# The maximal size of infinitives: a truncation theory of finiteness\*

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This paper argues for the following finiteness universal: an infinitive cannot co-occur with a high complementizer (such as that in English). Although such an observation may seem trivial, assuming Rizzi (1997)'s articulated CP allows one to redefine that. In a vein similar to Wurmbrand and Lohninger (2019), I propose that infinitives can come in different sizes. This paper combines Pesetsky (2021)'s arguments that finiteness is a matter of clause size together with truncation theories of infinitives such as Shlonsky and Soare (2011)'s to argue for a novel understanding of finiteness, proposing precise and falsifiable definitions for finite and nonfinite clauses. Based on a crosslinguistic survey of several different languages belonging to many different language families, I present a theory of finiteness under which a clause is defined as nonfinite iff its ForceP/CP2 layer has been truncated, and finite iff it is untruncated. Although derivational theories of finiteness predict this generalization, infinitives come in at least seven different sizes crosslinguistically. Beyond arguing for this finiteness universal, this paper also discusses the cartographic predictions that result from maximal size of infinitives in a given language. Under this definition of finiteness in terms of the truncation of the C domain, I will argue that the surprising phenomenon of finite control does not exist.

**Keywords:** finiteness, complementizer, infinitive, clause size, left periphery

## 1 Introduction

One of the most poorly understood notions in generative grammar is the notion of finiteness. For descriptive grammarians, this is relatively simple: finiteness is seen as a property of the verb. As Nikolaeva (2007) points out, in Latin, the finite/nonfinite distinction was originally just the presence or absence of agreement of the verb, though other properties were later considered to be relevant for finiteness as well—the most important of which is tense.

This works straightforwardly to analyze finiteness within a European context, but as we will soon see, such a definition of finiteness cannot be extended crosslinguistically. Landau (2013) lists a number of languages with inflected infinitives, such as Turkish, Brazilian Portuguese, Basque, Hungarian and Welsh which have nonfinite complements that are inflected for agreement. An example from European Portuguese is provided in (1) below from Raposo (1987):

(1) Será dificil [eles aprovar-em á proposta]. It will.be.difficult they to.approve-3PL the proposal 'It will be difficult [for them to approve the proposal].'

<sup>\*</sup>Thanks first and foremost to Susi Wurmbrand and David Pesetsky for extensive help. I would also like to thank Jim Huang, Jay Jasanoff, Stefan Keine, Idan Landau, Ur Shlonsky and Hoskuldur Thrainsson for helpful comments. All errors are mine. This paper is dedicated to Erdoğdu Satık.

One, as Raposo (1987) does, may claim that agreement is not the relevant property for the finite/nonfinite distinction: instead, the distinguishing property is tense. But this does not work either. In Tamil, as McFadden and Sundaresan (2014) points out, we see the opposite scenario with a gerundival participle in (2) below, in which the embedded clause is embedded for tense, but lacks agreement, yet appears to be nonfinite given its inability to stand alone:

(2) Raman<sub>i</sub> [EC<sub>i</sub> Seetha-vae naaleeki paar-pp-adaagae] so-nn-aan. Raman EC Seetha-ACC tomorrow see-FUT-GER-ACC say-PST-3MSG 'Raman<sub>i</sub> spoke of [EC<sub>i</sub> seeing Seetha tomorrow].'

Another property that has been commonly assumed to distinguish finite clauses from nonfinite clauses is whether the clause licenses overt subjects, such as by Chomsky (1977a). For example, A-movement out of a finite clause is not possible, as in (3a), but it is from a nonfinite clause, as in (3b). Although in the past such a distinction was tied to Case and agreement, in more recent proposals such as by Pesetsky (2021) it is tied to clause size: (3a) involves a clause as large as CP, which precludes the possibility of subject extraction, whereas (3b) involves a clause that is smaller than CP, which allows the possibility of subject extraction:

- (3) a. \* David<sub>i</sub> seems [that  $t_i$  likes exfoliation].
  - b. David; seems [t; to like exfoliation].

Ultimately, I will adopt a similar line of reasoning. Regardless, it seems prima facie possible that subject licensing is related to finiteness, especially in languages like Mandarin which have no inflectional morphology whatsoever, and hence, no tense and agreement. Such languages have remained puzzling for theories of finiteness for decades. As has been noted by many in the literature on Mandarin, clausal complements of verbs such as *like* cannot have an overt subject or a null pronoun that does not refer to the matrix subject. In other words, we seem to observe a controlled PRO in the complements of such sentences, as in (4) from Ussery et al. (2016) below. This indicates there might be a finite/nonfinite distinction in Mandarin after all:

(4) Xiaoming xihaun (\*ta) chi shousi. Xiaoming like he eat sushi 'Xiaoming likes to eat sushi.'

McFadden and Sundaresan (2014) raises further challenges for this line of reasoning, however, based on evidence from languages such as Tamil, Sinhala, Modern Irish and Middle English which have clauses that are clearly nonfinite—that lack tense and agreement—yet allow subjects to be licensed, as in the Modern Irish example in (5) below.

(5) Ghoillfeadh se orm [tu me a ionsai]. would.bother it on.me you.ACC me INF attack 'It would bother me for you to attack me.'

As Raposo (1987) points out, even inflected infinitives in European Portuguese allow overt pronominal subjects—which Raposo ties to agreement. Regardless, McFadden and Sundaresan undermine the correlation between subject licensing and finiteness, not just for simpler models of subject licensing via Agreement in the GB and Minimalist framework like Raposo (1987)'s, but also for Landau (2004) and Szabolcsi (2009), who assume a more complex relationship between tense, agreement and subject licensing in clauses.

Another potential distinguishing property, briefly alluded to above in our discussion of Tamil, is the ability of a clause to stand alone. This seems difficult to reconcile with the existence of imperatives like *Catch her!* which, even in languages with very rich inflectional morphology, have little inflection, and yet can stand alone. Therefore, although I have simplified the empirical terrain somewhat, many works, such as Nikolaeva (2007), have concluded that there is no single morphosyntactic definition or single semantic function associated with finiteness. As such, works like Wurmbrand et al. (2020) claim that different morphosyntactic categories are responsible for finiteness in different languages—such as agreement in the South Slavic languages.

Although I agree with this conclusion, I will argue that there is a single syntactic property that nonfinite clauses crosslinguistically have in common, providing further evidence for Pesetsky (2021)'s claim that finiteness is a matter of clause size. In this paper, I will propose that there is in fact at least one specific clausal projection which all nonfinite clauses lack. In particular, I would like to bring the attention of the reader to a seemingly trivial fact: an infinitival clause can never co-occur with *that*, which is often referred to as a finite complementizer:

### (6) Caitlin seems (\*that) to be pretty.

I will argue that (6) is true of all nonfinite clauses. Such an observation, at this stage, is plainly circular: it is trivially true that a finite complementizer cannot head a nonfinite clause. But what is a finite complementizer? Why is *that* associated only with finite embedded clauses? We can answer these questions if we adopt works which split up the CP domain following Rizzi (1997), we can change our conception of what *that* actually is. And this will allow us to bypass this circularity and make a non-trivial crosslinguistic generalization.

Following Rizzi (1997), I split up the C domain in a manner which is schematized below. Further details will be provided in section 3 of this paper, but I will first note that I have eliminated Rizzi's labels of ForceP and FinP, and replaced them simply with CP2 and CP1. As we will see, this splitting-up is justified by the possibility of double complementizer constructions crosslinguistically, and the existence of complementizers which seem higher and lower in the C domain:

### (7) CP2 (high) > IntP > FocP > TopP > WhP > CP1 (low) > TP

Villa-Garcia (2012) provides an illustrative example from Spanish, where there are two complementizers *que*, and the topic precedes one but follows the other:

(8) Susi dice **que** *a* los alumnos (**que**) les van a dar regalos Susi says that DAT the students that cl. go to give presents 'Susi says that they are going to give the students presents.'

I define a *high complementizer* as a complementizer that heads CP2. It precedes topics and focus-marked elements. Thus, the notion of CP2 can be used to define finiteness in a non-circular manner. I argue that high complementizers never appear with nonfinite clauses. A complementizer that heads CP1, on the other hand, is a *low complementizer*. It often appears with nonfinite clauses, but it need not. It follows topics and focus-marked elements, but only if the TopP and FocP layers have not already been truncated, which is almost always the case.

<sup>&</sup>lt;sup>1</sup>Many morphosyntactic categories have been suggested to be responsible for finiteness in the literature: mood, tense, aspect, person marking, illocutionary force, nominal morphology on the verb, and markings that mark dependent clauses in certain languages. Given that a full discussion of these properties would take us out of the scope of the paper, the reader is referred to Nikolaeva (2007) for further discussion.

It is in fact possible to distinguish between these complementizers even in English: I will uncontroversially claim that *that* is a high complementizer. *For* may be a low complementizer. Although many such tests will be presented throughout this paper, I will provide a simple illustrative example. For example, notice that, as Haegeman (2012) points out, topicalization is possible in the embedded clause complements of non-factives, and in this case *that* precedes the topic:

(9) I said that Manufacturing Consent<sub>i</sub>, Chomsky wrote t<sub>i</sub>.

This indicates that *that* is a high complementizer in Rizzi's system. On the other hand, infinitives in English never allow topicalization or focalization. For authors who follow Rizzi's framework, this has been taken to indicate that English infinitives seem to be deeply truncated compared to English finite embedded clauses.

(10) \* Chomsky claimed Manufacturing Consent<sub>i</sub>, to have written.

The lack of topicalization and focalization in infinitives is by no means a universal, although they appear to be rarely attested. For example, Hebrew infinitives seem to display almost the entire range of the properties of the C domain, allowing *why*-embedding, topicalization, focalization and more, according to Shlonsky (2014):

(11) ani roce [et ugat ha pereg]<sub>i</sub> lenasot  $t_i$ . I want DOM cake the poppyseed to.try 'I want to try the poppyseed cake.'

And yet, Hebrew infinitives crucially cannot be headed by the high complementizer še:

(12) ani roce (\*še) lenasot et ugat ha tapuxim. I want (\*that) to.try DOM cake the apples 'I want to try the apple cake.'

More revealingly, there are languages—at least Icelandic, Mandarin, Serbian and Spanish—which have complementizers that behave as high complementizers in finite clauses in fact cannot behave as a high complementizer in nonfinite complements.

For example, what has been called the infinitival marker in Icelandic,  $a\delta$ , appears only with control complements. But another element,  $a\delta$ , behaves like *that*, appearing with finite embedded clauses. They have different properties: the former does not allow topicalization at all as seen in (13a), while the latter allows it, following  $a\delta$ , as in (13b). To account for this phonetic identity, I will propose that  $a\delta$  is the phonetic form when either CP2 or CP1 is filled in the clausal domain.

- (13) a. \* Risarnir lofa [að [á morgun]<sub>i</sub> éta ríkisstjórnina t<sub>i</sub>]. the-giants promise to to-morrow eat the-government 'The giants promised to eat the government tomorrow.'
  - b. Risarnir segja [að [á morgun] $_i$  éti þeir ríkisstjórnina  $t_i$ ]. the-giants say that tomorrow eat they the-government 'The giants said that they will eat the government tomorrow.' Thraínsson (1993)

Therefore, the main crosslinguistic generalization that I will argue in this paper, is in (14) below.<sup>2</sup>

<sup>&</sup>lt;sup>2</sup>The scope of this paper is to cover only the clausal size of infinitives; as such, I will only focus only on infinitives. For the most part, I will not discuss gerunds or other kinds of nonfinite clauses in this paper, leaving it to future research. However, it is likely that conclusions that I make concerning infinitives can also be made concerning gerunds as well.

