “construct a formalized general theory of linguistic structure” because by  
 39 “pushing a precise but inadequate formulation to an unacceptable conclusion, we can often expose the exact source of  
 40 this inadequacy and, consequently, gain a deeper understanding of the linguistic data. More positively, a formalized  
 41 theory may automatically provide solutions for many problems other than those for which it was explicitly designed”  
 42 (Chomsky 1957:5). He furthermore pointed out, in agreement with Galileo and Newton, that “obscure and intuition-  
 43 bound notions can neither lead to absurd conclusions nor provide new and correct ones, and hence they fail to be  
 44 useful in two important respects” (p. 5). The method was followed fruitfully in the early years: thus, in a classic 1993  
 45 linguistics textbook Bartee et al. correctly observed that a “formal grammar [...] is essentially a deductive system of  
 46 axioms and rules of inference which generates the sentences of a language as its theorems” (Partee et al. 1993: 435).  
 47 Yet, actual rigorous demonstrations had all but disappeared from concrete linguistic work in Chomsky (1981). The  
 48 stated grounds for the neglect was that the author was only interested in “leading ideas” without any regard for  
 49 “explicit theory” or “specific realization.” Emphasis on concrete realization was now claimed to be “misleading and  
 50 perhaps even pointless” (p. 3) because it focuses attention to differences that are, it was claimed, empirically  
 51 irrelevant. But why not to demonstrate that the “leading ideas” deduce the empirical facts? It is inconceivable that  
 52 Newton would have rejected calculus on such grounds.

53 This criticism should perhaps be amended with a few remarks and clarifications before trying to find an answer.

54 Although mathematical rigor plays a role in scientific discovery (see, e.g., Bangu 2012:110–44; Dyson 1964), its role  
 55 in the scientific literature proper is justification. I will mostly ignore the role of discovery in this article and focus on  
 56 justification, since the former can play a meaningful role only insofar as the latter is present. Furthermore, a collection  
 57 of ambiguously formulated leading ideas could turn out to be true and revolutionary; and even if not reaching anything  
 58 beyond ordinary they can still have substantial empirical and experimental support, leading into research avenues that  
 59 would otherwise receive no attention. There is, in other words, no science without leading ideas. What is missing is a

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language itself is regarded as a natural phenomenon or rather as a derivative of some type of socio-normative construct. Formal  
 deductive methods are routinely used in non-empirical sciences, such as in logic, philosophy, mathematics, and computer science,  
 to mention a few.

60 chain of rigorous reasoning that takes the reader from the hypothesized theoretical construct into empirical facts and  
61 which ultimately justifies, in the scientific sense of the term, the assertion that the leading ideas do describe and  
62 explain the facts. In short, my concern in this essay is justification, not discovery or truth.

63 A second point worth mentioning is that my concern has little relevance to the empirical and experimental part of  
64 linguistics or any science whose job it is to furnish us with observations and raw data; useful experiments and other  
65 data gathering missions can be conducted without mathematical frameworks or any systems of rigorous calculation.  
66 Much of modern linguistics could be seen as an attempt to come up with useful facts, although that would be both a  
67 misleading characterization of the actual practices in the field and too modest way of envisioning the role of  
68 linguistics. The authors in this field, as in any field, make sweeping theoretical claims. Indeed, they should. I also want  
69 to emphasize that my concern is not whether one uses formalization or presents a formal grammar of some type.  
70 Mathematical rigor was invented long before the 17<sup>th</sup> century scientific revolution took place. Plenty of formalized  
71 grammars exist today from which to choose.<sup>6</sup> My concern in this article is, instead, the use of formalization in  
72 empirical justification: how to calculate, i.e., to show with certainty, that the facts do follow from a theory. That was  
73 the point of formalization in Galileo's and Newton's work.

74 In addition to validating a theoretical idea, demand for rigor has several additional benefits worth mentioning before  
75 considering the situation in linguistics more closely. One benefit involves theory comparison. The use of mathematical  
76 rigor "forces clarity and precision [...] enabling meaningful comparison between the consequences of basic  
77 assumptions and the empirical facts," as observed by May (2004:791). The field of modern linguistics is divided into  
78 subdisciplines or paradigms all which begin with what looks to be fundamentally different conceptions of what  
79 language is and its place within other natural phenomena. Due to the lack of rigor the proponents of these approaches  
80 can pontificate freely on what they believe language to be and whether and to what extent any of these frameworks  
81 can or cannot explain some facts. All such arguments again depend on the reader's willingness to fill in the blanks.  
82 This was true of most medieval theorizing before Galileo and Newton. But if fully rigorous demonstrations were  
83 required, these approaches could be compared without the speculative dimension. We could, instead, examine if a  
84 theory can be formulated in such a way that all the facts do, as a matter of fact, follow from it. It is, moreover,

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<sup>6</sup> For a particular valuable formalization of a (group of) recent minimalist grammars, relevant also to the analysis of clitics discussed later in this article, see Collins and Stabler (2016).

85 extremely irrational to refuse to do this. Once some theoretical construct can demonstratively deduce the facts, we  
86 would be in a position to use mathematical elegance and empirical coverage, both quite rigorous concepts, in theory  
87 comparison.

88 An additional benefit is that rigorous demonstration can potentially eliminate subjective bias. It is no secret to  
89 anybody working in any scientific discipline that we are biased when it comes to the leading ideas of our own. Science  
90 is produced by humans whose behavior is based on a number of irrational and rational but nonscientific tendencies  
91 that can never be eliminated, many of which play a useful role in other ways and should not be treated with hostility  
92 (e.g., intellectual curiosity, willingness to speculate). Demand for rigorous demonstration can, however, mitigate some  
93 of these issues as it removes nonscientific gaps from the justification and replaces them with unbiased mechanical  
94 deduction. This creates a potentially beneficial process in which author's own convictions are subjected to rigorous  
95 tests before they are presented to the larger scientific community. In the hard sciences, ideas are verified by  
96 calculation before they are presented to the scientific community.

97 One constant problem in abstract theorizing is the fact that almost any theoretical idea can be cast in a positive or even  
98 persuasive light by ignoring empirical coverage and/or by silently modifying theoretical constructs and assumptions  
99 elsewhere. A fully formal theory provides a reliable way to keep these impulses under control. It forces one to remove  
100 free parameters and intuitive gaps from the system. Thus, by formalizing the leading ideas within a system of  
101 (potentially less relevant) auxiliary conjectures one can examine how they work in connection with other possible  
102 components of the theory without merely asserting that they might work. I agree with Chomsky that many auxiliary  
103 conjectures could be less relevant content-wise, and subject to alternative formulations, but merely having them allows  
104 one at least to demonstrate that the leading ideas do not work only when many other issues are put aside or when they  
105 are combined with innumerable free parameters that nobody is controlling. We will see examples of this below.

106 Given all the possible benefits and an impressive proven track record of the natural scientific methodology, why  
107 linguists have not adopted it? Gingras (2001), in an interesting paper, provides two reasons why natural philosophers  
108 resisted at first the mathematical methods of Newton. One reason, he argues, had to do with sociology of science  
109 reasons and stemmed from the fact that the use of mathematics excluded interested participants from the field, hence  
110 those participants quite predictably reacted by rejecting all mathematization as irrelevant or misleading. Another reason  
111 was that Newton's deductive system changed the criteria of what passes for an explanation in science. For example,  
112 Newton's theory of celestial mechanics required that there exists an 'action over distance' in a vacuum (the

113 gravitational force field), as this was required in the mathematical deductions; it was not required because it agreed  
 114 with common sense. In fact, the notion that there could exist an action over a distance was ridiculed as mysticism.<sup>7</sup>  
 115 Perhaps the factors mentioned by Gingras do play a role in linguistics today but, even if they do, I do not think that  
 116 they can be said to constitute a justification.

117 Let me, finally, consider one possible answer to this puzzle that appears to have at least some degree of legitimacy.  
 118 Linguistic data is inherently combinatorial in nature. Sentences are put together by combining words without any  
 119 upper bound on their complexity, and thus the theories put forward to account such phenomena tend to posit  
 120 combinatorial operations that can become extremely laborious to perform by hand. This problem remains even when  
 121 one considers grammars and theoretical constructs that do not involve unbounded recursion: symbolic computations  
 122 are cumbersome and by their nature unilluminating if compared to, say, solving standard differential equations or  
 123 crafting mathematical proofs. Due to the combinatorial nature of the phenomena the amount of calculations required  
 124 to handle the phenomena deductively – calculating rigorously from the theory into observations – increases  
 125 exponentially as a function of the size and complexity of the phenomenon. The required deductive chains become  
 126 long, cumbersome, and prone to errors; so long, in fact, that it is inconceivable that they be performed by the paper-  
 127 and-pencil method in any *practical* research project. Just to consider an example, checking that a particular formal  
 128 theory, discussed later in this article, correctly predicts a small set of 1361 datapoints (test sentences) required that we  
 129 perform 252.244 computational steps.<sup>8</sup> Not only are the calculations labor-insensitive to do, but also potentially  
 130 unilluminating in the sense that most of them consists of nothing but a huge number of mechanical and repetitive  
 131 symbol manipulation. What I would like to argue in this article, however, is that modern *computational linguistics* and  
 132 the tools developed within this discipline provide a feasible solution to this problem and thus arguably removes the  
 133 last barrier between theoretical linguistics and modern science.

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<sup>7</sup> This matter was addressed in the General Scholium of the third edition of the *Principia*, where Newton wrote that he did not know the ultimate cause of the gravitational force, hence it remained a mystery, but was certain that it existed as it was “sufficient to explain all the motions of the heavenly bodies” (Newton 1999 [1727]: 943). In this context “explanation” meant a process in which empirical observations, such as celestial mechanics, are deduced from mathematical assumptions and postulates.

<sup>8</sup> These numbers come from a theory that had been optimized with respect to the number of computational operations required for verification. When the same theory was tested without optimization, this number was close to ten million.

134 The rest of the article is structured in the following way. Section 2 motivates and further defines the proposal, with the  
 135 explicit aim of addressing the feasibility of the proposed methodological principle. I will begin by elucidating in some  
 136 more abstract sense what it could mean to use computational linguistics methodologies for the purposes of theory  
 137 justification in scientific linguistics, and then move on to address the concrete details, such as implementation and  
 138 execution. The discussion in this section presents an overall framework that will be then applied to the study of  
 139 Romance clitics in Section 3, where a fully formal and testable analysis of the data is developed. The purpose of this  
 140 discussion is to demonstrate that the ideas are feasible in the context of a practical research project. Then, in Section 4,  
 141 I will go through the steps required to deductively test the correctness of the proposed analysis, the main point being  
 142 again not so much the analysis itself but the practical and methodological aspects of the framework. I will discuss both  
 143 pros and cons of the computational methodology.

## 144 **2 Computational linguistics as a tool for linguistic calculation**

145 I would like to argue that modern computational linguistics provides the means to perform the required calculations in  
 146 a feasible way, and that we could use these methods to support concrete research work. The use of computers and  
 147 computer simulation in the hard sciences is by no means a new idea. As pointed out by Stephen Wolfram, a “new  
 148 paradigm” was born in which “scientific laws give algorithms, or procedures, for determining how systems behave.  
 149 The computer program is a medium in which the algorithms can be expressed and applied [...] It thereby allows the  
 150 consequences of the laws to be deduced” (Wolfram 1984: 204). That was forty years ago. The situation has only  
 151 improved since.