(14) **Infinitive Size Generalization (ISG)**: No infinitive projects CP2. No infinitive can co-occur with a high complementizer.

This allows for a definition of finiteness in terms of the truncation of the C domain, and hence clause size. This paper is an investigation on the clause size of infinitives more generally, beyond the generalization made in (14) above.

As such, I will also argue that the clause sizes Pesetsky (2021) proposes for infinitives misses many empirical generalizations concerning the size of infinitives, indicating that infinitives can be larger than what Pesetsky proposes. I show that there are at least seven sizes that are attested crosslinguistically. I will also conclude that all control complements are truncated in the C domain at least to some degree, and hence, finite control does not actually exist, contra Landau (2004) and others. This paper presents and discusses data from several different languages belonging to many language families to make these generalizations.

This paper is structured as follows. Section 2 presents Pesetsky (2021)'s derivational theory of finiteness, dubbed Exfoliation, which posits a single size for all infinitives. Section 3 introduces the reader to Rizzi (1997)'s structure for the C domain. Section 4 shows that infinitive size can come in seven different sizes crosslinguistically and I discuss the generalizations that result from it. Section 5 discusses the consequences of my theory of finiteness: consequences on Exfoliation, whether factives are truncated, and whether finite control exists. Section 6 concludes.

# 2 Exfoliation: towards a derivational theory of clause size

Given that I will argue that finiteness is a matter of clause size, this first background section is dedicated to introducing the reader to the recent derivational theory of finiteness in Pesetsky (2021). Although I depart from Pesetsky in some specific areas that I discuss in section 5, I am largely in agreement with his attempt. This section will provide the necessary background to understand the truncation theory of finiteness that I create and defend in this paper.

The idea that finiteness is a matter of clause size far outdates Pesetsky's work. Bouchard (1984), Koster (1984) and Hornstein and Lightfoot (1987) all argue that the extraction of an object correlates with the size of the embedded clause; more recently, Müller (2020) has proposed a similar theory to Pesetsky's. But the attempt by Pesetsky is the most well-developed. As he notes, there is a great variety of clause types found in the languages of the world. Here are some examples from English, in which the embedded clauses are italicized:

- (15) a. I think that Caitlin mixed hot sauce into my salad. finite
  - b. I prefer for Caitlin to put hot sauce in my salad. infinitive
  - c. I suggest that Caitlin put hot sauce in my salad. subjunctive
  - d. I remember Caitlin putting hot sauce in my salad. gerund

At least in English, raising in English is only possible from infinitives:

- (16) a. Caitlin seems Caitlin to have solved the problem. *infinitive* 
  - b. \* Caitlin seems that Caitlin has solved the problem. finite

The core questions that Exfoliation seeks to address are: why do nonfinite clauses exist in the first place, and why do the properties of the subject position in nonfinite clauses differ from their

finite counterparts? One central puzzle to consider arises with raising-to-object/ECM constructions: it has often been considered, since Vergnaud's letter to Chomsky and Lasnik, that the driving factor for raising-to-object constructions is Case assignment, and all nouns need Case.

Let us first discuss Case assignment. Vergnaud, more generally, notes that the distribution of nominals is restricted in a way that CPs/PPs are not:

(17) We are sure [ $_{CP}$  that the world is round] vs. \*[ $_{DP}$  the world's roundness].

Under Case-driven accounts of raising-to-object constructions, the subject of the nonfinite clause in (18a) is not able to get Case in its base-generated position, so it needs to move up, perhaps to Spec, VP of the matrix verb. There, it is assigned accusative Case. A similar line of reasoning drives the assigning of nominative Case to the matrix subject in raising-to-subject constructions in (18b). In (18c)-(18f), we see that elements which cannot assign Case lead to unacceptability:

- (18) a. Caitlin believes him<sub>i</sub> [t<sub>i</sub> to be smart]. raising-to-object
  - b. Caitlin<sub>i</sub> seems [t<sub>i</sub> to be smart]. raising-to-subject
  - c. \* It seems Caitlin to have solved the problem. unaccusative matrix verb
  - d. \* It was believed Caitlin to speak Irish well. passive matrix verb
  - e. \* Caitlin is aware Madeline to be the cutest. *adjective*
  - f. \* Caitlin's belief it to have been raining. noun

But this makes an incorrect prediction. If elements that don't need Case, like CPs and other elements Pesetsky discusses, we would predict certain structures like the ones below to be grammatical. We obtain the same contrast regardless of their inability to be assigned Case:

- (19) a. Caitlin considers [that the world is round] to be a tragedy. raising-to-object
  - b. [That the world is round] seems to be a tragedy. raising-to-subject
  - c. \* It seems [that the world is round] to be a tragedy. unaccusative matrix verb
  - d. \* It was believed [that the world is round] to be a tragedy. passive matrix verb
  - e. \* Caitlin is aware [that the world is round] to be a tragedy. adjective
  - f. \* Caitlin's belief [that the world is round] to have been raining. *noun*

The Case approach to this puzzle is on the wrong track. Under a derivational theory of clause size like Exfoliation, these ungrammatical examples do not follow from Case. Under Exfoliation, all clauses are born finite and are reduced in structure to nonfinite via a process of subject extraction. While raising-to-object and -subject constructions allow (18a) and (18b) because they involve subject extraction, (18c)-(18f) are ruled out because they involve illegal infinivization, or subject extraction: these constructions simply do not have a subject extraction probe.

Let us now get into the technical details of this account.<sup>3</sup> Pesetsky makes a very strong claim: ultimately, all nonfinite clauses are created via a process of subject extraction, even control constructions which do not prima facie involve subject extraction, putting aside movement theories of control like Hornstein (1999)'s. All clauses are born as full and finite CPs. Infinitives are

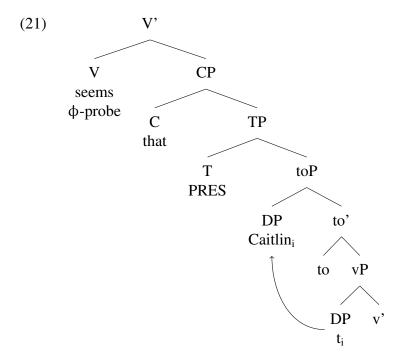
<sup>&</sup>lt;sup>3</sup>Pesetsky provides further arguments for Exfoliation, including providing examples beyond the CPs that I have discussed and another argument from unraised nominatives in Icelandic. But for our purposes, this is sufficient, and the reader is referred to Pesetsky (2021) for further discussion.

made, not born, contra selectional accounts in which different predicates, like raising and control predicates, picked the size of their complement.

Under Exfoliation, subject extraction always drives the formation of an infinitive. More specifically, both raising-to-subject and -object constructions involve movement of the embedded subject. This is what drives the formation of the infinitive: a probe has to be able to locate its goal, even across a phase boundary. To get the desired results, some probing across phasal boundaries is required; this is defined as follows:

- (20) a. **Phase Penetrability**: A probe P with an EPP property can locate a goal G across a CP boundary even with G does not occupy the edge of that CP.
  - b. **Phase Impenetrability**: But G can move to P only if it occupies the edge of its clause.

Let's see how a derivation of the sentence *Caitlin seems to be happy* would work. First, it is assumed that the embedded clause is born finite, so the embedded clause might look like *seems that Caitlin is happy* at a point in the derivation, as shown in the tree below. Further, all clauses are born with a toP, the relevance of which will be discussed shortly: it can only be pronounced post-Exfoliation. A crucial assumption in the tree below is that the embedded subject does not move to TP immediately; the EPP need not be satisfied immediately:



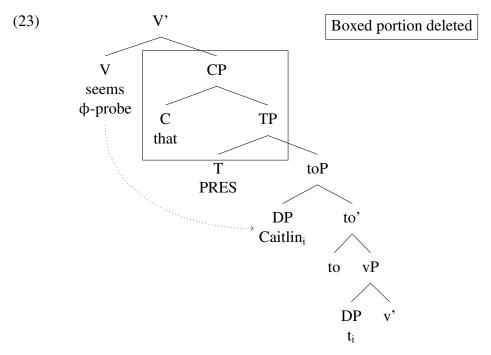
At this point, by Phase Impenetrability above, the embedded subject cannot be extracted because it is not at the edge of the clause. So, the operation Exfoliation comes into play, defined as follows, to ensure that the subject is at the edge:

#### (22) **Exfoliation**:

- a. Structural Description: ... A ... [XP (phase) ... [YP (non-phasal) ... B ...]], where:
  - i. XP is the phase that dominates B but not A,
  - ii. B occupies the edge of YP, and

- iii. a movement triggering probe on A has located B as its goal.
- b. Structural Change: Replace XP with YP, which takes the phasal property of its predecessor.

Exfoliation removes structure to ensure that the embedded subject is now at the phase edge, and the probe on V may now extract the subject. Exfoliation removes the CP and TP layers:



The projection toP is present in all finite clauses, as well. Though it is present, to ensure that *to* is pronounced only with infinitives, Pesetsky adds a further condition—dubbed the Exposure Condition—on how certain elements can be pronounced if they head a phase:

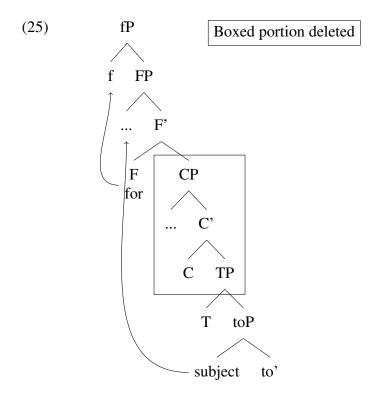
### (24) The Exposure Condition

- a. A is *exposed* iff it heads a phase and does not retain a specifier. (In other words, if it is the highest element in its phase.)
- b. A functional head is overt iff it is exposed.

It's easy to see how derivation would apply to raising-to-subject and -object constructions. But under Exfoliation, sentences with *for*-infinitives like *Mary is eager for Caitlin to discuss the topic* involves subject extraction, as well. This seems prima facie counterintuitive given that *for* only occurs with infinitives to begin with: if infinitives are made and not born, how would *for* even come into play during a derivation? The answer is simple: *for*-infinitives have a similar syntax with raising-to-object constructions.

I will now discuss what I find to be the most controversial notion in this framework: the notion of a *superstructure*. *For* is not a complementizer, but rather an irrealis element that takes a CP as its complement.<sup>4</sup> This irrealis element is contained in a superstructure that Exfoliates and allows the embedded subject to raise to a position at which *for* can assign it with accusative Case. A simplified illustration of a derivation of a for-infinitive is provided below:

<sup>&</sup>lt;sup>4</sup>The reader is referred to Pesetsky (2021) for empirical evidence for this claim, which I will not be presenting in this paper. Under my account, *for* is a low complementizer in English.



To get a structure for control infinitives, we have two options. First, we can either assume Hornstein (1999)'s movement theory of control, which would have a derivation identical to that of (23), involving subject extraction in a very natural way. But if we don't assume Hornstein's theory, the subject extraction is not obvious. In that case, the derivation of a control infinitive would require a superstructure and an invisible *for*, as in (25).<sup>5</sup>

Before concluding, let me point out that Exfoliation *predicts* the ISG in (14) above, because it entails the deletion of *that*, or the topmost CP2 layer. If the ISG is true, this is a very strong argument in favor of Exfoliation. But in section 4, I will empirically show that there are at least five different maximal sizes for infinitives crosslinguistically. Pesetsky would have to assume that superstructures themselves have a left periphery, in the style of Rizzi (1997), and they can come in different sizes in different languages. This ultimately means that some selectional aspect is necessary: the entire clause size of the infinitive cannot be derived via Exfoliation.

Putting aside superstructures, we've seen that under Exfoliation, infinitives all come in the same size: toP, which is smaller than CP and TP but larger than vP. This is at odds with Wurmbrand and Lohninger (2019)'s (W&L) recent work which, in my view, conclusively show that infinitives can also come in different sizes. W&L provide empirical data that control complements can in fact have CP and TP layers. They propose that there are three kinds of control complements: propositional, which are CPs; situational, which are TPs; and events, which are vPs.

Propositional complements involve those which can be assigned a truth value, ex. *ESA* claimed life to be on Venus, which seems true. But situational ones cannot, ex. \*Mary asked me to buy an apple, which is true. One empirical test that they provide is given below; propositional infinitives behave like finite clauses in that they cannot occur in the non-progressive form when

<sup>&</sup>lt;sup>5</sup>Pesetsky assumes further conditions on the pronunciation of *for* and PRO that we need not get into. However, for Pesetsky, PRO is no different than pro. But this is at odds with Landau (2015) and Pearson (2015)'s conclusion, among others, that PRO is a bound minimal pronoun. I leave solving this confound open for future research.

referring to a non-generic episodic event, but situational infinitives can:

- (26) Clara decided to eat salad right now.
- (27) Clara claimed to be eating/\*eat salad right now.

Under Exfoliation, it is not straightforward to capture such contrasts, given that all infinitives—putting aside superstructures—are only as large as toP. But the most problematic issue is that the Exposure Condition cannot be used together with the arguments that infinitives can come in three different sizes. This would mean that a great deal of the framework would have to be altered.<sup>6</sup>

Before concluding this section, I will note that this paper has much in common with W&L. We both show that infinitives can come in different sizes. For W&L, the maximal size for infinitives crosslinguistically is CP, but this is without splitting the C domain. Once we do so, we observe that infinitives can come in at least **seven** different sizes across languages: CP2 > IntP > FocP > TopP > WhP > CP1 > TP > vP. Let us now split up the C domain.

# 3 Splitting up the C domain

This section will lay the foundation for the theory of finiteness that I propose in this paper: namely that finiteness itself is a property of the C domain. I present Rizzi (1997)'s arguments in favor of splitting up the C domain into many (and potentially ordered, crosslinguistically) different functional projections. I provide evidence for there being high and low complementizers—two separate complementizers—in the C domain. I discuss existing accounts of the truncation of infinitives. At the end, I also provide my update to Rizzi's structure, changing the labels of Rizzi's ForceP and FinP. Rather than having FinP, the low complementizer head determine the finiteness of the clause, I argue that finiteness itself can be derived via truncation, assuming that finiteness is a matter of clause size, as suggested by the Exfoliation framework.

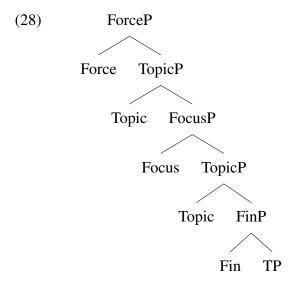
## 3.1 Rizzi (1997)'s split-CP structure

Rizzi (1997) provides arguments for splitting up the C domain as follows in (28). If we had just one C projection–CP, as is commonly assumed–it would be impossible for a single projection to be responsible for all of these properties that I will discuss in this section.<sup>8</sup>

<sup>&</sup>lt;sup>6</sup>See Satık (2020) for an attempt to eliminate the Exposure Condition under the Exfoliation framework while getting W&L's empirical observations. Also see Pesetsky (2021)'s Principle of Unambitious Reverse Engineering for a recent attempt at accounting for some of W&L's observations under an Exfoliation framework.

<sup>&</sup>lt;sup>7</sup>See section 4.1 for the possibility that there might be eight sizes for infinitives crosslinguistically. Although Keine (2020) treats Hindi and German infinitives, there is reason to believe that they are slightly larger than TP but smaller than CP1, given that German allows propositional infinitives but no infinitival complementizers.

<sup>&</sup>lt;sup>8</sup>This raises the interesting question of what exactly is a phase in this structure. This is at odds with Chomsky (2001) to some degree given that there are many potential phase candidates but it is not obvious which one is the phase head. At the very least, I assume that ForceP–the highest projection of the C domain–is a phase head. Given that wh-movement takes place to a position right above FinP, as I will argue later in this next section, and successive cyclic wh-movement, it might be assumed that FinP is a phase as well. But this is at odds with Carstens and Diercks (2009)'s observations of FinP never being phasal in Lubukusu. Regardless, apart from the phasehood of ForceP, it is out of the scope of this paper to determine what potential phase heads in this structure are.



Rizzi (1997) argues that two complementizers in Italian, *che* and *di*, are realized by Force and Fin respectively. ForceP is the locus of the semantic force of the clause (such as an assertion, a question or an imperative). FinP, on the other hand, simply encodes whether the clause is finite or not. Under Rizzi's account but not mine, finiteness is to be understood as a very rudimentary specification of mood, tense and agreement in the IP domain. Fin itself does not have a semantics but it is endowed with certain features that allow this aforementioned specification to take place.

Topic and Focus, on the other hand, are projections with an independent semantics of their own, and their specifier position is for topicalized and focalized DPs respectively. There is a difference between focalization and topicalization: they can be teased apart by using different contexts. For our purposes, it is not necessary to discuss this in too much detail, but let us follow Swart and de Hoop (2000) in assuming the following contrast: topic is on expected and uninformative (given) information, while focus is on unexpected (new) information. Focus may also be used contrastively—in fact, Rizzi reports that focus fronting is only available with contrastive focus in Italian. Rizzi contrasts between these two in Italian: while (29a) involves Clitic Left Dislocation (CLLD), (29b) involves focus fronting in a context with contrastive focus:

- (29) a. Il tuo libro, lo ho letto. the your book, it I have read 'Your book, I have read it.'
- b. Il tuo libro ho letto.the your book I have read. 'Your book I have read.' (but not his)

Furthermore, TopicP in (28) is *recursive*, in that it can appear both before or after FocusP–or before or after other projections between ForceP and FinP; it is commonly assumed that there are. Rizzi provides evidence from this in Italian, which we need not go into; in this paper, I will assume for simplicity that FocusP is always ordered above TopicP.

# 3.2 What are high and low complementizers?

This sets the stage to allow us to distinguish between *high* and *low* complementizers, which are complementizers realized at Force (my CP2) and Fin (my CP1) respectively. Rizzi was the first to note this contrast, which will be essential for the theory of finiteness in this paper. We see in (30) below that it is impossible to topicalize to a position to the left of the high complementizer *che* (which Rizzi calls a finite complementizer), but it is possible to topicalize to its right.

- (30) a. Credo che, il tuo libro, loro lo apprezzerebbero molto. I.think that[+fin] the your book them it will.appreciate much 'I think that they will appreciate your book very much.'
  - b. \* Credo, il tuo libro, che loro lo apprezzerebbero molto.

This contrasts with the behavior of the low complementizer di (which Rizzi calls a nonfinite complementizer), which only allows topicalization to its right in (31):

- (31) a. Credo, il tuo libro, di apprezzar-lo molto.

  I.think the your book that[-fin] appreciate-it much

  'I think that they will appreciate your book very much.'
  - b. \* Credo di, il tuo libro, apprezzar-lo molto.

This indicates that di in Italian cannot be in the same position as che: but if di is a low complementizer in FinP whereas che is a high complementizer in ForceP, these facts would immediately be explained. Some languages like Spanish even allow double complementizer constructions:

Susi dice **que** a los alumnos (**que**) les van a dar regalos Susi says that DAT the students that cl. go to give presents 'Susi says that they are going to give the students presents.'

There is a great deal of evidence of high and low complementizers, and even double complementizer constructions even outside of Romance. Even in English, Haegeman (2012) notes two such examples below. Because *that* never behaves as a low complementizer alone, It appears that *that* in FinP can only be licensed if *that* is also realized in ForceP:

- (33) a. She maintained **that** when they arrived **that** they would be welcomed.
  - b. He reminds me **that** in the days of Lloyd George **that** business leaders were frequently buying their way in.

Larsson (2017) provides a survey of double complementizer constructions across the Scandinavian languages, providing an example from Icelandic, from Thráinsson (2007) below. *Sem* is a relative complementizer. The high or low complementizer  $a\delta$  can follow it. It appears that *sem* is in CP2 while  $a\delta$  is in CP1 in this case:<sup>9</sup>

(34) þetta er bokin sem (að) eg keypti This is book.DEF that that I bought 'This is the book that I bought.'

I conclude this subsection with evidence that some Bantu languages distinguish between a high, phasal complementizer and a low, non-phasal complementizer. Carstens and Diercks (2009) shows that in Lubukusu, some clauses are transparent for hyperraising, which is raising out of a finite clause, while others are not transparent for it. Here are some examples from Lubukusu, where what they call hyperraising is possible with the complementizer *mbo*:

Hoskuldur Thrainsson (p.c.) has pointed out to me that *til* behaves as a preposition in such constructions rather than a complementizer, based on the fact that the genitive form of *það* 'it,' *þess*, can be inserted between *til* and *að*.

<sup>&</sup>lt;sup>9</sup>Icelandic allows infinitival relatives but they cannot contain *sem*; instead they have the preposition *til*:

<sup>(</sup>i) Petta er bón [til að bóna bíla með \_]. this is wax for to polish cars with 'This is wax to polish cars with.'

(35) Mikaeli a-lolekhana **mbo** a-si-kona. Michael 1SA-seem that 1SA-PRES-sleep 'Michael seems to still be sleeping.'

But this raising is not possible with the complementizer -li which agrees with the matrix subject:

(36) \* Mikaeli a-lolekhana **a-li** a-si-kona.

Michael 1SA-seem 1CA-that 1SA-PRES-sleep
'Michael seems to still be sleeping.'

Under this analysis, *mbo* is the low, non-phasal complementizer, and *-li* is the high, phasal complementizer. <sup>10</sup> We now move onto infinitives.

### 3.3 Infinitives are truncated in the C domain

Adger (2007) notes a contrast between English and Italian that we will build further upon in section 4.1: topicalization is not allowed at all in English infinitives (Hooper and Thompson (1973)):

(37) \* I decided, [your book]<sub>i</sub>, to read t<sub>i</sub>.

Adger also notes that the complementizer *for* in English rejects topics. As Adger suggests, I agree with him that this indicates that *for* is a low complementizer in Fin:

(38) \* I propose, [these books]<sub>i</sub>, for John to read t<sub>i</sub>

Following Adger among others such as Haegeman (2006), Barrie (2007) and Shlonsky and Soare (2011), I also take this to be evidence that infinitives are truncated: as we will see, this truncation can differ between languages like English and Italian.

There is strong reason to believe that there are many more projections than what Rizzi (1997) has initially claimed, and the number of functional projections has indeed increased in works since then such as Haegeman (2012). For our purposes, I will present only the additional projections which are relevant to infinitives—IntP and WhP in particular.

The layer IntP is short for InterrogativeP, which according to Rizzi (2001) is higher than FocusP: Spec,IntP houses *why*. Shlonsky and Soare (2011) provides a convincing argument that *why* is base-generated in position lower than Spec,IntP and moves up to it, in the form of infinitives. Note that the infinitive form is very marginal at best, but the finite form is fine:<sup>11</sup>

- (39) a. ?? I asked Bill why to serve aubergines.
  - b. I asked Bill why I should serve aubergines.

- (i) Why did you ask her to resign?
  - a. What is the reason x, such that for x, you asked her to resign?
  - b. What is the reason x, such that you asked her to resign for that particular reason x?