152 Let us first examine how we could approach the problem of deductive justification of a linguistic theory or hypothesis  
 153 by using a computer, thus allowing “the consequences of the [linguistic] laws to be deduced.” A linguistic theory or  
 154 hypothesis is typically constructed in the form of a *grammar* that articulates a set of rules or patterns attested in some  
 155 fragment of linguistic behavior. The grammar could be intuitive and descriptive or fully formal and generative; insofar  
 156 as we are concerned with empirical and not normative linguistics their purpose is always to describe the combinatorial  
 157 rules attested in some relevant fragment of real natural language use. It does not matter for the purposes of the present  
 158 argument if the grammar produces concrete expressions, morphological forms, semantically interpretable structures,  
 159 pairs of expressions and meanings, structural descriptions, complete derivations or cognitive structures and/or  
 160 communicative intentions, as they all capture attested linguistic patterns. It is also immaterial to the argument at hand  
 161 if the theory is based on an innate grammar (UG) or posits elaborate and complex learning mechanisms which,

162 together with a learning history, produce the observed patterns the theory is designed to capture. To construct a  
163 rigorous demonstration that a hypothetical grammar captures an empirical phenomenon, we can use one of the two  
164 computational approaches:

- 165 1. We let the grammar generate all expressions available from a given set of atomic elements and verify that the  
166 output contains the attested observations and furthermore contains nothing else. The testing procedure consists  
167 of a recursion that examines all combinatorial solutions incorporated into the theory, given a lexical base. If  
168 the grammar is formal, adding the required recursion and implementing it on a computer can be characterized  
169 as a trivial task. If the grammar has a learning component, then verification contains an additional step in  
170 which the learning component selects a model from a pre-defined class of models on the basis of its learning  
171 history and then reproduces the data we are attempting to capture;
- 172 2. we let the theory to decide for any input whether it is accepted or rejected, i.e. belongs to the attested set of  
173 expressions. If the model incorporates learning, then the demonstration has an additional step in which the  
174 recognition principles are parametrized based on external data.

175 The first method could be called enumeration, because it works by literally enumerating the consequences of the  
176 theory and then by comparing the output with observation. It corresponds to a standard proof technique in the natural  
177 sciences, in which the axioms of the theory are employed to calculate empirical consequences as theorems. The term  
178 “generative” in generative grammar means enumeration in this sense (and, furthermore, means nothing else). The  
179 latter strategy (2) could be called recognition, because it looks at the data and decides if the theory predicts its  
180 existence. These methods are mathematically equivalent because they define – “explain” in the sense of Newton’s  
181 science – a set of observations. Given this background it is easy to see how computational complexity could play a  
182 prohibitive role. The amount of computations required to produce and check all derivations from a set of lexical items  
183 is astronomical even on a modestly complex input, while a recognition procedure requires all possible input sequences  
184 to be judged and, moreover, often by an algorithm that rejects an input only after an exhaustive search of possible  
185 parses. It is not sufficient that the researcher performs these computations once; they must be performed potentially  
186 after each change in the theory, no matter how small.

187 To see the enormity of the problem, let us consider some of the complexity properties of the linguistic algorithm that  
188 will be discussed later in this article. To verify that one grammatical sentence with one center-embedded relative  
189 clause belongs to the set of well-defined sentences requires the algorithm to perform approximately two hundred



190 linguistic operations, while a grammatical sentence with five embeddings has increased the demand to close to one  
191 hundred thousand, taking two minutes from a fast desktop computer. These numbers are associated with one sentence;  
192 in a typical linguistic study the number of relevant data points is going to be in the range of thousands or more.  
193 Suppose, for example, that we were interested in developing a linguistic theory (grammar) of relative clause  
194 constructions. To be completely rigorous, any such model would have to be tested with both grammatical and  
195 ungrammatical relative clause constructions. How many relevant ungrammatical relative clauses are there that we  
196 must test? If we assume that the set of ungrammatical relative clauses to be tested is constructed by generating all  
197 possible word order permutations from the set of grammatical relative clauses, the amount of test sentences is going to  
198 be more than a million. Many of these sentences will take thousands of operations to verify; furthermore, a  
199 verification procedure that demonstrates that an ungrammatical sentence is ungrammatical will typically require the  
200 algorithm to consider all possible parses of the input sentence. In addition to these rough estimates, I tested the  
201 algorithm also with a realistic test corpus that contained 1361 regular, canonical test sentences in English, Finnish and  
202 Italian, and counted the number of linguistically meaning operations performed by the algorithm when it checked if a  
203 linguistic theory predicted that dataset correctly. The number of operations that had to performed turned out to be  
204 252.244, which is a large number if we were required to perform it several times and without making any mistakes  
205 along the way, let alone if performed by hand.

206 There are several mitigating issues, however. One is that the cost of computational resources has fallen to a point at  
207 which modestly complex tasks have become feasible, especially in the light of the fact that we can exploit parallel  
208 processing in this task domain. The amount of processing power available today for only a moderate cost is substantial  
209 and increasing. Executing the 252.244 linguistic operations (in addition to millions of auxiliary operations) took two  
210 minutes from a normal PC computer using one processing threat, no optimization, and relatively slow Python based  
211 implementation. By using code optimization this number can be reduced further into a fraction. Another and perhaps  
212 more significant issue is that in practical work it is often not necessary to work with overly complex expressions  
213 and/or extremely large test corpora, as research work tends to focus on narrowly defined hypotheses. While  
214 constructing the theory, we might work with only a small fragment, and only test the whole dataset after a reasonably  
215 correct initial guess is available, perhaps even accepting a few problems here and there as long as they are reported as  
216 such. If the test set is necessarily very large, we could use smaller samples and/or dissect the corpus into several  
217 partitions and utilize parallel computation. In addition, exhaustive enumeration or recognition provides only an ideal

218 against which the less optimal but feasible approaches should be measured. I will discuss some of these practical  
219 aspects further below.

220 The entry barriers have also come down. Expensive special-purpose hardware requirements are no longer an issue. A  
221 standard laptop can perform the computations required for a focused empirical study. Another and perhaps more  
222 important reason is the emergence of abstract programming languages, existing software libraries and development  
223 tools that have for the most part eliminated all machine-specific coding practices from the picture. It is possible to  
224 write programs that has very little linguistically irrelevant boiler plate code and differs from actual linguistic  
225 formalization only to a modest degree, if at all. The situation will only improve in the future as the tools improve. This  
226 means that the gap between writing a formal linguistic theory on a paper and writing the same theory on a computer  
227 has become so small that it can no longer be considered an obstacle.

228 Before we look at the issue more concretely, I want to establish one additional point. Rigorous demonstration  
229 constitutes a requirement that should be added to linguistic theorizing rather than substitute something that is  
230 neglected. The usual criteria of observational, descriptive, and explanatory adequacy (Chomsky 1965) provide a  
231 minimal framework for cognitive plausibility. The algorithm must reproduce the attested observations and only those  
232 (observational adequacy), provide them with structural descriptions or derivations that are cognitively plausible  
233 according to some explicit or implicit framework (descriptive adequacy), and the theory must be in agreement with  
234 what can be regarded as empirically defensible in the light of constraints on language acquisition (explanatory  
235 adequacy). Descriptive adequacy and explanatory adequacy are theory-internal criteria that depend on the theoretical  
236 framework but given any such framework they will always play a role in a theory of (human) language.<sup>9</sup>

237 Observational adequacy, the ability of the hypothesis to cover some data, is a theory-external requirement in any  
238 scientific theory, in any discipline. It is still a strong requirement. For example, it rules out most practical parsing  
239 algorithms available today, as they are not required to detect ungrammaticality and thus fail already the most  
240 elementary condition of observational adequacy. In addition, the point is not to substitute scientific theorizing with  
241 brute data mining or blind big data approaches which tackle any problem by increasing the number of free parameters  
242 and hence have very little to say about any specific subject matter. A theory or algorithm that applies equally well to

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<sup>9</sup> I am not advocating any particular generative theory in this article; the criteria for cognitive plausibility set forth by Chomsky (1965) apply to any serious scientific linguistic theory.

243 astronomical, economic and linguistic data is not automatically any better than Newton's laws, Phillips' curve or  
 244 Greenberg's linguistic universals. Robert May, who was concerned with the use of computational simulations in  
 245 biology, warned against drawing "sweeping conclusions [...] from the alleged working of a mathematical model,  
 246 without clear understanding of what is actually going on" (May 2004, p. 792). That warning remains pertinent. But my  
 247 point is that we should add the deductive structure to the existing best practices in the field instead of using them to  
 248 greenlight the elimination of such practices.

249 Methodological arguments carry little weight unless the proposed methodology is applied to a concrete empirical  
 250 phenomenon. I will illustrate these issues by considering a concrete linguistic phenomenon and then approach the  
 251 topic by using computer as a calculation tool. All empirical justification reported in this study will be based on a  
 252 deductive work performed by a computer program, so we can take a closer look at how the system works and what  
 253 possible challenges we face. Romance clitics, in particular clitics in the standard Italian, were selected as an empirical  
 254 phenomenon. Various problems of the methodology are then considered, with a particular focus on practical  
 255 feasibility. I will argue that linguistic theorizing in this arena benefits from deductive demonstration and, vice versa,  
 256 rejecting such methods no longer has rational justification and should not be resisted irrationally. I will also point out  
 257 that the methodology does not come unchallenged, and that there are constraints on its use.

### 258 **3 Italian clitics and computational linguistics**

259 Let us consider how we might approach the problem of clitics (or any other linguistic phenomenon) from a  
 260 computational point of view, keeping the guidelines discussed earlier in mind. We could approach this problem either  
 261 by using the enumerative strategy or by the recognition strategy (strategies 1-2 discussed in the previous section).  
 262 Suppose we select the enumerative methodology. We would formalize a productive linguistic theory, say one  
 263 consisting of a system of primitive lexical elements together with the rules that combine them, and then add trivial  
 264 recursion deploying the axioms and the lexicon to produce an output set. The size of the output set can be controlled  
 265 by selecting the initial lexical set (also called the "numeration") from which all derivations must begin. The resulting  
 266 set is compared with attested observations. The theory is changed if and when it over- and undergeneralizes. This  
 267 procedure is used in most linguistic studies published today, although the demonstration is practically never rigorous,  
 268 only a sketch. A recognition method, in contrast, works by reading grammatical and ungrammatical (attested and  
 269 unattested) inputs and decides for each such input whether it should be accepted or not. The results are again  
 270 compared with observation, in a straightforward and unproblematic way. While the enumerative strategy generates a

271 set of observations, the recognition algorithm defines a characteristic function for that set. As I have already pointed  
 272 out, the two methods are mathematically equivalent: they define (potentially the same) the set of observations.

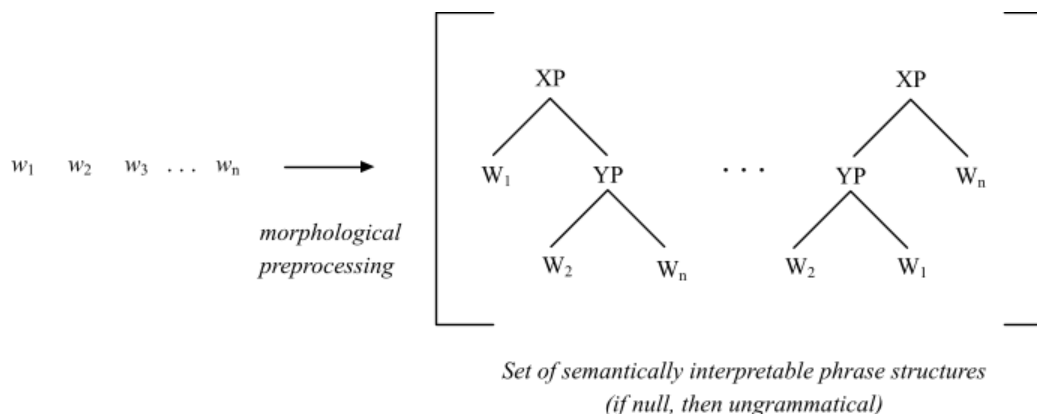
273 Both methods have pros and cons. One problem with the enumerative method is that it produces a rather voluminous  
 274 amount of data: each run, unless limited in some artificial way, will produce all possible derivations from the given  
 275 lexical set. The data is relatively unintuitive to handle. The recognition method, on the other hand, handles the data in  
 276 a more intuitive way because it allows one to construct an explicit test corpus and only compute and test selected  
 277 inputs, even just single sentences in isolation. In addition, the procedure is potentially very fast and convenient to use  
 278 within the context of a real research project. The tradeoff is that it requires a parser, a component that uses information  
 279 available in the input (words, their properties, word order, prosody) to arrive at a set of syntactic and semantic  
 280 analyses. The parser component has many performance related properties that are irrelevant to grammatical theorizing  
 281 and therefore involves extra work and specifications that are not necessarily the main focus in the study itself.