<sup>&</sup>lt;sup>10</sup>As a matter of fact, under my analysis of finiteness, it will turn out that Lubukusu does not have hyperraising at all, because *mbo* is a low complementizer, and all clauses headed by a low complementizer are nonfinite. As such, according to my account, this would in fact be an instance of raising.

<sup>&</sup>lt;sup>11</sup>Although it is not relevant for our purposes, Shlonsky and Soare (2011)'s argument that it is base-generated lower is as follows. The following question can be construed in two ways: one in which *why* is construed within the matrix clause, and one in the embedded infinitival clause:

Given that we have already seen that TopicP is truncated in English infinitives, it is unsurprising that a functional projection ordered even higher is truncated as well.

Let us move to WhP. The fact that focalization is impossible with English infinitives whereas wh-infinitives in English do exist, ex. *I know what to eat*, is not expected under Rizzi's original account, where all wh-words move to Spec,FocP. As such, Barrie (2007) and Shlonsky and Soare (2011) have assumed the addition of a further functional projection on top of FinP, WhP, which wh-elements first move into prior to moving to Spec,FocP. Even in a language where fronted focus is possible such as Italian, which also has wh-infinitives, Haegeman (2006) and Bocci (2007) note that focalization is very marginal:

(40) ?? Gli sembra le sedie di aver venduto (, non il tappeto)!

To him-seems the chairs to have sold (, not the carpet)

'It seems to him that the chairs have sold! (not the carpet).'

I have shown that infinitves are truncated under a Rizzi-style account of the C domain. If Peset-sky is right in that finiteness is a matter of clause size, then it is difficult to reconcile this with the fact that for Rizzi, finiteness is determined via FinP-because for Rizzi, finiteness is not a matter of clause size. Unlike Rizzi, Pesetsky's derivational account of finiteness is able to make the correct empirical predictions in section 2—whereas Rizzi's account does not make any predictions. Although it is commonly assumed that *that* is realized in ForceP as briefly discussed in section 1, why shouldn't it always be able to be realized at FinP, as long as it is finite?

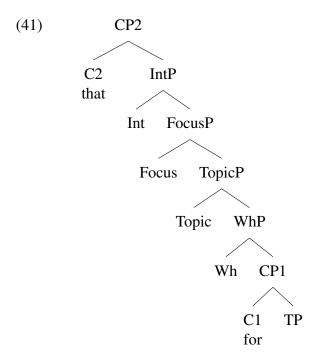
There are more general problems with Rizzi's definition of finiteness, as well. It is circular, in that whether a clause is finite iff its finiteness feature is encoded as + at FinP, following Adger (2007). It may be possible to define finiteness in terms of other features, such as past tense, agreement and indicative mood on FinP. But even then it is circular, because defining FinP itself contains the notion of finiteness. As such, it is not a fully explanatory theory of finiteness.

Furthermore, there is redundancy between those who assume that infinitives are truncated under Rizzi's framework, and the notion of a FinP to begin with. Why do infinitives need to be truncated if finiteness is determined at FinP? What seems more reasonable is that these infinitives are nonfinite *because* they are truncated, and this makes sense if finiteness is a matter of clause size. Thus, I believe that Rizzi's account of finiteness is missing a greater empirical generalization here: namely that *all* infinitives are truncated in some manner. And this what I will argue for in the next section. To start doing so, I propose getting rid of the labels of ForceP and FinP and replacing them simply with CP2 and CP1 respectively:

<sup>&</sup>lt;sup>12</sup>It seems that there is a WhP on top of ForceP as well. Henry (1995) notes that Belfast English permits indirect questions introduced by a wh-element that isn't a subject, to the left of the high complementizer *that*:

<sup>(</sup>i) I wonder which dish that they picked.

This seems to be very common crosslinguistically; Larsson (2017) notes that several Scandinavian languages allow such constructions. At this point, an obvious question to be asking is why there isn't yet another FocusP, TopicP, IntP etc. on top of CP2 as well. But it simply seems to be the case that this is not empirically attested. So this does not put my definition of a high complementizer in jeopardy.



This is what I hope to be the novel idea of the paper. While I am far from the first to assume that infinitives are truncated, I am synthesizing the approach to finiteness as a matter of clause size together with Rizzi's work on the split C domain. Unlike Rizzi, I am assuming that finiteness is *not* determined by CP1, and I will argue that finiteness is simply determined by whether CP2 is truncated or not. This enables us to eliminate any circularity with Rizzi's definition of finiteness.

Here is how. The notion of finiteness is not included in the definition of finiteness, eliminating problems with circularity. A high complementizer is defined as the projection that precedes topics and other elements of the C-domain. There is no mention of finiteness in any of the projections of the C domain, not even CP2. It is not logically necessary for high complementizers to have been associated only with finite clauses. We certainly can imagine a language with nonfinite clauses with high complementizers. But for some reason, nonfinite clauses never co-occur with CP2 crosslinguistically. Why so? In the next section, I empirically justify this claim.

## 4 The size of infinitives

The following hierarchy that was represented in (41) above will be assumed throughout the rest of this section:

(42) 
$$CP2 > IntP > FocP > TopP > WhP > CP1 > TP$$

I present a crosslinguistic survey of infinitive sizes in 4.1. In 4.2, I give reasons to believe from four languages that distinguish between high and low complementizers (or lower clausal heads), very similarly to what Rizzi (1997) noted in Italian above, but these are with elements with the exact same phonetic form. This, I believe, shows a fundamental inability for nonfinite clauses to co-occur with high complementizers. Section 4.3 provides further cartographic generalizations concerning the order in (42). Section 4.4 summarizes the rest of the section.

### 4.1 Infinitives can differ in size, but are always truncated

We have just seen preliminary evidence that Italian infinitives allow topics while English infinitives do not. This is reason to believe that Italian infinitives are slightly larger than those of English. This is the first piece of evidence that infinitives can come in different sizes crosslinguistically. But let me first provide a quick summary of the properties of the C domain that English infinitives bear:

- (43) a. *Infinitival complementizers*: I am eager for Caitlin to please.
  - b. Wh-infinitives: I know what to eat.
  - c. *No topicalization within infinitives*: \*I wanted this book, to read.
  - d. *No focalization within infinitives*: \*I wanted THIS BOOK to read (not that one).
  - e. No why-infinitives: ??I asked Caitlin why to eat salad.
  - f. *No high complementizer*: I seem (\*that) to be happy.

This indicates that English infinitives are *maximally* as large as WhP. The maximal size of an infinitive is the most crucial notion of this paper: I will present novel evidence that languages vary as to the maximal size of their infinitive; there are at least five different sizes which are attested. With the size of English ones established, let us move onto Hindi, which as far as I am aware of represents the minimal maximal size for infinitives based on my crosslinguistic survey.

Keine (2020), based on tests from Wurmbrand (2001) among others, provides convincing arguments that Hindi nonfinite complements are smaller than English infinitives. For example, the wh-element *kyaa* 'what' can take scope within the finite embedded clause, as in (44a). But it cannot take embedded scope inside the infinitive, as shown in (44b). Keine reports that the sentence is acceptable as long as the wh-element takes matrix scope:

- (44) a. tum jaan-te ho [(ki) us-ne kyaa ki-yaa] you know-IPFV.M.PL be.PRES.2PL that he-ERG what do-PFV.M.SG 'You know what he did.'
  - b. \* tumhe [kyaa kar-naa] aa-taa hai you.DAT what do-INF.M.SG come-IPFV.M.SG be.PRES.3SG '(Intended) You know what to do.'

But it is not only WhP that is missing. Keine also makes a stronger claim: that the C domain is entirely missing in Hindi infinitives. (45) below involves illicit A'-movement from a doubly embedded finite clause into the embedded infinitive. A'-movement is allowed from finite clauses, so the reason why (45) is ruled out is because the infinitive lacks all A'-positions:

(45) \* [mai caah-taa huu [kitaab-ko kah-naa [ki mai-ne parh-aa I want-IPFV.MSG be.PRES.1SG book-ACC say-INF.M.SG that I-ERG read-PFV.M.SG hai]]]
be.PRES.3SG
'(Intended) I want the book, to say that I read.'

Like English, Hindi does not allow a high complementizer to co-occur with the infinitive:

(46) siitaa [(\*ki) prataap-ko dekh-naa] caah-tii thii Sita that Pratap-ACC see-INF.M.SG want-IPFV.F.SG be.PST.F.SG 'Sita wanted to see Pratap.'

Based on these data, Keine concludes, as I do, that Hindi infinitives are only as large as TP. For Keine, German infinitives are the same size as Hindi's. Wheelock (2015) notes the impossibility of wh-infinitives in the Germanic languages German, Norwegian, Danish and Swedish:

(47) a. \* Ich weiß nicht [was zu kaufen].

I know not [what to buy.INF]

'I do not know what to buy.'

German

b. \* Han har glömt [vad att köpa].He has forgotten [what to buy.INF]'He has forgotten what to buy.'

Swedish

c. \* Det er uklart [hva å gjøre]. It is unclear [what to do.INF] 'It is unclear what to do.'

Norwegian

d. \* Han har glemt [hvad at købe].
 He has forgotten [what to buy.INF
 'He has forgotten what to buy.'

Danish

In these languages, Wheelock notes that embedded clauses with wh-elements are still possible, as long as the clause is finite. This is unsurprising given that the infinitives in these languages are deeply truncated. But as we will discuss, it appears that Icelandic and Swedish are slightly larger.

I would also like to show below that Dutch (from Wheelock (2015)) and Turkish (my own example) both allow wh-infinitives, the latter of which has nominalized infinitives. Though I do not present further examples of topicalization and so forth, these languages also lack them:

(48) a. Ik weet niet [wie te bezoeken].

I know not [who to visit.INF]

'I do not know who to visit.'

Dutch

b. Ben ne-yi ye-me-yi bil-iyor-um.

1SG what-ACC eat-INF-ACC know-PROG-PRES.1SG

'I know what to eat.'

Turkish

We now know that infinitives crosslinguistically can at least come in two maximal sizes: TP or WhP. But there appear to be more sizes that are attested crosslinguistically. Although fronted focus in Italian infinitives is borderline unacceptable as discussed in (40) prior, and repeated in (49a), CLLD is in fact completely acceptable in (49b):

- (49) a. ?? Gli sembra le sedie di aver venduto (, non il tappeto)!

  To him-seems the chairs to have sold (, not the carpet)

  'It seems to him that the chairs have sold! (not the carpet).'
  - b. Gli sembra, il tappeto, di averlo venduto.

To him-seems, the carpet, to have-it sold

'It seems to him that the carpet has sold.'

We have already seen in (31) that Italian has a low complementizer *di*. Given the ordering TopP > WhP, wh-infinitives should exist in Italian. According to Kayne (1981), they do, as seen below. I conclude that Italian infinitives can be slightly larger than English ones, or as large as TopP:

(50) Gli ho detto [dove andare]. Him I told [where go.INF] 'I told him where to go.'

Italian, as verified in (30) prior, does not allow *che* to co-occur with its infinitives.

It appears that the language which has the largest maximal infinitive size crosslinguistically is Hebrew. Shlonsky (2014) notes that Hebrew infinitives appear to be almost untruncated in the C-domain, allowing focalization and even why-infinitives, as shown in (51a)-(51b) below:

- (51) a. ani roce [et ugat ha pereg]<sub>i</sub> lenasot t<sub>i</sub> (lo et ugat ha tapuxim). I want DOM cake the poppyseed to.try (not DOM cake the apples) 'I want to try the poppyseed cake (not the apple cake).'
  - ani lo mevin lama la'avor dira.
     I not understand why to move apartment 'I don't understand why to move apartments.'