282 Regardless of the chosen approach, harvesting computational power for linguistic calculation requires that we  
 283 *translate our leading ideas into a machine-readable formalization*. This step is made easier by the fact that in many  
 284 cases we do not need to develop everything from scratch, as many suitable tools already exist. The choice depends on  
 285 theoretical preferences. I use the linear phase parser toolkit (Brattico 2019, 2020, 2021; Brattico & Chesi 2020) as a  
 286 starting point in this article.<sup>10</sup> The parser toolkit was written in the Python programming language. It works by  
 287 decomposing each input sentence into morphemes and inflectional features, which then guides the application of the

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<sup>10</sup> The linear phase algorithm will not be the focus here and therefore not examined in detail; I will use it as an example of one possible direction. The theory is nevertheless based on the following assumptions. It assumes, following Phillips (1996), that Merge can operate incrementally by consuming the linguistic sensory input in a left-to-right order. It uses an inverse Merge operation (call it Merge-1) recursively, by using backtracking if necessarily, to create a set of phrase structure objects that represent the possible surface parses of the input set; if the set is empty, the input is judged ungrammatical. This assumption is shared by the Dynamic Syntax approach (Cann et al. 2005). Because the input is read from left to right, Merge-1 must be able to operate in a top-down order, a fact that has been emphasized in the top-down grammars (e.g., Chesi 2004, 2012; see Merchant 2019, Chapter 5, for a useful recent discussion and references therein). The linear phase algorithm uses the top-down mechanism but is not limited to it. Inverse transformations (inverse chains) map surface parses into syntax-semantic interface objects. Methodologically the approach follows Lewis et al. (2005), who propose to work with fully computational language comprehension models. The author maintains the computational implementation at <https://github.com/pajubrat/parser-grammar>.

288 computational-cognitive operations of the theory such as Merge, Move and Agree to generate a set of derivations. The  
 289 resulting parses are filtered by grammatical and lexical constraints. The operation of the algorithm is illustrated  
 290 schematically in Figure 1.



291

292 **Figure 1.** The general structure of the LP language comprehension algorithm. Each input sentence is fed to the  
 293 algorithm as a linear string of phonological words  $w_1, w_2, w_3, \dots, w_n$ . The string is preprocessed, after which the  
 294 computational operations of the theory (e.g., Merge, Move and Agree) are applied to derive phrase structure  
 295 representations.

296 Once the general computational framework is in place, the next step is to translate our theoretical ideas into the chosen  
 297 framework. This consists of specifying the theory formally and then implementing that formalization in some  
 298 machine-readable framework. Both steps are almost trivial: the first, because formalization belongs to the standard  
 299 toolkit of theoretical linguistics, and the latter because today several special-purpose and even general-purpose  
 300 programming languages exist that are so abstract and modular that the two formalizations need not to depart from each  
 301 other all that much. What were ten or twenty years ago implemented by means of complex machine-specific class-  
 302 inheritance systems involving detailed variable declarations and type checks, thus coding practices that were  
 303 concerned with the computer rather than with the subject matter, are today expressed with readable, simple and  
 304 intuitive Python code that to my eye does not depart much from standard linguistic theorizing. To illustrate this point  
 305 in a concrete way, Figure 3 shows the Python formalization for the labelling algorithm used in the linear phase parser.  
 306 The code can be easily understood by anybody who has worked with labeling.

```

# Definition for head (also label) of a phrase structure
def head(self):
    if self.is_primitive():
        return self
    if self.left_const.is_primitive():
        return self.left_const
    if self.right_const.is_primitive():
        return self.right_const
    if self.right_const.externalized():
        return self.left_const.head()
    return self.right_const.head()

```

307

308 **Figure 3.** A screenshot of the Python formalization for a simple labelling algorithm. The algorithm defines the notion  
 309 of “head” for an arbitrary bare phrase structure  $\alpha$ , as follows (reading from top to bottom): if  $\alpha$  is primitive, it will be  
 310 the head; else if  $\alpha$  has a primitive left constituent, it will be the head; else if  $\alpha$  has a primitive right constituent, it will  
 311 be the head; else if  $\alpha$  has a right constituent that is an adjunct, we apply the algorithm recursively to the left  
 312 constituent; else we apply it recursively to the right constituent.

313 My point is not that the above labelling algorithm is correct but that the above formalization is not much more  
 314 complex than one written on paper (see the English description in the figure legend) and, even if it were slightly more  
 315 complex, we have the benefit that it calculates a label for any constituent fast and never making any errors. In the end,  
 316 all components of the linguistic theory must be translated into machine-readable format, Python or some other  
 317 language, after which the system can perform the required deductions.

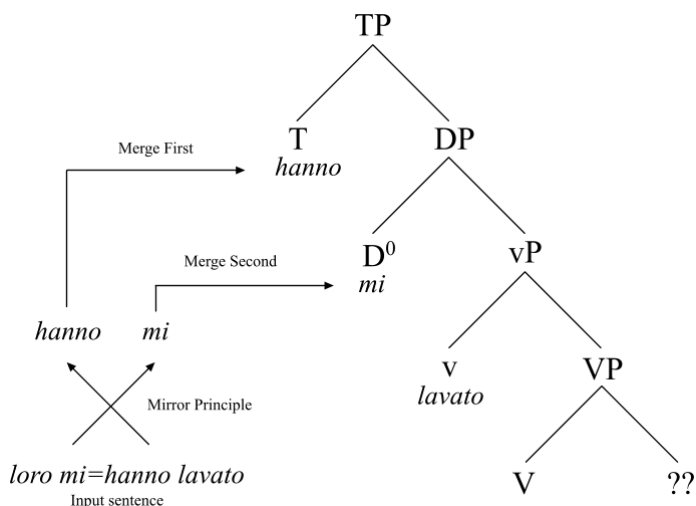
318 Before looking at the actual deductive work, I want to address one possible objection. In the work I cited earlier  
 319 (Chomsky 1981), the author defended the position that this step would involve too many technical details to distract  
 320 from the development of the author’s key concerns, his or her “leading ideas.” In addition, some of the present  
 321 author’s colleagues have objected that the approach is not or could not be “feasible.” The requirement that a  
 322 computational theory processes concrete linguistic data presupposes that it handles several things that are not  
 323 necessarily the ones the researcher is primarily interested in. To begin with, the researcher must acquire some level of  
 324 competence in some programming language and computer usage that goes beyond Excel tabulation and maintaining  
 325 email correspondence. Although these problems can be alleviated to some extent by imposing artificial restrictions  
 326 and abstractions, the level of detail presupposed in any machine-readable implementation is greater than it is in any  
 327 theoretical work operating with natural language syllogisms and pseudo-formalisms. That being said, almost every  
 328 time I had to solve an issue I felt to be tangential or otherwise a distraction from the main focus, or acquire a

329 programming technique that I wasn't familiar with, there was also a sense in which not clearing that issue would have  
 330 meant that the core ideas were after all not yet in an enough crystallized form. For it was only when the model  
 331 produced *wrong* outputs that many of these problems were recognized in the first place; they did not occur out of the  
 332 blue. Thus, what was at first assumed to be a tangential issue was in reality something, often something dubious or  
 333 outright wrong, that the theory presupposed implicitly. This concern, then, presents a dilemma for those who consider  
 334 that the burden of computational implementation is too much: if it were impossible or unfeasible to formulate one's  
 335 theory in this way, wouldn't this imply that there is also something wrong with the theory? In other words, what  
 336 possible rationale could there be for a *true* theory to be such that it could not be completely rigorous and  
 337 unambiguous?

338 Let us now turn to the actual verification procedure. To understand how the verification protocol works, we first  
 339 examine what the linear phase parser does "out of the box" when presented with standard Italian object clitic  
 340 constructions such as *loro mi=hanno lavato* 'they me-have washed' that I will use as an example in this article. The  
 341 nontrivial property of a clitic sentence such as this is the fact that the direct object 'me' of the verb 'wash' is prefixed  
 342 to the auxiliary (*mi=hanno*) instead of occurring at the canonical postverbal position (as in *loro hanno lavato me* 'they  
 343 have washed me'). This option has not been grammaticalized in English, for example, and the linear phase model has  
 344 never been tested with such sentences. This type of clitic construction provide a useful specimen for the present  
 345 purposes as the linear phase model has never been tested with them before.

346 Because the order of morphemes inside phonological words tends to mirror their ordering in hierarchical structure  
 347 (Baker 1985; Julien 2002), the linear phase algorithm performs morpheme reversal inside each word and thus maps an  
 348 input word such as *mi=hanno* 'me-have' into *hanno + mi*, which in turn means that the clitic arrives to the syntactic  
 349 combinatorial system *after* the auxiliary, not before. The system implements a type of "clitic climbing" inside the  
 350 phonological branch. The problem, however, is that because the clitic occurs as part of another word, the algorithm  
 351 interprets it as a *morpheme* and generates [*loro* [*hanno*<sup>0</sup> [*mi*<sup>0</sup> [*lavato*]]]] which it correctly rejects due to the missing  
 352 complement of *lavato* 'wash'. The semantic component is unable to understand who was washed. The process is  
 353 illustrated in (1). This describes the (wrong) output produced by the algorithm if nothing was changed.

(1)



356 The algorithm interprets the finite clause ‘they have washed me’ as a ‘have + DP’ structure (perhaps something like  
 357 ‘they have my=washing’) which, no matter what underlying linguistic theory we begin with, would be considered  
 358 linguistically implausible and unintuitive. The existing framework does not, therefore, understand clitics. But since we  
 359 can test the model with concrete clitic sentences there is a sense in which we have also *proved* that the axioms of the  
 360 theory, as they have been encapsulated into the Python based implementation, are not sufficient to deduce the  
 361 empirical facts. The algorithm produces the exact same output every time it is presented with this input sentence and  
 362 does this by performing the exact same series of computational operations, all which are in turn determined and fully  
 363 defined by the axioms of the theory and also visible in a derivational log files that the algorithm writes run-time when  
 364 it processes the input. There is *no point* at which a human observer could readjust the operation of the model against  
 365 observation by refurbishing it with natural language corrections or speculative conjectures.

366 One possible solution to the abovementioned problem is to map the clitic into to a phrasal unit instead of a head  $D^0$ .  
 367 This change was trivial to implement by changing an entry in the lexicon.<sup>11</sup> After this minor correction, the algorithm  
 368 generated [*loro [hanno [CL<sub>1</sub> lavato \_\_\_<sub>1</sub>]]*] and accepted the sentence as grammatical with the correct meaning ‘they  
 369 have washed me’, in which the clitic pronoun was interpreted correctly as the patient of washing. Notice that once the  
 370 clitic was represented as a phrasal pronoun, the model reconstructed it into the thematic object position. Moreover, the

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<sup>11</sup> The triviality of this matter depends on the structure of the lexicon. If, on the other hand, a system of lexical representation were assumed that made this assumption impossible, then the same effect could be achieved elsewhere in the theory, for example, in the lexicon-syntax mapping.



371 representation just cited is not linguistically entirely implausible, although there are, of course, many theories of what  
 372 the correct linguistic analysis should be. It is easy to see that the solution is still insufficient. One problem is that the  
 373 system extracts clitic morphemes from any word, leading to unlimited cliticization. The algorithm will accept  
 374 sentences such as *\*mi=loro hanno lavato* ‘me-they have washed’. But let us put this issue aside and pause to look into  
 375 another, arguably a more fundamental matter.

376 We assumed in the above analysis that the phonological branch understands that *mi* is part of *hanno* and not *loro* (i.e.  
 377 *loro mi=hanno* instead of *loro=mi hanno*). What was left unsaid is what is there in the sensory input that tells the  
 378 algorithm this. Should the algorithm consider an alternative reading in which the sentence is analyzed as *loro=mi*  
 379 *hanno lavato*? Notice that by reversing the order of morphemes inside the morphological component a completely  
 380 different analysis would result, in which the object clitic appears in a position higher than the subject *loro*. Should it  
 381 consider also this parse? Does this matter? This problem is related to another issue that was overlooked: in which  
 382 component of the grammar are clitic boundaries recognized and represented? In phonology, lexicon, morphology or in  
 383 syntax? What is a clitic boundary? The algorithm, as described above, provides no answers.