There seems to be at least one property which its C domain lacks: the ability to co-occur with the high complementizer *še*. I conclude that Hebrew infinitives may be as large as IntP.<sup>13</sup>

(52) ani roce (\*še) lenasot et ugat ha tapuxim. I want (\*that) to.try DOM cake the apples 'I want to try the apple cake.'

The final and the most difficult maximal infinitive size to distinguish is that of Icelandic, given the controversial status of its complementizer  $a\delta$ . As mentioned in section 1 prior,  $a\delta$  seems to come in two different varieties: finite clauses allow embedded topicalization to the right of  $a\delta$ , whereas the  $a\delta$  found in control infinitives does not allow topicalization to its left. It appears, then, that TopP in Icelandic infinitives is always truncated. Data from (13a)-(13b) above is repeated in (53a)-(53b) below:

- (53) a. \* Risarnir lofa [að [á morgun]<sub>i</sub> éta ríkisstjórnina t<sub>i</sub>]. the-giants promise to to-morrow eat the-government 'The giants promised to eat the government tomorrow.'
  - b. Risarnir segja [að [á morgun] $_i$  éti þeir ríkisstjórnina  $t_i$ ]. the-giants say that tomorrow eat they the-government 'The giants said that they will eat the government tomorrow.' Thraínsson (1993)

Thraínsson (1993) took this as evidence that  $a\delta$  is located under the C domain. For him,  $a\delta$  was located in AgrSP–right above TP but below CP. Further evidence of this is the fact that Icelandic has V-to-T (V-to-I in older frameworks) movement in infinitives, unlike English, and the verb still occurs after  $a\delta$ . I believe the first to note this was Sigurðsson (1989). Notice that in (54a), the movement of the auxiliary to T precludes the movement of the embedded verb to T, but this is not the case in (54b), and it does move to T. In control infinitives, V to T is still possible and it is to the right of  $a\delta$ , as in (54c).

<sup>&</sup>lt;sup>13</sup>In section 5.1, I will give more evidence, apart from CP2, that Hebrew infinitives are truncated. Furthermore, Edit Doron, in an unpublished handout, has claimed that Hebrew infinitives lack semantic force. Given the lack of clarity as to the presence of force within the narrow syntax, I have chosen not to present her arguments. But considering that my CP2 is identical to Rizzi's ForceP, this is worth pointing out.

- (54) a. Risarnir segja [að þeir hafi stundum [VP étið ríkisstjórnir]. the-giants say that they have sometimes eaten governments 'The giants say that they have sometimes eaten governments.'
  - b. Risarnir segja [að þeir éti<sub>i</sub> stundum [ $_{VP}$  t<sub>i</sub> ríkisstjórnir]]. the-giants say that they eat sometimes governments 'The giants say that they sometimes eat governments.'
  - c. Risarnir lofa [að éta<sub>i</sub> oft [<sub>VP</sub> t<sub>i</sub> ríkisstjórnir]]. the-giants promise to eat frequently governments 'The giants promised to eat governments frequently.'

The evidence that  $a\delta$  is above TP seems to be strong. Assuming that it is in AgrSP would not contradict anything in this paper. However, with Rizzi's split-CP structure, we do not need to give up the idea that  $a\delta$  in Icelandic is *always* a complementizer—it could simply be a low complementizer realized in CP1 if it is not first realized in CP2. This has an advantage over Thraínsson (1993)'s account of infinitival  $a\delta$  in AgrSP, given that it would be mysterious as to why the two  $a\delta$  with different properties have the same phonetic form.

I believe that Icelandic, then, does have an infinitival complementizer. But there is more to be said. The presence of  $a\delta$  in Icelandic control infinitives should not block the movement of wh-elements preceding  $a\delta$ . And yet, according to Sabel (2006) Icelandic does not have whinfinitives, although he provides no corroborating example. Regardless, I take this to be further evidence that WhP and CP1 should be separated into different functional projections, and conclude that the maximal size of Icelandic infinitives is CP1.

Another CP1 language appears to be Swedish. Platzack (1986) notes that the Swedish complementizer *att* is similar to Icelandic's *að* in the properties we have just discussed above. We've already seen that Swedish lacks wh-infinitives; Engdahl (1986) also provides evidence that Swedish infinitives are not full CPs either, based on the inability of pied-piped material to appear in infinitival relative clauses:

- (55) a. ett rum att arbata i \_ a room to work in 'a room to work in.'
  - b. \* ett rum i vilket att arbata [PP ]
    a room in which to work
    'a room in which to work.'

Our survey is almost complete.<sup>14</sup> But it has been claimed that Middle English infinitives project ForceP. According to van Gelderen (1998), it is possible for *ai* in (56) below to be a focus marker; in which case, *til* would be in ForceP (my CP2), flatly falsifying my upcoming generalization: no infinitive projects CP2. My attempt at glossing her ideas is below:

(56) Til [all oure bale] ai for to bete COMP all our sorrow FOC COMP to heal 'For all our sorrow to heal...'

<sup>&</sup>lt;sup>14</sup>It is not clear to me whether Norwegian and Danish ought to be classified as TP or CP1 languages, so I have not included them in my list. Furthermore, I suspect that French and Spanish infinitives pattern with Italian, but given the lack of evidence in the literature I have not included them in my list.

However, according to Jay Jasanoff (p.c.), it appears that this is not a double complementizer construction. *Til* plays the role of complementizer *for* in this construction, making it as large as CP1. *Ai* is not a focus marker but rather a word that means *forever*, whereas "for to" in Middle English is itself the infinitive marker, (cf. *to* in English). When this sentence is translated with modern lexical substitutions into its syntactic structure, we obtain *for all our sorrow forever to amend*, which is not so exotic after all.

I will now present the following summary of our survey thus far. It appears that the minimal maximal size for infinitives crosslinguistically is TP; the maximal maximal size is IntP.<sup>15</sup>

- (57) a. **Maximally TP Infinitives**: Hindi, German (*minimal maximal size*)
  - b. **Maximally CP1 Infinitives**: Icelandic, Swedish
  - c. **Maximally WhP Infinitives**: English, Dutch, Turkish
  - d. **Maximally TopP Infinitives**: Italian
  - e. **Maximally IntP Infinitives**: Hebrew (maximal maximal size)

There is a pattern to be noted in (57): infinitives never project the full C domain; in particular, Rizzi's ForceP, or my CP2. I have shown that even in Hebrew, with the largest attested infinitives, infinitives cannot co-occur with the so-called high complementizer še. Of course, one might be allege that this might simply be because finite complementizers select finite clauses, and never nonfinite ones. But I believe this simply begs the question of why finite complementizers (in our terminology, high) do not select nonfinite clauses, and does not lead to a greater understanding of this fact. To explain this, I present a potential finiteness universal in (58):

(58) **Infinitive Size Generalization (ISG)**: No infinitive projects CP2. No infinitive can co-occur with a high complementizer.

But we do not yet have enough evidence to conclude that the ISG is true, of course. Absence of evidence is not evidence of absence: the fact that there does not seem to be a language reported in the literature with a high or double complementizer construction—with the exception of Middle English, which we have rejected—does not mean that we have a universal. In other words, the pattern seen in (57) is not enough to conclude that infinitives are *always* truncated, and that finiteness can be defined in terms of the presence or lack of the CP2 layer. But in the next subsection, I attempt to present evidence of absence in favor of the ISG, in which I argue that nonfinite clauses are fundamentally unable to co-occur with a high complementizer.

<sup>&</sup>lt;sup>15</sup>This conclusion is in fact at odds with Wurmbrand and Lohninger (2019)'s Implicational Complementation Hierarchy (ICH), which assumes that all infinitives that are propositional in nature (in other words, can have a truth value; an example sentence is "Leo believes Julia to be a princess." The embedded clause can be true or false.). As a matter of fact, German has propositional infinitives as Wurmbrand (2001) shows. Under W&L, this indicates that German infinitives should be larger than TP. One way to reconcile these findings is to suppose that there is very low functional projection in the C domain that is responsible for the propositional semantics of infinitives, such as PropP, with the following ordering: CP1 > PropP. This allows us to get the right results, and it appears that the minimal maximal size for an infinitive crosslinguistically is PropP, but if there is a language which lacks propositional infinitives, then that would likely be a genuine language whose maximal size for infinitives is TP.

# 4.2 Languages with the same phonetic form for high complementizers and other clausal heads: Icelandic, Serbian, Mandarin, Spanish

This subsection presents further evidence for the generalization in (58) above. We will be investigating a specific pattern in four languages, each of which belong to a separate language family. In particular, all of these languages have an element which is uncontroversially high complementizer, corresponding to *that* in English. This element can also appear in nonfinite clauses, which might seem as a genuine counterexample to the ISG. But this element, it turns out, has very different properties when it heads a clause we would consider nonfinite clause: in other words, it is not a high complementizer in these contexts. We will be investigating these properties in this subsection, providing further evidence, in my view, that the ISG is correct.

Recall the data from (30)-(31) above, repeated in (59) below. It is possible to topicalize to the right of the high complementizer *che* in Italian but not to its left; it is also possible to topicalize to the left of the low complementizer *di* but not to its right:

- (59) a. Credo che, il tuo libro, loro lo apprezzerebbero molto. I.think that[+fin] the your book them it will.appreciate much 'I think that they will appreciate your book very much.'
  - b. \* Credo, il tuo libro, che loro lo apprezzerebbero molto.
  - c. Credo, il tuo libro, di apprezzar-lo molto.

    I.think the your book that[-fin] appreciate-it much
    'I think that they will appreciate your book very much.'
  - d. \* Credo di, il tuo libro, apprezzar-lo molto.

It turns out that similar contrasts are seen crosslinguistically, even with elements that share the same phonetic form. The first of which is, of course, Icelandic, which we have already discussed in subsection 4.1 prior: it allows topicalization to its right in finite contexts as in (53a) above, but not at all in control infinitives, as in (53b). This, in my view, is because  $a\delta$  cannot behave as a high complementizer in control infinitives, because CP2 is truncated.<sup>16</sup>

A language similar to Icelandic in some respects is Spanish, according to Villa-Garcia (2012), for which (60) is repeated below. Villa-Garcia (2012) refers to the first bolded *que* as a high complementizer, just like *that*, whereas the lower *que* he refers to as a "jussive/optative" complementizer, which is characteristic of subjunctives. (60) shows that topicalization occurs to the right of the high variety of *que*.

(60) Susi dice **que** a los alumnos (**que**) les van a dar regalos Susi says that DAT the students that cl. go to give presents 'Susi says that they are going to give the students presents.'

It seems that for independent reasons, the complementizer *que* cannot occur in Spanish infinitives; according to Lujan (1980) a separate complementizer *de* is used instead, so the facts would not be very different from Italian. But there are other nonfinite contexts outside of infinitives in which low *que* can be used, such as imperatives. In (61) below, Demonte and Fernández-Soriano

 $<sup>^{16}</sup>$ I predict that something very similar is attested in Swedish, as well, but given the lack of evidence in the literature I am unable to present corroborating data.

(2009) point out that the topic *a ese alumno* 'to that student' moves to the left of *que*. They analyze the two *que* precisely as I and Villa-Garcia do: *que* comes as both a high and a low complementizer, and the low variety is present in nonfinite contexts like (61).

(61) A ese alumno, que los profesores no lo dejen salir hasta las 6. to that student that the teachers not CL.3SG allow leave until the 6 'Let the teachers not allow that student to leave before 6.'