384 We further assumed that when morphemes are fed into syntax, syntax has access to some type of information  
 385 determining which of them belong inside which words. How is that access implemented? Does syntax see surface  
 386 strings as they are processed in the lower-level sensory systems? Do morphemes carry features to the syntactic  
 387 component indicating that they are part of some word? If so, which word? The word to the left or to the right? Are left  
 388 and right at the phonological level available inside the syntactic module? The problem is that by looking at the surface  
 389 strings we tend to take such issues for granted, namely which elements are inside which words and what is “right” or  
 390 “left,”<sup>12</sup> yet when the same process is looked from the point of view of explicit computation these facts must be made  
 391 completely explicit, thus formalized, in some way. Exactly how this should be done quickly becomes very nontrivial.

392 We also assumed in the above natural language explanation that when a clitic decomposes, it no longer belongs inside  
 393 any other word but exists as an independent pronoun. How does the algorithm (or the language faculty in the human  
 394 brain) know this and how was this implemented? We assumed in the exposition earlier that morphemes generate heads

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<sup>12</sup> Of course there is no “right” or “left” in spoken language. This makes the problem discussed in the main text even more challenging, however, since it is unclear what type of asymmetric relations we should ultimately use.

395 (*hanno* = T<sub>0</sub> and *lavato* = V<sup>0</sup>), but how exactly and in what component and by what operation are complex heads  
 396 decomposed into separate heads in syntax? No computational algorithm knows how to do any of these operations  
 397 unless we explicitly tell it how they are done. We also assumed in our initial hypothesis that *mi* is mapped into D + N  
 398 (determiner plus noun configuration), yet the surface vocabulary contains individual entries also for D (e.g., *uno*, *il*)  
 399 and N (*macchina*, *casa*). Does the clitic therefore iterate through independent entries D and N in the lexicon? Or is it  
 400 composed out of ‘clitic-specific’ D and N elements? Does the surface lexicon have *recursive* structure, in which one  
 401 lexical entry can point to other entries in the same lexicon, and so on without any upper limit? Are circular pointers  
 402 possible?

403 We also evaded the question of how, exactly, is morphological decomposition and morpheme reversal implemented  
 404 inside phonology/morphology. What type of representations and operations are assumed? Are the representations  
 405 based on lists, ordered lists, sets, or some other higher-order structures such as lists of lists? How are these structures  
 406 reversed? The clitic *mi* has an accusative case, but we nowhere said where this fact is represented and how do we  
 407 prevent the generation of an accusative head Acc<sup>0</sup>. We again just assumed, as if it were self-evident, that while  
 408 morphemes are mapped into heads, inflectional morphemes are processed differently: there was simply no accusative  
 409 head in the phrase structure, although its generation was nowhere prevented in our English based description of the  
 410 hypothesis. If the accusative is a feature, is it a feature of D or N? Or is it a feature of *mi*? If it is part of *mi*, how does  
 411 it end up to the decomposed elements D and N? Which one? How? Is it reversed, too? Does the accusative case play  
 412 *any* role in the derivation or is it a cosmetic diacritic that the speaker attaches to some words to make them (in the lack  
 413 of better term) prettier? Perhaps most important of all, we assumed that *mi* is decomposed into D + N = DP but did not  
 414 explicitly prevent the generation of [*loro* [*hanno*<sup>0</sup> [D<sup>0</sup> [N<sup>0</sup> *lavato*]]]], an implausible but theoretically possible parse.  
 415 How was this option prevented? Was it generated and then ruled out? Should it be ruled out if it were generated? Are  
 416 there situations in which pronouns do, as a matter of empirical fact, decompose like this? Furthermore, the above list is  
 417 only the beginning. Each item in the list opens up a further can of worms. How are word boundaries represented? How  
 418 does the clitic boundary “=” differ from a regular word boundary? What exactly happens if a word is followed by *two*  
 419 adjacent inflectional morphemes? What exactly happens, according to the analysis provided in English, if we have a  
 420 sequence of clitics?

421 And so on. My point is not that every analysis must answer all such questions, or that any analysis must take a stance  
 422 on all such issues. I am also not trying to emphasize the nontrivial nature of the data. Romance clitics constitute a  
 423 controversial and difficult linguistic subject matter to deal with. Most of these issues are, in addition, irrelevant to the

424 issue at hand, perhaps minor technical problems at best. This was, after all, what prompted Chomsky (1981) to evade  
 425 rigorous formalization; indeed, during the present authors' first encounters with this specimen I was at first focusing  
 426 only on the "leading ideas" and could not anticipate many of the problems cited above. Perhaps some of these issues  
 427 can be judged irrelevant. I take this objection for granted; my point here is different. It is that until we move from  
 428 natural language syllogisms that rely on human intuition into deductive reasoning we have *no way of knowing how*  
 429 *many similar intuitive assumptions, problems and unsolved issues remain in our analysis*. Thus, it is not unusual that  
 430 an intuitive "leading idea" that works on paper encounters a number of unforeseen problems once a rigorous treatment  
 431 is attempted. Too many consequences of our assumptions remained unnoticed; too few assumptions were made  
 432 explicit. The only way to solve these issues, I think, is to create a deductive structure that catches all such issues  
 433 automatically and without any human intervention.

434 At this point I bifurcate the argument into two separate tracks, each discussed in its own section. The first issue is how  
 435 to solve the empirical problems mentioned above (and others like it). I construct a formal analysis of clitics that has at  
 436 least some hope of working with concrete data. This matter will be attended to in Section 4. This section contains a  
 437 Python based formalized linguistic theory of Romance clitics that has at least some chance of working. The discussion  
 438 will be tied to the chosen framework, in which I approach the problem from a "parsing-friendly" performance  
 439 perspective, but the correctness of that particular analysis is not the point. I will also not refer to the underlying Python  
 440 implementation but add a layer of abstraction and use standard linguistic terminology; the actual implementation code  
 441 is available online. This should make the exposition more readable for linguists (although unfortunately less  
 442 penetrable for computer scientists and programmers). The second and more important issue concerns the actual  
 443 computational justification of the analysis, which will be addressed in Section 5. In it, I describe how the deductive  
 444 calculations were used in verifying the analysis.

#### 445 **4 Linguistic perspective**

446 The working hypothesis that clitics are reversed like ordinary morphemes in the phonological branch but are treated  
 447 like ordinary pronouns in syntax left many problems unsolved that we will have to tackle before testing the analysis.  
 448 This is because in order to examine the use of computational methodology in connection within a realistic research  
 449 context we need a formal analysis that has at least some chance of working with a nontrivial dataset. The analysis  
 450 presented here was developed for this purpose and has not been published elsewhere. Notice that the correctness of

451 this particular analysis is not in the focus here, although I do think that it represents a possible empirical starting point  
 452 that should not be implausible to the point of being trivially incorrect.

453 The linear phase algorithm, used as a background tool in constructing this analysis, receives a linear string of  
 454 phonological words as input. A *lexico-morphological component* first decomposes complex words into their  
 455 constituent morphemes and retrieves the corresponding primitive lexical items from an external data repository called  
 456 the *surface vocabulary*. Let us assume that the whole processing pipeline all the way from reading the concrete  
 457 sensory input into feeding the retrieved lexical items into syntax is called the phonological component or PHON for  
 458 short. This architecture presupposes that there exists a *morphology-syntax interface* receiving lexical items as they  
 459 arrive from PHON into syntax. Although the existence of the morphology-syntax interface (abbreviated as MS-  
 460 interface from now on) is necessary in any theory, as the original phonological words existing in the form of concrete  
 461 sensory objects must be analyzed at some level before they can be exposed to syntactic and semantic processing,<sup>13</sup> its  
 462 properties are controversial. Let us assume that polymorphemic words are represented as complex heads at this level.  
 463 A single phonological word such as *washed* will be represented as a complex head (T, v, V)<sup>0</sup> containing the verbal  
 464 root (V), causativization or valency (v) and tense information (T), so that all constituent particles will remain inside  
 465 the highest head (T, v, V)<sup>0</sup>. We are positing these assumptions tentatively at this point and essentially without  
 466 empirical justification, since they will be tested later by the simulation.

467 The key assumption we now make with regards to the clitic construction specifically is that clitics are special in the  
 468 sense that they are detached from their phonological hosts *before* the MS-interface by an operation that corresponds to  
 469 an inverse of the m-merger proposed by Matushansky (2006). We furthermore stipulate, following Matushansky, that  
 470 the operation is constrained by an adjacency condition restricting the operation to adjacent items at the MS-interface.

471 The following is a reasonably accurate nontechnical formulation of this idea:

472 (2) *Inverse m-merger*

473 Clitic morphemes, unlike ordinary morphemes, are detached (literally ‘un-m-merged’) from their hosts  
 474 inside PHON.

---

<sup>13</sup> We have to solve ambiguities, perform morphological decomposition and analysis, retrieve correct lexical features, separate derivational suffixes from inflection, among other things.

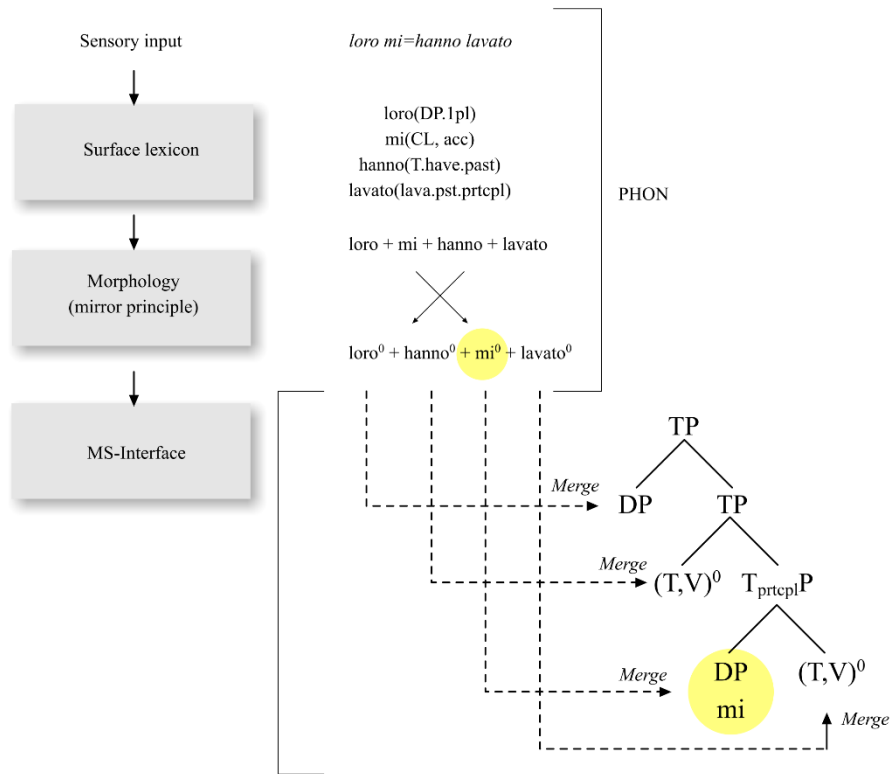
475 To illustrate, consider an Italian sentence *loro mi=lavano* ‘they me-wash’. Principle (2) presupposes that *mi* ‘me’ is  
 476 part of a complex phonological word *mi=lavano*. The lexical-morphological component matches the input with the  
 477 morphological decomposition ‘*mi=V#v#T*’, which is reversed into ‘*T#v#V=mi*’ in accordance with the mirror  
 478 principle. All this happens inside PHON. The MS-structure is created by matching individual constituents in the input  
 479 with corresponding lexical items and merging them into the structure, in that order. Hypothesis (2) considers that the  
 480 processing of ordinary morphemes and clitics now diverges: regular morphemes (e.g., V, v, T) are merged into  
 481 complex heads  $(T, v, V)^0$  while clitic objects are detached and treated as independent (weak) pronouns. The input  
 482 ‘*mi=V#v#T*’ is, therefore, mapped into (3).

483 (3) [*loro* [(T, v, V)<sup>0</sup> *mi*] ] (MS-structure, with the clitic detached by un-m-merger)  
 484 they wash me

485 Because clitics are detached into a specifier position of a lower verb, or directly into the correct object position, the  
 486 problem of motivating the extra A-movement operation bringing the clitic to the preverbal position, discussed at  
 487 length by Roberts (2010, ch. 3.1), is no longer an issue. The operation does not exist. “Clitic climbing” takes place in  
 488 PHON in accordance with Chomsky et al. (2019). We also assume, following a rather long research tradition going  
 489 back to Kayne (1975), that the detached clitic is a phrasal pronoun and is therefore decomposed into a D-part and N-  
 490 part. It occurs at the MS-interface as a complex head  $(D, N)^0$  and forms a trivial chain  $[_{DP}(D, \_1) N_1]$ .<sup>14</sup> The whole  
 491 architecture is summarized in Figure 1.

---

<sup>14</sup> The clitic represents a regular complex phrase, not a “maximal and minimal constituent” as assumed in some studies (e.g.,  
 Matushansky 2006; Muysken 1982; Roberts 2010). This issue is too complex to be dealt with here in a satisfactory way.



**Figure 1.** A nontechnical illustration of the assumptions underlying the proposed analysis. The processing begins with a linear string of phonological words that are matched with entries in a surface lexicon and decomposed morphologically if necessary. Word-internal morpheme order is reversed by the mirror principle. Clitics, unlike regular morphemes, are detached from their host words before the construction of the MS-interface object. All subsequent syntactic operations treat clitics like regular pronouns.

The system overgeneralizes because it allows the clitic to incorporate with any host. In the best case all illicit input configurations are ruled out by independent properties of the UG (e.g., ECP, CED).<sup>15</sup> Indeed, such principles suffice to rule out several ungrammatical clitic constructions such as nonlocal clitic climbing or clitic extraction from subjects or adjuncts, as this type of island constraints were already part of the existing linear phase algorithm. Yet, there are languages in which the Romance type argument clitics are all ungrammatical, and much variation even within the Romance language group. For example, clitic climbing to a finite verb in a T + V configuration is limited in modern French while more general in Italian (Kayne 1989). While standard Italian is more liberal than French, there is much

<sup>15</sup> We follow Baker (1988) and assume that our goal will be reduction of observed facts into independently justified syntactic principles (e.g ECP on chain formation) instead of positing construction-specific rules.

505 dialectal and between-speaker variation even within Italian.<sup>16</sup> Universal principles are unable to capture such variation.  
 506 I suggest that the variation is *lexical*. I propose that m-merger and its inverse operation are licensed by a lexical-  
 507 morphological feature. The feature licenses the type of elements clitics may left or right incorporate at the MS-  
 508 interface, following Matushansky's adjacency condition. Left incorporation feature 'LEFT: $\alpha, \beta$ ' licenses incorporation  
 509 to the closest word with features  $\alpha, \beta$  to the left, right incorporation feature 'RIGHT: $\alpha, \beta$ ' licenses incorporation to  
 510 right, in the manner illustrated by the example (4).

511 (4) (... $\alpha, \beta$ ...)<sup>0</sup> CL (... $\alpha, \beta$ ...)<sup>0</sup> (MS-structure)  
 512       ┌———— left / right ———┐

513 The feature is, furthermore, checked at the MS-structure. As a consequence, sentences such as (5a) below are ruled out  
 514 at the MS-interface due to the fact that adjunction to a participle verb is not licensed by a lexical left-incorporation  
 515 feature in standard Italian. Notice again the application of the mirror principle (*mi=lavato* → [lavato mi]) during the  
 516 mapping from PHON into syntax, thus if we look at the process from the point of view of language production, output  
 517 (5a) presupposes that the clitic was m-merged to left.

518 (5) a. \*loro hanno mi=lavato  
 519       they have.1PL me-washed  
 520                                   ↓  
 521       b. [ loro [ hanno [ (T<sub>prt</sub>, v, V)<sup>0</sup> mi ] ] ] (MS-structure, not well-formed)  
 522                                   ┌————┐

523 The matter is still more complex, however. A clitic left-incorporates to a selecting finite verb but only under certain  
 524 circumstances illustrated by the data in (6a-f).

525 (6) a. Loro mi<sub>1</sub>=hanno lavato \_\_\_<sub>1</sub> b. \*Loro hanno mi<sub>1</sub>=lavato \_\_\_<sub>1</sub>  
 526       they me-have washed they have me-washed  
 527       ‘They have washed me.’

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<sup>16</sup> The present author is neither a native speaker of Italian nor a specialist in Italian dialectology. For comments concerning the Italian dialects, see Rizzi (1982:41 note 6) and Cardinaletti and Shlonsky (2004), p. 540, note 1.

528	c.	*Loro	hanno	lavato=mi.	d.	*Loro	mi <sub>1</sub> =detestano	lavare	__1
529		they	have	washed-me		they	me-detest	wash	
530	e.	Loro	mi <sub>1</sub> =	detestano	__1	f.	Loro	detestano	lavar=mi.
531		they	me-detest			they	detest	wash-me	
532		'They detest me.'				'They detest washing me.'			

533 Examples (6b-c) are correctly ruled out, as these operations are not licensed by an incorporation feature. Examples  
 534 (6a, e) exhibit LEFT:T/FIN and are correctly derived as grammatical. The pair (6a, d) constitutes a problem: some verbs  
 535 force “clitic climbing” into the quasi-auxiliary while others reject it, yet both are tensed finite verbs. The analysis  
 536 proposed thus far cannot account for this contrast.

537 One possibility is to assume an independent condition preventing the clitic from occurring at the Spec of the *are*-  
 538 infinitival (6d), but this assumption fails to capture (6f), which shows that clitics can occur at this position. The data  
 539 indicates, instead, that some V + V combinations represent a “single verbal complex” (Rizzi 1982: 5) and allow the  
 540 clitic to climb in virtue of some related property (Cardinaletti and Shlonsky 2004; Rizzi 1982). The problem is to  
 541 make this notion rigorous. I propose (7) as a necessary condition on clitic climbing:

542 (7) *A necessary condition on argument incorporation (nontechnical)*

543 A thematic argument of predicate P cannot incorporate into an element assigning  
 544 thematic roles, or projecting arguments, independent of P.

545 The intuition is that the auxiliary *hanno*, unlike *detestare* ‘to detest’, does not project independent thematic roles. The  
 546 idea, following for example Cardinaletti and Shlonsky (2004), Cinque (2006) and Roberts (1997), is that the higher  
 547 verbal unit is deficient in its ability to project independent thematic argument structure. Because there exists so much  
 548 variation with respect to clitic climbing, this property too, it seems, must be formalized by means of lexical features  
 549 that can be modulated by changing the corresponding lexical entries. One relevant feature seems to be obligatory  
 550 subject control (OC), which forces the higher and lower verb to share the subject thematic role (as in the case of verbs  
 551 such as *try*, *hate*). The assumption is supported by the fact that object control verbs do not license clitic climbing. Yet  
 552 there are subject control verbs (e.g., *hate*, *desire*) which do not license clitic climbing in standard Italian. A distinction  
 553 between auxiliary verbs and lexical verbs seems to be the key, since pure auxiliary verbs always license clitic  
 554 climbing. I propose that the feature licensing climbing in the case of auxiliary verbs is generalized to an exceptional



555 subset of lexical OC verbs.<sup>17</sup> One feature that distinguishes auxiliary verbs from lexical verbs in the present  
 556 framework is that the latter, but not the former, contains a lexical feature [ASP] corresponding to the projection of an  
 557 independent event structure.<sup>18</sup> I assume that clitic climbing verbs are “quasi-functional”<sup>19</sup> in that they lack this feature.  
 558 Suppose  $X^0$  and  $Y^0$  are simple or complex heads, and that the clitic is a thematic argument of  $Y^0$ ; then

559 (8) *Clitic climbing (=rigorous reformulation of (7))*

560 In a configuration  $X^0$  CL  $Y^0$  at MS, the clitic can left-incorporate to  $X^0$  if and only if

- 561 a.  $X^0$  has a feature triggering obligatory subject control (OC);  
 562 b.  $X^0$  does not have an independent event structure (feature ASP);  
 563 c. CL has the left-incorporation feature licensing the operation.

564 The code corresponding to this definition was added into the algorithm. We will examine the consequences and  
 565 correctness of this formalization in the next section. Data (9a-b) shows that Italian argument clitics agree with the past  
 566 participle verb.

- 567 (9) a. Loro mi=hanno lavato/\*a.                      b. Loro la=hanno lavata/\*-o.  
 568 they me-have.1PL washed-M.SG/\*F.SG            they her-have.1PL washed-F.SG/\*M.SG  
 569 ‘They have washed me.’                                      ‘They have washed her.’

570 This data is captured, because the detached clitic lands at the Spec position of the participle predicate and triggers  
 571 Spec-Head agreement at that position. Notice again the application of the mirror principle: *mi=hanno* will arrive to  
 572 syntax in the order  $hanno^0 + mi^0$  with the clitic occurring at the specifier position of the next verbal element (10).

---

<sup>17</sup> This hypothesis differs from a more radical version, namely, that the climbing verbs are auxiliaries. This does not seem correct, as many climbing verbs have several properties of lexical verbs, for example some of them may passivize, they have separate infinitival forms, and so on.

<sup>18</sup> This feature is responsible for the *Aktionsart* class of the verb, together with case assignment when the direct object case reflects the aspectual properties of the verb.

<sup>19</sup> This term comes from Cardinaletti and Shlonsky (2004).

573 (10) [loro [hanno<sup>0</sup> [lo<sub>1</sub> lavato<sup>0</sup> \_<sub>1</sub>]]] (MS-structure)  
 574 they have he.M.SG washed.M.SG  
 575  $\longleftrightarrow$  (Agree)

576 This analysis presupposes that there is a chain between the canonical direct object position and a specifier position of  
 577 the lower verb (where it agrees), as shown in (10). Chain formation was part of the original algorithm. Clitic climbing  
 578 from the position ‘V1 CL V2’ is rejected if V1 assigns its thematic roles independently of V2, which is codified by  
 579 features OC and –ASP at V1. The analysis does not require movement for clitic incorporation (Matushansky 2006, ch.  
 580 5.1.2). Thus, movement bleeds clitic incorporation: incorporation takes place during lexical-MS mapping, not sooner.

581 Clitics can occur in clusters, as shown by the data in (11).

582 (11) Loro gli=la=hanno lavata.  
 583 they them-her-have-1pl washed  
 584 ‘They washed her for them.’

585 We assume that in standard Italian a clitic can left incorporate to a DP clitic (*la*)(i.e., it has a lexical feature LEFT:CL,  
 586 D). The analysis derives (11) in the manner illustrated in (12a).<sup>20</sup>

587 (12)  
 588 a. loro gli=la=hanno lavata. (Input)  
 589 [loro [(T,V)<sup>0</sup> [la [⟨gli⟩ (T,v,V)<sup>0</sup>]]]] (MS-structure)  
 590 [loro T<sub>fin</sub> V [la<sub>1</sub> [gli<sub>2</sub> [T<sub>prt</sub> v V \_\_\_<sub>1</sub> ] ] \_\_\_<sub>2</sub>]] (Final LF-structure)  
 591 b. \*loro la=gli=hanno lavata.  
 592 they her-them-have-1pl washed

---

<sup>20</sup> The dative clitic is analyzed as an adjunct PP. If it were not analyzed as an adjunct, then the past participle head would have two specifiers *la* ‘her’ and *gli* ‘to-them’ and extra assumptions would be needed to handle the resulting double DP specifier construction. Being an adjunct PP does not deprive the argument from a thematic role: adjuncts are linked with their predicates via a grammatical dependency that transmits thematic roles (Brattico 2021).