We are now moving onto Serbian, for which Wurmbrand et al. (2020) has already provided us with a well-developed analysis of complementation that will lay the foundation for the arguments in this subsection—although I will disagree with their conclusion on what finiteness in Serbian is. Wurmbrand et al. (2020) notes that Serbian allows both "finite" and nonfinite complements of verbs like try. We see two forms that can be the complement of try in (62a): the bare infinitive form without da, and da together with agreement on the embedded verb. But the infinitive is impossible with the propositional complement of claim, as in (62b) below:

- (62) a. Pokušala sam {da čitam / čitati} ovu knjigu. tried.SG.F AUX.1SG DA read.1SG / read.INF.IPFV this book 'I tried to read this book.'
  - b. Tvrdim {da čitam / \*čitati} ovu knjigu. claim.1SG DA read.1SG / \*read.INF.IPFV this book 'I claimed to be reading this book.'

Our objection of investigation is this *da*. For Wurmbrand et al. (2020), assuming the framework of Wurmbrand and Lohninger (2019), the complement of *try* is an event complement, and only as large as a vP–no TP or CP layers. On the other hand, the complement of *claim* is a full CP. Under this account, *da* itself is not a complementizer, but rather a lower clausal head that can mark vPs, TPs or CPs. I will adopt this analysis for Serbian and Mandarin, but not Icelandic or Spanish. Given the presence of verb-medial focus and topic positions in Serbian and Mandarin, but not in Icelandic or Spanish, it is difficult to determine whether focalization or topicalization would take place within the V or C domain, as Jim Huang (p.c.) points out.

What Wurmbrand et al. and I diverge on is the nature of finiteness. For them, finiteness is a language specific property, and it is agreement in Serbian. So, the complement of *try* may be finite. By contrast, I claim that finiteness is in fact not a language specific property, and it is merely the presence of an untruncated C domain. As such, under my account, the complement of *try* is never finite, as it is only as large as vP, but rather something akin to an inflected infinitive.

Todorović and Wurmbrand (2016) notes that tenseless complements of predicates such as *try* and propositional complements of predicates like *claim* allow topicalization and focalization, but with different word order. This is possible given that Serbian has verb-medial topic and focus positions. Topicalization in the embedded complement of *try* must precede *da*, but follow *da* with the complement of *claim*. I present my own illustrative examples below:<sup>17</sup>

<sup>&</sup>lt;sup>17</sup>Željko Bošković (p.c.) has suggested to me that these examples are marginal with topicalization, but better with contrastive focus. Furthermore, I have verified that with a control predicate like *decide* which takes situation complements, the complement allows topicalization both before and after *da*, as predicted by Wurmbrand and Lohninger (2019)'s ICH, which Wurmbrand et al. (2020) assumes and is based on. That predicates like *decide* can take both finite and nonfinite complements is true in English, as well.

- (63) a. Pokušala sam [ovu knjigu]<sub>i</sub> da čitam t<sub>i</sub> tried.SG.F AUX.1SG this book DA read.1SG 'I tried to read this book.'
  - b. \* Pokušala sam da [ovu knjigu]<sub>i</sub> čitam t<sub>i</sub>.
  - c. Tvrdim da [ovu knjigu]<sub>i</sub> čitam t<sub>i</sub> claim.1SG DA this book read.1SG 'I claimed to be reading this book.'
  - d. \* Tvrdim [ovu knjigu]<sub>i</sub> da čitam t<sub>i</sub>.

This looks like Italian. On one hand, we see *da* behave as a high complementizer in the complement of *claim*, as evidenced by (63d). On the other, *da* must behave as a lower clausal head, as shown in (63b), in which *this book* moves to a verb-medial focus or topic position. Once again, I believe that this is evidence of a fundamental inability of nonfinite clauses to co-occur with high complementizers, which language specific accounts of finiteness do not predict.

Before moving to Mandarin, given that I will claim later in this paper that control is fundamentally a property of clauses which are truncated in the C domain, it would be important to determine whether subjects can be licensed in the complement of *claim* but not *try*. This is precisely what is the case; the complement of *try* requires OC PRO but that of *claim* can license subjects:

- (64) a. Pokušala sam da (\*Mari) čitam ovu knjigu. tried.SG.F AUX.1SG DA read.1SG this book 'I tried (\*for Mary) to read this book.'
  - b. Tvrdim da Mari voli John.claim.1SG.F DA Mary loves John'I claimed that Mary loves John.'

Mandarin has a similar pattern to Serbian. Huang (2018) makes precisely the same argument that I made for Serbian, but in Mandarin instead–his analysis can be straightforwardly translated to mine. As Huang (2018) convincingly shows, *shuo* behaves as a finite complementizer (in our terminology *high*) when it heads a finite embedded clause. In (65), topicalization is only allowed within the embedded clause, because the complement of *believe* must be finite.

- (65) a. Wo xiangxin [shuo Lisi [zhe-pian baogao]<sub>i</sub> xie-wan-le  $t_i$ ]. I believe SHUO Lisi this-CL report write-finish-PFV 'I believe that Lisi has written this report.'
  - b. \* Wo [zhe-pian baogao]<sub>i</sub> xiangxin [shuo Lisi xie-wan-le t<sub>i</sub>].

But *shuo* behaves as a lower clausal head when it heads a nonfinite embedded clause, such as the complement of *try*, with which the pattern in (65b) is possible. The complement of *try* in (66), which appears to be nonfinite—as evidenced by the requirement of a controlled PRO—involves restructuring, as it allows the embedded object to move up and precede the verb:

(66) Wo [zhe-pian baogao]<sub>i</sub> hui shefa [shuo jinkuai xie-wan t<sub>i</sub>]. I this-CL report will try SHUO as-soon-as-possible write-finish 'I will try to finish this report as soon as possible.'

Once again, we see the fundamental inability of a high complementizer to co-occur with nonfinite contexts. The untruncated CP2 layer blocks topicalization to a matrix verb-medial topic or focus

position, as in (65b). But restructuring, and removal of the CP2 layer, allows for this movement to take place, as in (66).

Concerning subject licensing, the complement of *like*–a predicate that takes vP complements similar to *try*–requires an OC PRO but that of *hope* does not, which according to Grano (2017) takes a CP, as predicted:

(67) a. Xiaoming<sub>i</sub> xihuan (\*ta<sub>i/j</sub>) chi shousi. b. Xiaoming<sub>i</sub> xiwang (ta<sub>j</sub>) chi shousi. Xiaoming like he eat sushi 'Xiaoming likes to eat sushi.' Xiaoming hopes to eat sushi.'

This section, in my view, shows that high complementizers, when put into nonfinite clauses, cease to behave as high complementizers: depending on the language they must either behave as low complementizers or as lower clausal heads. This is further evidence that the CP2/ForceP layer of nonfinite clauses is truncated.

### 4.3 Cartographic predictions

Recall the order of the projections of the C domain from Rizzi, and the following empirical pattern from 4.1. If this ordering is correct, we would be able to make further cartographic predictions on the nature of infinitives crosslinguistically. For example, we would expect languages with wh-infinitives to also have infinitival complementizers.

- (68) CP2 > IntP > FocP > TopP > WhP > CP1 > TP
  - a. **Maximally TP Infinitives**: Hindi, German
  - b. Maximally CP1 Infinitives: Icelandic, Swedish
  - c. **Maximally WhP Infinitives**: English, Dutch, Turkish
  - d. **Maximally TopP Infinitives**: Italian
  - e. Maximally IntP Infinitives: Hebrew

In fact, Sabel (2006) was the first to do a survey of infinitives, concluding that if a language has wh-infinitives, then it also has infinitival complementizers. This is evidence for the ordering WhP > CP1 under a Rizzi framework, though Sabel does not assume it. For Sabel, wh-movement simply takes place to Spec,CP, so the presence of wh-movement necessitates the presence of a C head, but not vice versa. The presence of an infinitival complementizer does not mean wh-movement is possible. The Rizzi framework might allow for us to build on Sabel's work.

For example, if a language allows topicalization, such as Italian and Hebrew, then we would also predict that it has wh-infinitives and infinitival complementizers. This is already borne out in Italian according to Sabel. For Hebrew, given the presence of *why*-infinitives, we would predict all of the properties below IntP to be possible, as well. As shown in 4.1, almost all of this is borne out. According to Landau (2013), Hebrew has the dedicated complementizer *me*-, appearing with control infinitives but not raising ones. These predictions thus far are borne out.

Bulding on this, I provide a survey of *tough*-constructions crosslinguistically. I will argue the pattern in (68) is tightly connected to their distribution: in particular, I will show that what we call *tough*-constructions in TP languages like German, CP1 languages like Swedish and WhP languages like English all have different properties.

But first, let us discuss Chomsky (1977b)'s arguments in favor of *tough*-movement involving a step of wh-movement. Here is an example of such a construction from English:

- (69) a. It is easy to play sonatas on the violin. (without *tough*-movement)
  - b. The violin is easy to play sonatas on. (with *tough*-movement)

Whether inside the C-domain of the infinitive in (70a)-(70b) blocks the embedded where from moving to the matrix Spec,CP position:<sup>18</sup>

- (70) a. I am wondering whether to eat lunch at Chipotle.
  - b. \*Where am I wondering whether to eat lunch?

Similarly, extraction out of the infinitive yields this same kind of ungrammaticality, as seen in (71a)-(71d). The middle Spec,CP position was occupied by a Copy of *what sonatas* prevents *this violin* from moving up in (71d).

- (71) a. It is easy to play these sonatas on this violin.
  - b. These sonatas are easy to play on this violin.
  - c. What sonatas are easy to play on this violin?
  - d. \* What sonatas is this violin easy to play on?

Under a more modern understanding of the C domain, *tough*-movement takes place to Spec,WhP in English infinitives. But according to Chomsky, it is not because the embedded object moves to Spec,WhP, an A'-position, and then to matrix Spec,TP, as this would be a violation of Chomsky (1977a)'s Improper Movement constraint. Instead, the embedded object is a null operator that moves to Spec,WhP while the coreferring matrix subject is base-generated:

(72) Caitlin<sub>i</sub> is [WhP Op<sub>i</sub> [TP PRO<sub>arb</sub> tough to please t<sub>i</sub>.]]

What about TP languages? We have seen that the maximally TP-infinitive languages do not allow wh-infinitives at all, so they should not have *tough*-movement. And yet, according to Comrie (1997) among others, German, a maximally TP language might prima facie appear to have *tough*-constructions, along with both of the CP1 languages.<sup>19</sup>

This is contradictory, given Chomsky (1977b)'s observation that *tough*-movement involves wh-movement. How is this possible if maximally TP languages lack a WhP layer? I propose that in fact, the maximally TP languages do not have *tough*-movement after all, allowing us to make significant empirical generalizations concerning languages which do have *tough*-movement, which has consequences on the Exfoliation framework.

Wurmbrand (1994) argues that German does not in fact have *tough*-constructions because it has different properties from *tough*-constructions that we see in English. Out of four of her tests, I will include two. For example, they do not allow arguments intervening between the embedded object and matrix subject (73a) and do not license parasitic gaps (73b):

(73) a. \* Dieses Buch ist schwer Hans zu überzeugen zu lesen. this book is hard John to convince to read 'This book is hard to convince John to read.'

<sup>&</sup>lt;sup>18</sup>This position for *whether* is justified as follows. As this example shows, *whether*-infinitives exist, so they must be in either WhP or CP1. On the other hand, the element *if* is commonly assumed to be in Spec,IntP as Shlonsky and Soare (2011) claim. We predict correctly that infinitives like \**I know if to eat salad* are ruled out in English because *if* occurs in a higher position than WhP.

<sup>&</sup>lt;sup>19</sup>Stefan Keine (p.c.) has pointed out to me that Hindi does not have *tough*-constructions, which would be expected given that its infinitives do not have a CP layer.

b. \* weil das Buch<sub>i</sub> [ohne vorher  $pg_i$  zu kaufen] schwer t<sub>i</sub> zu lesen ist because the book [without before to buy] hard to read is (Intended?) 'Because the book is hard to read without having bought beforehand.'