593 c. \*loro=mi hanno lavata./ \*mi=loro hanno lavata  
 594 they-me have-1pl washed me-they have-1pl washed

595 Reverse clitic clusters are correctly classified as ungrammatical in the absence of a feature LEFT:CL,P licensing left  
 596 incorporation to a PP clitic (b). The same explanation bans incorporation (both left and right) to ordinary pronouns (c).  
 597 Right incorporation into an *are*-infinitival (*loro devo lavar#mi* ‘they must wash me’) is licensed by a right  
 598 incorporation feature RIGHT:ARE/INF (ARE/INF being the label of the Italian *are/ere/ire*-infinitival). Right adjunction to  
 599 a finite verb is ungrammatical in standard Italian. Italian lexicon lacks feature RIGHT:T/FIN preventing the operation.

600 A clitic cannot appear as an independent pronoun, thus a sentence such as (13a) is ungrammatical; the correct form is  
 601 (13b).

602 (13) a. \*Loro lavano mi. b. Loro lavano me.  
 603 they washed me they washed me  
 604 ‘They washed me.’

605 This is data usually explained by relying on some notion of phonological weakness. The condition is captured here by  
 606 assuming that unless the clitic is incorporated, and hence licensed by an incorporation feature, it is ungrammatical at  
 607 the MS-interface. Phonological weakness is formulated as a principle that applies at PHON-MS mapping.

608 This completes the nontechnical description of the clitic analysis that was constructed for the purposes of this study by  
 609 combining an existing computational framework with adjustments that were required by the clitic data. I do not claim  
 610 that this analysis presents an optimal solution to this problem, and furthermore recognize that there exist alternatives.  
 611 But since the whole analysis was implemented in a machine-readable way, we can now test it by using a rigorous  
 612 protocol.

## 613 5 Computational perspective

### 614 5.1 Introduction

615 A possible analysis of Romance clitics was presented in the previous section, in which it was argued that clitics are  
 616 detached from their hosts after the morpheme order is reversed but before they are sent to syntax, then positioned into  
 617 syntax into the corresponding reversed positions as phrasal pronouns and processed from that point as regular

618 pronouns. Some evidence was cited that suggests that the analysis could derive the properties of Romance clitics, but  
619 does it?

620 To answer this question in any definite way we use mechanical calculation. I will go through the verification process  
621 here. The process consists of the following steps. First, the clitic data to be explained is collected into a *test corpus*.  
622 This corpus contains both grammatical and ungrammatical constructions and its purpose is to represent the core  
623 linguistic phenomenon we want the analysis to capture. Second, this data is fed to the algorithm encapsulating the  
624 theory, one sentence at a time, by a computational script that handles all sentences from the test corpus so that no  
625 human intervention plays any role. Third, the output of the algorithm is compared with native speaker judgment and  
626 native speaker intuition. All three steps are elucidated in the sections below.

## 627 5.2 Stimulus

628 The test corpus is a text file that contains constructions (sentences or phrases) we want to test in order to verify that  
629 the analysis works. It contains both grammatical and ungrammatical sentences. It is organized into two categories. The  
630 first category contains sentences that verify the correctness of the basic grammatical mechanisms that are *presupposed*  
631 in the critical tests. In the present study it contained tests for all lexical elements, agreement paradigms, pronouns, pro-  
632 drop constructions, word order, control and post-verbal subjects in Italian, all without clitics. This is followed by  
633 critical tests *with* clitics. The test suite corpus should be constructed by following some explicit mechanical method to  
634 prevent intentional or unintentional biases in the selection of test materials. It should furthermore cover all core  
635 examples that the analysis is purported to capture, including every example sentence cited in the main article. The  
636 whole corpus used in this study, containing 279 construction types, is provided as a supplementary to this article. The  
637 data came from several published sources, such as Lepschy and Lepschy (1998), Lorusso (2020), Serianni (2005) and  
638 from informant work by the present author. Table 1 provides a summary of the contents of the test corpus.

639 **Table 1.** Clitic constructions used in the present study to test the analysis. # = number identifier in the input and  
640 output files; *construction* = construction type with an example.

#	Construction
1-11	Lexical items and argument structures (intransitives, transitive, ditransitives)
12-19	Agreement paradigms and pronouns
20-32	pro-drop sentences
33-34	Free word order examples (in Finnish language) <sup>a</sup>
35-36	Control sentences <sup>a</sup>
37-54	Post-verbal subject sentences in Italian
55-59	Finite agreement errors
60-67	Word order errors
68-75	Direct accusative clitics ( <i>loro mi=lavano</i> ), with or without grammatical subject ( <i>mi=lavano</i> )

76-78	Direct accusative clitics with postverbal subject ( <i>mi=lavano loro</i> )
79-84	Clitic position errors, various types (e.g., <i>*loro lavano-ni, mi=loro lavano</i> )
85	Clitic and agreement error ( <i>*io mi=lavano</i> )
86	Ungrammatical clitic position with postverbal subject ( <i>*lavano=mi loro</i> )
87	Agreement error with postverbal subject ( <i>*mi=lavano io</i> )
88	Agreement error, clitic position error and postverbal subject ( <i>*lavano=mi io</i> )
89-91	Agreement error and clitic position error ( <i>*io lavano=mi</i> )
92-126	Two-verb structures ( <i>hanno + lavato, devono + lavare, stanno + lavado</i> ) ...with or without postverbal subject ...with grammatical and ungrammatical clitic incorporation ... with or without overt subject (pro-drop)
127-189	Clitic in wrong position
190-198	Clitic-past participle agreement errors ( <i>*loro la=hanno lavato</i> )
199-210	Agreement errors and clitic placement errors ( <i>*loro hanno la=lavato</i> )
219-222	Subject in wrong position ( <i>*?mi=hanno loro lavato</i> )
223-256	Three verb structures ( <i>loro mi=hanno volute lavare</i> ), both grammatical and ungrammatical
257-268	Indirect clitics and clitic clusters ( <i>loro gli=lavano Luisa, la=gli=hanno lavata</i> )
269-285	Restructuring ( <i>loro sembrano lavare=mi</i> )
286-296	Reflexive clitics ( <i>io mi=lavo</i> )
297	Si-subject constructions ( <i>si=dorme</i> )

<sup>a</sup> These were included because I wanted the analysis to provide correct solutions also for certain word order facts and standard cases of control.

641

642 The test corpus fills several roles. Suppose we wanted to compare two theories of clitics. We can now do this by using  
643 the same, agreed-upon core test corpus that any linguistically plausible model is minimally required to handle. Indeed,  
644 construction of such core datasets constitutes one of the most important aspects of a computational study of this kind  
645 and is routinely used by the CL community when comparing the performance of various engineering models, such as  
646 practical parsers.<sup>21</sup> In addition, by using a fixed test corpus it is possible to test the consequences of any change  
647 proposed into the analysis. Suppose, for example, it were proposed that a better analysis would result if the clitic  
648 pronouns were interpreted as NPs and not full DPs. We can modify the analysis (the program code) accordingly and  
649 then run the modified algorithm *with the same test corpus* and compare the results without ambiguity. Similarly, it will  
650 be easy to test what happens if we perform clitic detachment before the application of the mirror principle. In the short  
651 term such theory comparison could be done by measuring the relationship between the complexity of the analysis and  
652 the size and heterogeneity of the data the analysis captures, measuring in some sense the “depth” of the analysis,  
653 although in the long run such comparisons will be done by the scientific community as a whole by using whatever  
654 criteria they come to consider as most compelling. The main point here, however, is that there is a reason why the CL

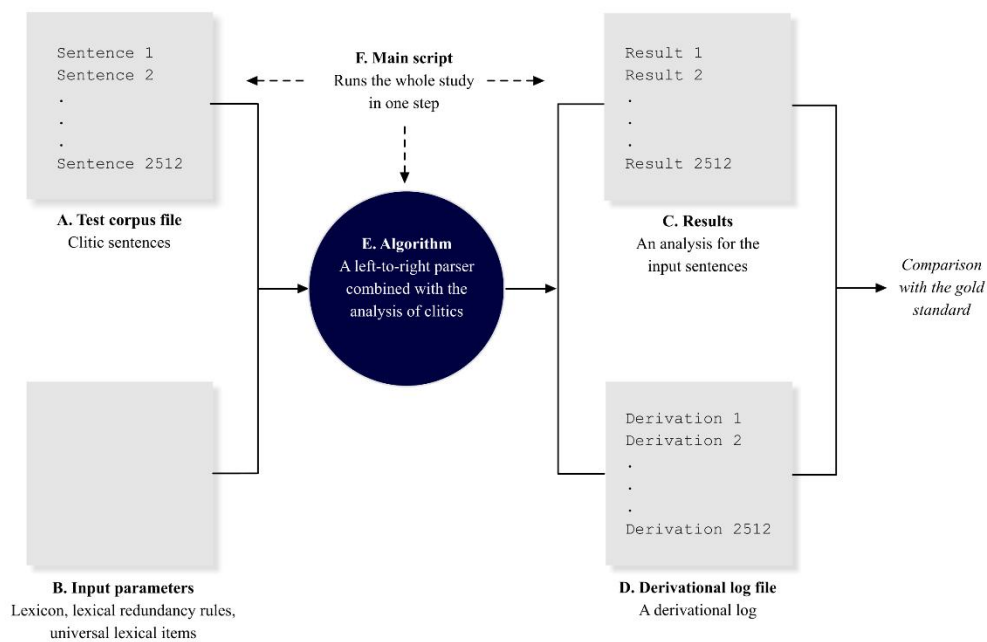
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<sup>21</sup> Linguists cannot typically use the datasets employed by the CL community, as they do not contain ungrammatical sentences and do not target specific linguistic constructions or topics in a controlled manner. Linguistically relevant datasets must be specifically curated, as they are in any empirical science, so that they cover the empirical phenomenon the proposed hypothesis is purported to explain, and furthermore exclude all irrelevant and uncontrolled properties and constructions.

655 community relies on established test corpora in comparing theoretical approaches. Theoretical linguists should do the  
 656 same.

### 657 5.3 Procedure

658 The test environment was built in the following way. A complete test is launched by running the main script. The  
 659 main script initializes the test program, reads external information from separate files (test corpus, lexical information)  
 660 and sends each input sentence from the test suite corpus to the recognition algorithm. The recognition algorithm calls  
 661 for a recursive parser that guides the application of computational operations (e.g., Merge, Move, Agree) on the basis  
 662 of the input strings and by doing this produces a set of acceptable and semantically interpretable parses, which it sends  
 663 back to the main script. The testing architecture is illustrated in Figure 2.



664

665 **Figure 2.** A main script handles the pipeline, in which the input files (test suite corpus together with the lexicon) are  
 666 read and fed into the recognition algorithm that utilizes a recursive parser consisting of the phonological branch and  
 667 narrow syntax. Two output files are provided: summarized results, which contains grammatical judgments and  
 668 structural analyses, and a log file, which holds a record of complete derivations, thus all linguistically relevant  
 669 computational steps that happened run-time during the analysis.

670 The algorithm provides several types of output; here we are specifically interested in the grammaticality judgments,  
 671 syntactic analyses, semantic interpretations (Results, Figure 1, also *Supplementary 2 Results.txt*) and detailed

672 derivations (Derivational log file, also *Supplementary 3 Derivations.txt*).<sup>22</sup> The algorithm provides detailed output also  
 673 concerning the number and type of computational operations it consumes while processing each sentence, allowing  
 674 the researcher to compare its performance with psycholinguistic experiments and thus to assess, if such performance  
 675 factors were useful from the point of view of the study, also its psycholinguistic plausibility. Regardless of the type of  
 676 output that is eventually evaluated, the idea is to compare the output with data from native speakers to make sure that  
 677 the two matches and thus that the model satisfies observational adequacy.

678 The test corpus and the testing procedure used in this study were both feasible from the point of view of practical  
 679 research project. It takes an average computer less than one minute to go through a test corpus of this size. Practical  
 680 work with an algorithm like this has shown that a test corpus containing anywhere between one and three thousand  
 681 constructions is manageable for one person to verify and analyze. Although the number might seem low at first, it is  
 682 important to keep in mind that any change to the theory, no matter how small, requires that the whole test corpus is  
 683 analyzed anew. Furthermore, verifying the correctness of the output of this type (grammaticality judgments, syntactic  
 684 analyses and meanings) requires considerable resources from a human participant.

#### 685 5.4 Results

686 Inspection of the output of the algorithm shows that it produced correct results with few exceptions, discussed at the  
 687 end of this section. Let us consider some of the key examples first. A simple direct object clitic sentence such as (14)  
 688 is analysed by the recognition algorithm as shown in (15).

689 (14) loro mi=lavano (#68)

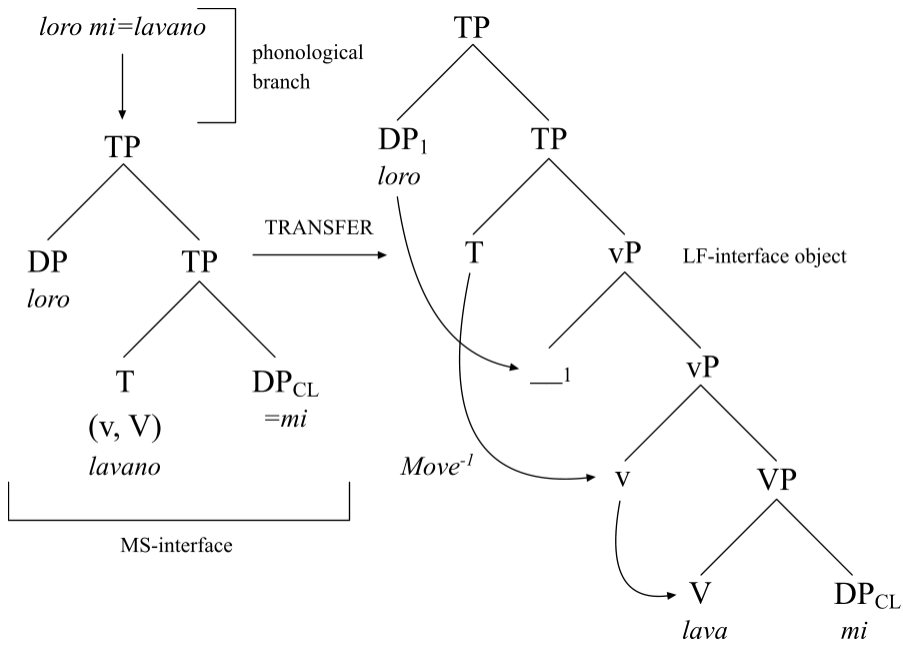
690 they me=wash

691 ‘They washed me.’

---

<sup>22</sup> These are raw datafiles. Perhaps it is better to replace these with one supplementary document that summarizes the results and then leave the raw data online.

692 (15)



693

694 The derivation can be found from lines 21340-21543 in the log file (*Supplementary 3 Derivations.txt*), part of which is  
 695 reproduced in Figure 4, which is a more formal replication of the process illustrated in (15).



```

21341 ['loro', 'mi=lavano']
21342 Using lexicon "lexicon.txt".
21343
21344     =None
21345
21346     Next word contains multiple morphemes ['n$', 'def$', '3p$', 'pl$', 'D$', 'loro-']
21347     Storing inflectional feature ['- ', 'LANG:EN', 'PHI:GEN:N'] into working memory.
21348
21349     1. Consume "def$"
21350     Storing inflectional feature ['- ', 'LANG:EN', 'PHI:DET:DEF'] into working memory.
21351
21352     2. Consume "3p$"
21353     Storing inflectional feature ['- ', 'LANG:EN', 'PHI:PER:3'] into working memory.
21354
21355     3. Consume "pl$"
21356     Storing inflectional feature ['- ', 'LANG:EN', 'PHI:NUM:PL'] into working memory.
21357
21358     4. Consume "D$"
21359     Adding inflectional features {'LANG:EN', '-', 'PHI:DET:DEF', 'PHI:PER:3', 'PHI:GEN:N',
21360     =D
21361
21362     6. Consume "loro"
21363
21364     D + loro
21365     Filtering out impossible merge sites...
21366     Sink "loro" into D because they are inside the same phonological word.
21367     =D{N}
21368
21369     Next word contains multiple morphemes ['lavano=', 'mi']
21370
21371     Next word contains multiple morphemes ['inc$', '[-no]$', 'T/fin$', 'v$', 'lava-']
21372     Storing inflectional feature ['- ', 'INCORPORATED', 'LANG:EN'] into working memory.
21373
21482 >>> Trying candidate spellout structure [[D loro] [T/fin{v,V} D{N}]]
21483 Checking surface conditions...
21484 Clitic D{N} left-incorporated to T/fin{v,V}
21485 Reconstructing...
21486 Clitic D{N} left-incorporated to T/fin{v,V}
21487 1. Head movement reconstruction:
21488     Target v{V} in T/fin
21489     =[[D loro] [T/fin [v{V} D{N}]]]
21490     Target lava in v
21491     =[[D loro] [T/fin [v [lava D{N}]]]]
21492     =[[D loro] [T/fin [v [lava [D mi(cl)]]]]]
21493 2. Feature processing:
21494     =[[D loro] [T/fin [v [lava [D mi(cl)]]]]]
21495 3. Extrapolation:
21496     =[[D loro] [T/fin [v [lava [D mi(cl)]]]]]
21497 4. Floater movement reconstruction:
21498     =[[D loro] [T/fin [v [lava [D mi(cl)]]]]]
21499 5. Phrasal movement reconstruction:
21500     Moving "D loro " into memory buffer from SPEC of "T/fin".
21501     Memory buffer: [[D N]]
21502     Dropping constituent [D loro] from memory buffer into Spec of v
21503     Result [[D loro] [T/fin [[D loro] [v [lava [D mi(cl)]]]]]]
21504     =[[D loro]:3 [T/fin [__ :3 [v [lava [D mi(cl)]]]]]]
21505 6. Agreement reconstruction:
21506     T/fin acquired PHI:DET:DEF from the edge of T/fin.
21507     T/fin acquired PHI:GEN:N from the edge of T/fin.
21508     T/fin acquired PHI:NUM:PL from the edge of T/fin.
21509     T/fin acquired PHI:PER:3 from the edge of T/fin.
21510     =[[D loro]:3 [T/fin [__ :3 [v [lava [D mi(cl)]]]]]]
21511 7. Last resort extrapolation:
21512     = [[D loro] [T/fin [[D loro] [v [lava [D mi(cl)]]]]]]
21513 Checking LF-interface conditions.
21514 Transferring [[D loro]:3 [T/fin [__ :3 [v [lava [D mi(cl)]]]]]] into Conceptual-Inter
21515 v with ['PHI:DET: ', 'PHI:NUM: ', 'PHI:PER: '] was associated at LF with:
21516 1. [D loro] (alternatives: 2. T/fin )
21517 lava with ['PHI:DET: ', 'PHI:NUM: ', 'PHI:PER: '] was associated at LF with:
21518 1. [D mi(cl)] (alternatives: 2. [D loro] 3. T/fin )
21519 Transfer to C-I successful.
21520 Semantic interpretation/predicates and arguments: {'lava([D mi(cl))'}, 'v([D loro]
21521 -----
21522 All tests passed
21523 -----

```

Morphological decomposition of "loro"

Morphological decomposition of "mi=lavano"  
Application of the mirror principle

MS-interface object

Checking incorporation at MS-interface

Creation of inverse chains

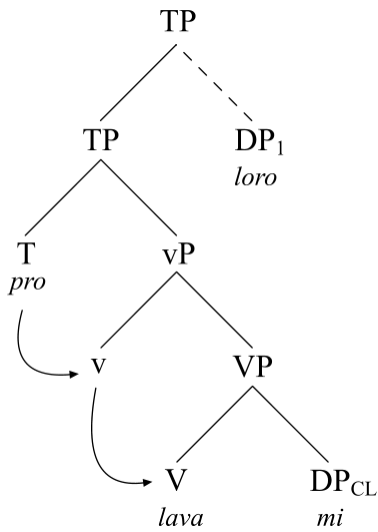
Result

Semantic interpretation

**Figure 4.** Screenshot of the derivational log file capturing the derivation of sentence (14)/(15).

The phonological input string is decomposed into morphemes, the order is reversed, and the resulting morphemes are mapped to lexical items which are fed into syntax, where they are merged (in the incoming order) to a MS-structure representation (structure at the left) (lines 21349-21480). Clitic conditions are checked at the MS-structure (21484-5). The clitic has a feature licensing left incorporation to *lavano*, so the filter lets the derivation to proceed. The enclitic is merged directly to the canonical object position due to *la* reversal, that takes place in the phonological branch (*mi=lavano* → *lavano + mi*), hence there is no “clitic climbing” in the syntactic component. This can be seen from line 21484. *Transfer* performs reconstruction by creating phrasal (DP) and head ( $T^0$ - $v^0$ - $V^0$ ) chains, shown in the final phrase structure at right (lines 21489-21519). The same sentence with a postverbal subject construction is deduced as (16)(#76).

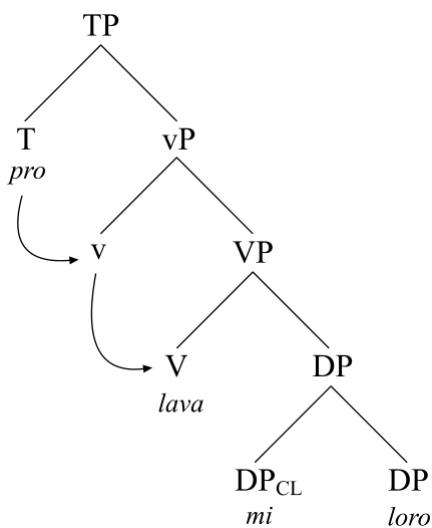
707 (16)



708

709 The algorithm analyses the postverbal subject as a right adjunct (adjunction will be marked by a dashed arrow, < > in  
 710 the machine-generated output). The thematic agent is therefore missing, but the ‘agent’ is reconstructed from the pro-  
 711 element at T which is in turn reconstructed from the overt agreement features at the finite verb (following the  
 712 suggestion of Alexiadou and Anagnostopoulou 1998 and Brattico 2021). Notice that this solution is attempted only  
 713 after the parser tries a construction in which the postverbal subject is in the complement position of the verb and the  
 714 clitic is at its specifier (17) (line 22916). This derivation crashes at LF because the clitic DP cannot be assigned a  
 715 thematic role (line 22949).

716 (17)

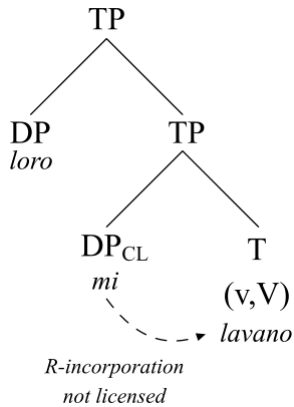


717

718 Sentence *\*loro lavano=mi* (#79) is correctly ruled ungrammatical because there is no lexical feature licensing right  
 719 incorporation of a clitic to *lavano* ‘washed’. The relevant MS-interface representation is illustrated at (18)(line 23744).

720 Most of the wrong clitic placements are ruled out by the same mechanism and thus depend on the lexical features and  
 721 the MS-structure filter that checks them (line 23747).