Following Wurmbrand, I propose that we call this kind of long A-movement in German *leicht*-movement, with the resultant construction a *leicht*-construction. By contrast, genuine *tough*-movement involves a step of A'-movement to Spec,WhP prior to A-movement to the matrix subject position, as Chomsky proposes.

What about CP1 languages like Swedish and Icelandic? Surprisingly, according to Klingvall (2018), Swedish *tough*-movement patterns somewhere in between English and German. Klingvall argues that there is a step of A'-movement in Swedish *tough*-constructions and they don't just involve long A-movement like in German. For example, they pattern with English rather than German in licensing parasitic gaps (74a) and are not sensitive to arguments intervening between the embedded object and matrix subject (74b).<sup>20</sup>

- (74) a. [Den artikel-n]<sub>i</sub> är svår att övertala Lisa att be Johanna att läsa t<sub>i</sub>. that paper-CMN.DEF is hard.CMN to convince Lisa to ask Johanna to read 'That paper is hard to convince Lisa to ask Johanna to read.'
  - b. Bok-en<sub>i</sub> är lätt att kritisera  $t_i$  utan att ha läst  $pg_i$  book-CMN.DEF is easy to criticize without to have read 'The book is easy to criticize without having read.'

But Swedish infinitives are unlike English ones. Klingvall notes the data we have already seen in (47b), (55a) and (55b) above concerning the lack of wh-infinitives and pied-piped material in infinitival relative clauses, indicating the lack of a full C domain. This indicates that WhP is not present in Swedish. Instead, Klingvall suggests that the null operator moves to an A'-position in the T domain—not Spec,TP, which is an A-position, but higher than that. This explains why *tough*-constructions in Swedish pattern with English.

This allows us to make the following generalization concerning languages with genuine *tough*-movement-movement to the C domain, Spec,WhP-like that of English:<sup>21</sup>

(75) If a language has *tough*-movement, then it has wh-infinitives.

I have two remarks concerning this generalization. First, the implication is in one direction: there are many languages such as Turkish which have wh-infinitives but do not have *tough*-constructions. Second, Sabel (2006) and Gärtner (2009) have argued for the generalizations in (76a) and (76b) respectively. A robust indefinite/interrogative ambiguity refers to languages like English which use different words for *who* vs. *someone* whereas German does not.

(76) a. If a language has wh-infinitives, then it has infinitival complementizers.

<sup>&</sup>lt;sup>20</sup>For space reasons I've trimmed Klingvall's example. Also, Klingvall distinguishes between verbal TCs and adjectival TCs, to be more specific, but this distinction is immaterial for this paper.

<sup>&</sup>lt;sup>21</sup>I suspect that Norwegian and Danish may pattern similarly to Swedish. According to Hartman (2011), Italian, French and Spanish all have *tough*-constructions, and according to Sabel (2006) all of these languages have whinfinitives, so no problem arises. According to Selvanathan (2017), Tamil has *tough*-movement like English, whereas Selvanathan (2018) claims that Malay has *leicht*-movement. The predictions that I am making that Tamil would have wh-infinitives whereas Malay would not. I believe this covers most, if not all, of the languages which have reported to have *tough*-constructions in the literature.

b. If a language has wh-infinitives, then its pronominal system does not have a robust indefinite/interrogative ambiguity.

Elementary logic allows us to extend Sabel (2006) and Gärtner (2009)'s generalizations to mine:

- (77) a. If a language has tough-movement, then it has infinitival complementizers.
  - b. If a language has tough-movement, then its pronominal system does not have a robust indefinite/interrogative ambiguity.

These generalizations are interesting in their own right, but as we will see in section 5.3, they, along with the other cartographic predictions that I have discussed in this subsection, have consequences on Pesetsky (2021)'s theory of Exfoliation.

### 4.4 Summary

This section has primarily been concerned with crosslinguistic generalizations on the size of infinitives. I have argued for the following empirical generalization: a high complementizer cannot co-occur with a nonfinite clause. I had a two-pronged approach: I first presented a survey on the maximal size of infinitives in several different languages that have been discussed in the literature, noting that none of them co-occur with a high complementizer.

Yet, absence of evidence is not evidence of absence. It could be that such a language simply has yet to be reported. Therefore, I attempted to provide evidence of absence by presenting four different languages—Icelandic, Spanish, Serbian and Mandarin—in which an element with a certain phonetic form behaves as a high complementizer in contexts we would consider finite, but never as a high complementizer in contexts we would consider nonfinite. A plausible explanation for this fact is that nonfinite clauses necessarily cannot co-occur with a high complementizer.

This, I believe, gives us a foundation to create a theory of finiteness in terms of clause size. It allows us to make precise and falsifiable definitions for a clause which is finite and nonfinite.

- (78) a. A clause is finite iff it is untruncated in the C domain.
  - b. A clause is nonfinite iff it its CP2 layer is truncated.

Notice that properties that have often been associated to finiteness in the literature such as tense, subject licensing and agreement are not a part of my definition. Such properties merely *correlate* with the presence of CP2 under my account. Indeed, we have seen examples of the complement of *try*—as small as vP as Wurmbrand et al. (2020) argues—bearing agreement in Serbian, and non-finite clauses in Tamil licensing subjects and bearing even tense. None of this is contradictory under my theory, as it should be. In the next section, let us see whether this definition of finiteness still holds once we consider a range of facts crosslinguistically.

## 5 Implications

Many questions remain at the end of section 4, but the three that I focus on are the following:

- (79) a. Do truncated finite clauses exist?
  - b. Is opacity a problem for clause size theories of finiteness?
  - c. Do clauses with C-domains truncated in the middle exist?

d. What consequences does this theory have on Exfoliation?

The first and second question instantiate potential counterexamples of my theory of finiteness, which I dedicate section 5.1 to discussing. Section 5.2 discusses the third question, in which I argue that clauses which are truncated in the middle do exist, and are instantiated in the form of subjunctive clauses. I answer the final question in section 5.3: although I believe that my empirical generalization concerning nonfinite clauses is evidence in favor of an Exfoliation-style framework, the fact that infinitives can come in many different sizes is troubling for Pesetsky (2021).

### **5.1** Potential counterexamples

### 5.1.1 That-less embedded clauses

The central empirical claim of this paper is that infinitives necessarily lack the ability to co-occur with high complementizers. But there is a great deal of controversy in the literature as to whether *that*-less embedded clauses have a CP2 layer or not, which could lead to a confound.<sup>22</sup> For example, Bošković and Lasnik (2003) notes the following contrast, in which (80d) cannot occur without the high complementizer but (80b) can:

- (80) a. It was widely believed [that he liked linguistics].
  - b. (?) It was widely believed [he liked linguistics].
  - c. [That he liked linguistics] was widely believed.
  - d. \* [He liked linguistics] was widely believed.

Here is the problem. (80b) is uncontroversially finite, but if it truly lacks CP2, this is a counterexample to the definition of finiteness presented in 4.4.

Wurmbrand (2017) provides an interesting discussion of stripping phenomena—the elision of declarative TPs—that may be problematic for my theory of finiteness. Based on the contrast between (81a)-(81b) on one hand and (81c)-(81d) on the other, Wurmbrand (2017) claims that stripping of embedded clauses is only possible when the embedded clause lacks a CP2.

- (81) a. \* Abby claimed (that) Ben would ask her out, but she didn't think that Bill (too).
  - b. Abby claimed (that) Ben would ask her out, but she didn't think Bill (too).
  - c. \* Jane loves to study rocks, and John says that geography too.
  - d. Jane loves to study rocks, and John says geography too.

For Wurmbrand, ellipsis is the option of not realizing a Spell-Out domain. To get the contrasts in (81a)-(81b) and (81c)-(81d), Wurmbrand assumes a hierarchy CP2 > FocusP > TP. If CP2 is present, CP2 is phasal but not FocusP, and when CP2 is not present FocusP is phasal. The Spell-Out domain of CP2 is FocusP, not TP, so it cannot be elided, because stripping is just the elision of TP. But if CP2 is not present, then TP can be elided, because FocusP is phasal. This allows

<sup>&</sup>lt;sup>22</sup>Of course, in the literature previous authors did not refer to CP2; they referred to CP. But to be in line with the rest of this paper I will refer to CP2 rather than CP. For accounts in which CP2 is present but null, the reader is referred to Pesetsky (1992), Pesetsky and Torrego (2001), Pesetsky and Torrego (2007) and Bošković and Lasnik (2003). For accounts in which CP2 is truncated, see Hegarty (1991), Webelhuth (1992), Doherty (2000), Svenonius (1994), Bošković (1997) and Wurmbrand (2014).

for a natural explanation of her Embedded Stripping Generalization: that stripping of embedded clauses is only possible if the embedded clause lacks TP. This might imply that CP2 really is missing, and not merely null, in instances of embedded stripping.

It is out of the scope of this paper to contribute to this debate. But it is essential to note that whether or not *that*-less embedded clauses have CP2 or not does not have any bearing on whether the ISG is true or not. If the generalization is true, it has to be explained. But here are two potential strategies to deal with Wurmbrand's generalization.

I could take for granted approaches in which CP2 is present but null in *that*-less embedded clauses, and no problem would arise. Alternatively, the simple definition of finiteness that I present in this paper can be changed slightly to accommodate approaches where CP2 is not present in *that*-less embedded clauses. Recall that the language with the largest attested infinitives from 4.1 is Hebrew. There is at least one more independent reason to believe that Hebrew infinitives are truncated, and that this is not due to the truncation of the CP2 layer.

This data involves negative polarity item (NPI) licensing. Matrix negation can license NPI licensing inside infinitive or subjunctive complements but not indicative ones, as first noted by Landau (2004). This is shown in (82a)-(82c) below; we see that the subjunctive is headed by the high complementizer *še* and still allows NPI licensing, so this restructuring property may be due to the truncation of some other functional projection in the C domain.<sup>23</sup>

(82) a. Lo darašti me-Gil ledaber im af-exad. not demanded.1SG from-Gil to-speak with anybody 'I didn't demand of Gil to speak to anybody.'

Infinitive

- b. Lo darašti me-Gil<sub>i</sub> še-pro<sub>i</sub> yedaber im af-exad. not demanded.1SG from-Gil that-pro will-speak-3SG.M with anybody 'I didn't demand of Gil that he speak to anybody.'
- c. \* Lo he'emanti še-Gil yedaber im af-exad. not believed.1SG that-Gil will-speak.3SG.M with anybody 'I didn't believe that Gil would speak to anybody.'

Indicative

Subjunctive

It is likely that there is (at least) one other functional projection together with CP2 that is truncated when a nonfinite clause is made. Let us call this layer XP. One possibility is that CP2 is necessarily deleted whenever XP is deleted. In other words, one could define nonfinite clauses as lacking both CP2 and XP, rather than just CP2 as I have done in this paper, to get the right results with *that*-less embedded clauses. But I must leave open to future research as to what XP is.

### **5.1.2** Selective opacity

One aspect of my theory that may seem counterintuitive is the fact that different structures vary crosslinguistically in terms of their opacity. According to Keine (2020), nonfinite clauses in Russian are transparent to A'-movement such as topicalization but opaque to A-movement such as subject-to-subject raising, as shown by the contrast in (83a)-(83b) below:

<sup>&</sup>lt;sup>23</sup>I have been unable to verify whether NPI licensing is possible across propositional infinitives in Hebrew. Subjunctives do not seem to have a propositional semantics. If it is not possible, that would indicate that the functional projection of the C domain responsible for this blocking is PropP. If it is possible, then this layer is something else. I have to leave it open to future research as to what the functional projection between CP2 and IntP is that allows NPI licensing.