722 (18)

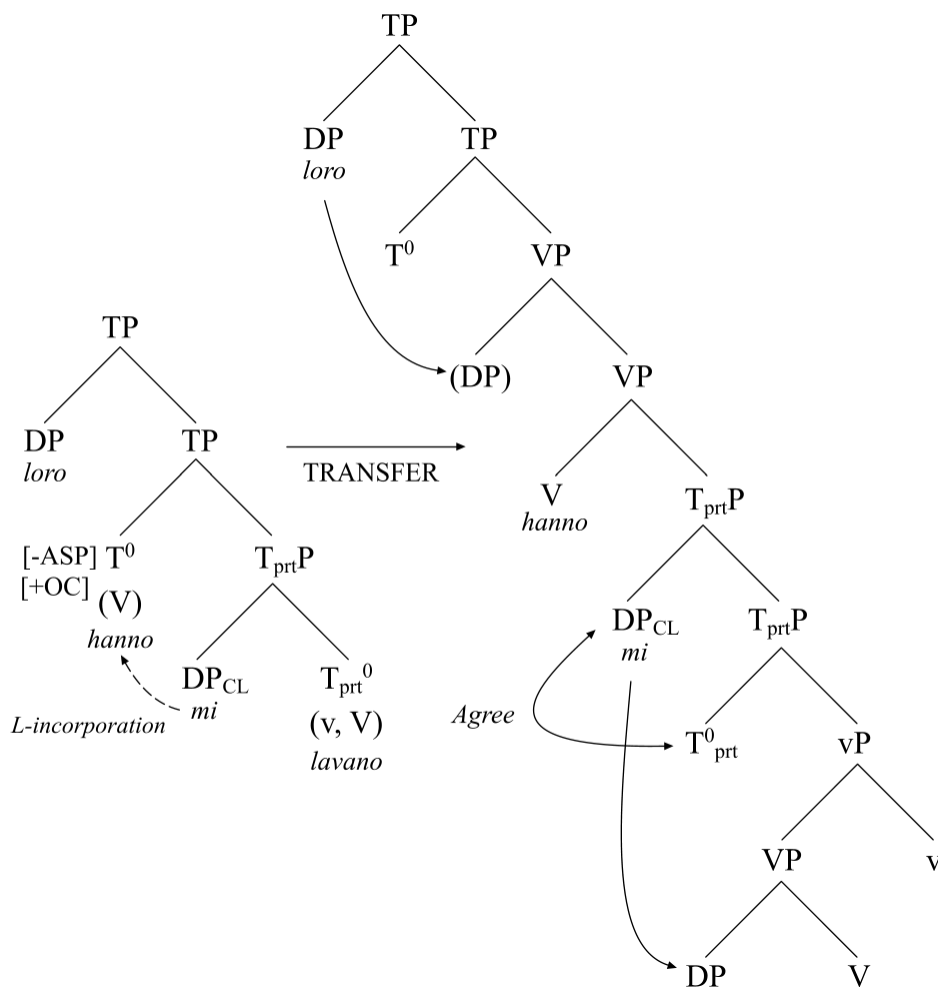


723

724 If we examine the same sentence from the point of view of an enumeration algorithm, then the licensing condition  
 725 blocking (18) prevents m-merger of DP<sub>CL</sub> to the complex verbal head to its right. If the clitic is left hanging as an  
 726 independent pronoun, the recognition algorithm will reject it because a freestanding clitic satisfies no positive  
 727 licensing condition. As a consequence, the fact that clitics are “weak” pronouns has no other meaning in the current  
 728 formalization than the fact that they cannot occur as free morphemes.

729 Next, we examine various cases of two-verb structures exhibiting clitic climbing (#92-222). Sentence *loro mi=hanno*  
 730 *lavato* ‘they me-have washed’ (# 92) is analysed as (19).

731 (19)



732

733 The MS-interface object is shown at left, in which the clitic pronoun occurs between the auxiliary verb *hanno* ‘have’  
 734 and the participle *lavato* ‘washed’. Its position had been reversed in the phonological branch before the creation of the  
 735 MS-structure (line 27158). L-incorporation of the clitic to the auxiliary is licensed due to the presence of the two  
 736 features OC (obligatory control) and –ASP (no independent thematic roles are projected)(line 27160); if the selecting  
 737 verb lacks on of these features, the operation is not licensed. Reconstruction then creates the LF-interface object at the  
 738 right (line 27197), in which the clitic pronoun agrees with the participle verb and is reconstructed into the direct object  
 739 position. The grammatical subject is reconstructed into SpecVP position.<sup>23</sup> These constructions were correctly derived

---

<sup>23</sup> The specifier of v is empty, which triggers an antecedent algorithm that wrongly targets the agreement features (pro-element) at the T<sub>prt</sub> resulting in a reflexive interpretation ‘me washed myself’. If we force the subject to reconstruct into Spec<sub>vP</sub>, it will reconstruct through SpecT<sub>prt</sub>P and cause S-T<sub>prt</sub> agreement in the absence of the clitic. Changing the antecedent algorithm so that it

740 when they occur without the subject, with postverbal subject, and in connection with *devono + lavare* (#99-116, 168-  
 741 179) and *stanno + lavando* (#117-126, 180-189). Various errors were successfully tested as follows: clitic in wrong  
 742 position (#127-148) with postverbal subject (#149-167), and wrong subject position (#211-222). Three-verb sentences  
 743 (*loro mi=hanno volute lavare*) can be found from #223-256. Wrong clitic positions (e.g., *\*loro hanno mi=lavato*,  
 744 *\*loro hanno lavatomi*, *\*io=mi sono lavato*, *\*loro hanno mi=voluto lavare*) were all rejected in the same way as (20).  
 745 The clitic occurs in a position at MS-level at which it is not licensed (by L-incorporation, R-incorporation). These  
 746 were all derived correctly. An interesting observation is recorded in #211-222, in which I tried sentences in which the  
 747 grammatical subject occurs between the auxiliary and the participle verb (*\*mi=hanno loro lavato* ‘me-have they  
 748 washed’)(#211) and which were correctly deduced as ungrammatical (I have obtained conflicting judgments for these  
 749 sentences and assume that they are ungrammatical or marginal). The recognition algorithm provides (20) as the MS-  
 750 interface object.

751 (20)  $[_{TP} (T, V)^0 [_{TP} DP_{CL} [_{TP} [loro] (T_{prt, v}, V)^0 ]]]$  (line 73500)

752 It is interesting to note that the algorithm reconstructs *mi* and *loro* into correct positions inside the VP but is unable to  
 753 determine the grammatical head hosting the clitic. A structure with unknown/unrecognized elements cannot be  
 754 interpreted at LF (line 73547).

755 (21)  $[_{TP} T [_{TP} ha [_{?P} mi_2 [_{?P} ? [_{TP_{prtP}} loro_1 [_{TP_{prtP}} T_{prt} [_{vP} \_1 [_{vP} v [_{vP} V \_2]]]]]]]]]]$

756 I cannot say if this is a right or wrong way to reject these sentences, but this nevertheless is an automatic and  
 757 unintended consequence of the axioms constituting the proposed analysis.

758 The analysis deduces the properties of (22) incorrectly, wrongly predicting that the sentence should be grammatical.  
 759 This reveals a problem in the analysis that I have not been able to solve.

---

skips  $T_{prt}$  would be completely ad hoc. I do not know how to solve this problem in an elegant way. It suggests that fundamental  
 unsolved problems remain.

760 (22) \*Loro mi=lavano Luisa.  
 761 They me-washed Luisa  
 762 ‘\*They washed me Luisa’

763 The recognition algorithm interprets *Luisa* as a right adjunct, as we cannot outright rule out DP adjuncts (e.g., *the*  
 764 *whole day*). If we reject proper name DP adjuncts, then, by using the current assumptions, there is no way to derive  
 765 Italian postverbal subjects. When the thematic agent is in a right-adjoined position, there is no grammatical link  
 766 between the adjoined subject and the agreement features at T (v is linked correctly with the agreement cluster at T). I  
 767 leave this problem for future; it is a clear defect in the analysis as it stands at present.

768 Based on this small-scale study it is impossible to say whether the analysis has merit and especially how it compares  
 769 with alternative hypotheses or hypotheses that start off from completely different assumptions. An anonymous  
 770 reviewer of this article proposes that a better starting point would be historical analysis. My point, however, is not to  
 771 argue that the analysis itself is superior to any alternative, real or imagined; rather, the analysis was constructed in  
 772 order to demonstrate that the move from natural language justification into a full natural scientific, formal  
 773 justification, is both possible and feasible despite the obstacles created by the combinatorial nature of the data. This  
 774 brings several potential benefits to linguistic theorizing, worth repeating before concluding.

775 One strength of the proposed methodology is that whether the model is superior to an alternative, say an analysis  
 776 based on historical patterns, can be examined unambiguously and thus free from appeals to subjective intuition or to  
 777 other dubious criteria. The procedure would begin by agreeing upon a common core dataset that any theory is required  
 778 to capture, a step that cannot in my view be objected on any rational grounds in any scientific enterprise, and then by  
 779 comparing the algorithms (hypotheses) that correctly deduce that dataset. It makes no difference if the hypothesis  
 780 relies mostly on cognitive computational operations, such as the present analysis, or emphasizes historical patterns. If  
 781 the latter, then the cognitive part of the theory is likely to rely more on general cognitive operations, such as  
 782 supramodal pattern recognition, memorization, statistical inference and/or inductive generalization, enriched with a  
 783 more substantial contribution from a history of observation (“data”)(see Lewis et al. 2005) . There is no justification  
 784 for not demonstrating the adequacy of a theory of this type by using the same type of deductive reasoning. Similarly, it  
 785 could be objected that the formal computational mechanisms and representations posited by the present proposal are  
 786 unnecessary complex and unnecessarily rich, and that a simpler theory would result if we assumed something less  
 787 (e.g., a dependency grammatical formalism, conceptual structure, even a connectionist model). That could be true, but

788 the claim needs to be demonstrated. It can be demonstrated by showing that a simpler model does derive the same  
789 dataset. Moreover, all the assumptions posited in the linear phase algorithm that handle the clitic data are necessary in  
790 the sense that if we removed any one of them then the whole model would fail immediately. Removing and/or  
791 simplifying components of a working computational theory is not a trivial matter and cannot be accomplished by  
792 injecting natural language speculation into the mix.<sup>24</sup> That being said, I do not see any a priori reason why the analysis  
793 proposed here could not be simplified, even to a point of being implemented by an extremely simple and thus  
794 attractive connectionist finite-state machine that learns everything from a simple input dataset.

795 In addition to its merits, the methodology has limitations. It requires that the leading ideas be converted into rigorous  
796 enough format so that a translation into a machine-readable system becomes possible even in principle. Such  
797 formalization might serve no purpose in some contexts, for example, if the point is to gather data, conduct linguistic  
798 experiments, or merely report linguistic intuitions. Thus, the approach seems applicable and perhaps also justifiable in  
799 theoretical linguistics, in which theory construction and testing constitutes the main focus of the enterprise.  
800 Furthermore, writing machine-readable code is not resource-free activity and tends to lead into linguistically irrelevant  
801 errors (“bugs”) that require resources and expertise to correct. This means that some work that is not directly relevant  
802 to linguistic theorizing per se will be required from the research team. On the other hand, most scientific disciplines  
803 operate under similar conditions. Conducting a psycholinguistic experiment, for example, involves logistic challenges  
804 and setting up statistical analyses that have little to do with the subject matter itself.

805 Although discovery does not constitute the focus of this article, the topic is not irrelevant. The experiment revealed a  
806 number of ways in which rigorous calculation can aid discovery. Once the testing protocol in place, it become possible  
807 to experiment with alternative hypotheses and assumptions by changing the code and running it through the whole test  
808 corpus. By directly comparing the outcomes of the previous and new version it was possible to see with one glance  
809 what the logical consequences of the adjustments were. This makes it possible to guide the model towards an analysis  
810 with increased coverage and away from ideas that felt intuitively useful at first but led into too many unintended

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<sup>24</sup> This is because almost every component of the theory depends on what the other components are doing. This is why a small error or change somewhere in the program will almost always crash the model or at the very least lead into many unforeseen consequences. It also means that interpreting what one component of the model is doing is quite impossible when considered in isolation.

811 consequences. In addition, formalization forced me to focus on a number of empirical and theoretical problems whose  
 812 existence I was only vaguely aware of, and in some cases, not aware of at all. Second, by incorporating clitic  
 813 processing into the morphological component forced a number of unanticipated changes into the way morphology was  
 814 processed. For example, it was not trivial to separate clitics from other morphemes, as the algorithm did not have  
 815 access to the lexicon before items were literally streamed into the syntactic component.

## 816 **6 Conclusions**

817 It was argued that scientific justification could play a useful role in theoretical linguistics. Computational tools and  
 818 hardware have developed to a point at which they can be applied with little cost even to problems with considerable  
 819 computational complexity. The theory of Romance clitics was used as an example to illustrate the merits and  
 820 challenges of the methodology, and a recognition algorithm was developed to deduce properties of clitic constructions  
 821 in standard Italian.

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