- (83) a. Kažetsja [čto èti studenty znajut tri jazyka]. seem.3SG that these students know.3PL three languages 'It seems that these students know three languages.'
  - b. Èti studenty<sub>i</sub> kažutsja [t<sub>i</sub> učit' tri jazyka]. these students seem.3PL learn.INF three languages (Intended) 'These students seem to be learning three languages.'

It may seem prima facie puzzling that a Russian nonfinite clause with a truncated CP2 layer does not allow raising, unlike English. Equally puzzling is the operation of *hyperraising*—that is, raising from a finite clause—which does not seem to require structure removal to take place. As Wurmbrand (2019) notes, it is a common phenomenon crosslinguistically.

An illustrative example of hyperraising in Greek, which was first noted by Felix (1989), from Bird (1999) is given below. Greek systematically lacks infinitives and allows hyperraising from subjunctive complements co-occurring with an overt complementizer:

(84) Ta pedhia arxisan na trexoun. the children.NOM started.3PL COMP.SBJV run.3PL 'The children started to run.'

On one hand, we see that Russian nonfinite clauses do not allow raising. On the other, what seem to be finite clauses in Greek allow it. But I do not think these facts are problematic. I do not know whether Keine (2020)'s theoretical tool of probes having different search domains is the right notion to capture selective opacity effects, due to its lack of independent predictions.

But Keine convincingly shows that selective opacity is a pervasive phenomenon, which is all this theory needs. The lack of raising from Russian nonfinite clauses and possibility of hyperraising in languages like Greek, in my view, are merely an instance of selective opacity effects, and it is not the case that Russian nonfinite clauses are larger than Greek finite clauses.

### 5.1.3 Factives are not truncated

As has been noted extensively in the literature thus far, factives do not allow many of the properties of the C domain such as topicalization or focalization, as Hooper and Thompson (1973), Haegeman (2012) and others point out. An example of topicalization with the complement of *regret* is given below:

(85) \* John regrets that this book Mary read.

This has led Miyagawa (2017) to claim that factives are in fact truncated in the C domain. This is at odds with my definition of a finite clause, which is fully untruncated in the C domain. As such, I adopt and defend Haegeman (2012)'s analysis of null operator movement in complements of factive predicates, rather than truncation. I present some corroborating evidence for her account in the form of infinitives, as well.

Haegeman is not the first to suggest null operator movement in factives. Hegarty (1992) points out that the complement clauses of factives are weak islands for extraction, whereas those of non-factives are not, as seen below.

- (86) a. How do you suppose that Maria<sub>i</sub> fixed the car t<sub>i</sub>?
  - b. \* How did you notice that Maria; fixed the car t;?

- c. Why does Mary<sub>i</sub> think that Bill left the company t<sub>i</sub>?
- d. \* Why does Mary<sub>i</sub> regret that Bill left the company t<sub>i</sub>?

As Haegeman (2012) points out, almost every property of the C domain that we have discussed thus far involves a step of A'-movement. Both null operators and a truncation analysis would get the desired result as both disallow movement. If it ever were possible to base-generate elements into a Spec position in the C-domain, for example Spec, TopP, then it would be possible to distinguish between the accounts, as they make different predictions.

Temporal adjuncts, in fact, seem to be base-generated into a Spec position of the articulated left periphery. Rizzi (1997) assumes they are Merged to Spec,TopP, although Rizzi (2001) distinguishes the position of topics from modifiers, positing a dedicated projection, ModP. However, for simplicity, I will continue assume that it is Merged onto Spec,TopP:

(87) [TopP Last week, [TP I was in Tokyo.]]

If temporal adjuncts are base-generated, then we would predict that they should be acceptable with factives. This prediction is borne out:

(88) John regrets that during dinner Mary read this book.

As mentioned in 3.2, colloquial English appears to have double complementizer constructions:

(89) She maintained **that** when they arrived **that** they would be welcomed.

According to my consultants, this sentence is equally acceptable with the factive *regret*, indicating the presence of CP2, TopP and CP1 layers and therefore a highly articulated structure:

(90) She regretted **that** when they arrived **that** they weren't welcomed.

On the other hand, we would also predict that, as English infinitives are quite truncated, that they cannot take temporal adjuncts. This prediction is borne out, according to data from Shlonsky and Soare (2011). In the contrast below, the adjunct *at 5* cannot refer to the cooking of dinner; it must refer to the time of the promise—that is, it must be an adjunct to the matrix sentence rather than the infinitive. However, this is possible with the finite version of the sentence:

- (91) a. \* John promised us at 5 to cook dinner for his children.
  - b. John promised us that at 5 he would cook dinner for his children.

I conclude that factives are not truncated in the C domain.

## **5.2** Subjunctives and "finite" control

We now move to subjunctive clauses. The status of the finiteness of subjunctives has been perplexing for decades: they seem to both have finite (for example agreement, high complementizers) and nonfinite properties (OC PRO); see, for example, Landau (2004). I believe that the novel approach to finiteness in the paper provides a new angle for understanding the finiteness of subjunctives. I will provide novel evidence from the C domain from subjunctives to show that they are, as has been claimed in the literature, borderline between finite and nonfinite.

However, there does not appear to be one unified structure for all subjunctive clauses crosslinguistically. That is, in languages like English and Hebrew, they must be headed by a high complementizer, indicating the presence of CP2, but they also appear to have some truncation of

the C domain in the middle. On the other hand, in languages like Spanish, subjunctives *must* be headed by a low complementizer *que*. Though I must leave a complete account of subjunctives open for future research, here is how such an investigation might take place under this account.

Recall the following paradigm from 4.1:

- (92) a. No topicalization within infinitives: \*I wanted this book, to read.
  - b. *No focalization within infinitives*: \*I wanted THIS BOOK to read.
  - c. No why-infinitives: ??I asked Caitlin why to eat salad.
  - d. *No if*: \*I asked Caitlin if to eat salad.
  - e. *No temporal adjunct*: \*I asked Caitlin during dinner to eat salad.

Surprisingly, even though subjunctives are headed by a CP2 projection, most of these tests fail.

- (93) a. *No topicalization within infinitives*: \*I suggested that this book he read.
  - b. *No focalization within infinitives*: \*I suggested that THIS BOOK he read.
  - c. No why-infinitives: \*I suggested why she eat salad.
  - d. No if: \*I suggested that if he eat ice cream, then he exercise.
  - e. *No temporal adjunct*: ??I suggested that during dinner she eat salad.

This indicates that subject licensing in English is somehow tied to the presence of a CP2 projection: PRO can be licensed with complements as small as TP or even vP (following W&L), but a full subject which is not merely a minimal bound pronoun requires CP2.

Under accounts of finiteness like Bouchard (1984), Koster (1984) and Hornstein and Lightfoot (1987), and Pesetsky (2021), obligatory control (OC) is possible into clauses which are as large as IP/TP, whereas CPs block OC–the latter of which are seen as phases in today's minimalist framework. Landau (2013) considers clause size a "bogus" criterion for OC, because there seem to be cases of so-called "finite" control in languages like Hebrew and the Balkan languages. In Landau (2004)'s example (94) from Hebrew below, Landau argues that the embedded clause is in the subjunctive mood, and headed by the high complementizer  $\check{se}$ . The null subject of the embedded clause must refer to Gil.

(94) himlacti le-Gil<sub>i</sub> še-*ec*<sub>i/\*k</sub> yearšem la-xug le-balšanut. I-recommended to-Gil that-ec will-register.3SG.M to-the-department to-linguistics 'I recommended to Gil to register to the linguistics department.'

```
(i) ani xošev še et Dani<sub>i</sub> pitru t<sub>i</sub>.

I think that DOM Dani, (they)-fired 'I think that Dani, they fired.'
```

Furthermore, this complementizer behaves as such in subjunctive clauses as well (Ur Shlonsky, p.c.):

For independent reasons, a null subject in a subjunctive with topicalized/focalized elements is ruled out.

<sup>&</sup>lt;sup>24</sup>That *še* is a high complementizer in indicative clauses can be verified with the following example from Shlonsky (2014), in which the topicalized or focalized constituent *Dani* follows *še*.

At the time, this was a very strong argument that control complements can be as large as CP. Indeed, it's also unclear how an Exfoliation framework could derive "finite" control constructions. But with the articulated left periphery that I have assumed in this paper, we need to reanalyze what we mean by finite control. It appears that finite control complements, such as in Hebrew, can be as large as CP2. But it is possible that these "finite" control complements are in fact truncated in the middle, and hence, not finite but not nonfinite either under my account.

I will claim that control is an operation which can only take place in complements that are truncated in the C domain by discussing restructuring phenomena in "finite" control complements. Ultimately, both defenders of clause size theories of finiteness on one side such as myself and Pesetsky, and Landau on the other side end up both being right: "finite" control complements do project a CP2 layer and contain a high complementizer.

It will not be easy to determine whether subjunctives in Hebrew are truncated at all, given that Hebrew infinitives are the largest on record. I suspect that Hebrew subjunctives might also be equally large, with an additional CP2 layer on top. But there is still independent evidence that subjunctives are truncated, as well. Recall from section 5.1 above the pattern with NPI licensing across clause boundaries in Hebrew; matrix negation can license NPIs across infinitive and subjunctive complement clauses but not indicative ones:

(95) a. Lo darašti me-Gil ledaber im af-exad. not demanded.1SG from-Gil to-speak with anybody 'I didn't demand of Gil to speak to anybody.'

Infinitive

b. Lo darašti me-Gil<sub>i</sub> še-pro<sub>i</sub> yedaber im af-exad. not demanded.1SG from-Gil that-pro will-speak-3SG.M with anybody 'I didn't demand of Gil that he speak to anybody.'

Subjunctive

c. \* Lo he'emanti še-Gil yedaber im af-exad. not believed.1SG that-Gil will-speak.3SG.M with anybody 'I didn't believe that Gil would speak to anybody.'

Indicative

I assumed in 5.1 that this restructuring phenomenon was possible *because* some functional projection common to both the Hebrew infinitive and subjunctive was truncated, calling it XP.<sup>25</sup>

As it turns out, restructuring phenomena seems to be common with subjunctive control complements crosslinguistically. Ewe subjunctive control complements patterns with Hebrew in terms of NPI-licensing; it is possible across subjunctive clauses headed by complementers, which have an overt PRO, as Satık (2019) argues, but not ones in the aorist mood:

 $(96) \quad a. \quad * \text{ Kofi}_i \text{ me-be} \quad y\grave{e}_i \text{ dzo} \quad o. \\ \quad \text{Kofi} \quad \text{NEG1-COMP Y\`e leave NEG2} \qquad \qquad \text{Kofi} \quad \text{NEG1-COMP Y\'e-POT leave NEG2} \\ \quad \text{`Kofi}_i \text{ didn't say that he}_i \text{ left.'} \qquad \qquad \text{`Kofi}_i \text{ didn't say that he}_i \text{ could leave.'}$ 

This pattern isn't limited to NPI licensing across subjunctive clauses; in line with Keine's selective opacity effects, we find that different subjunctive complements are transparent to different operations crosslinguistically. Felix (1989), for example, points out subjunctive complements in Greek are transparent to A-movement, allowing raising in addition to control; Watanabe (1993)

<sup>&</sup>lt;sup>25</sup>It appears that the only way to handle such a case is by assuming Keine (2020)'s horizon framework for syntactic operations. That is, the probe that is responsible for NPI licensing in Hebrew is sensitive to the projection XP of the C-domain–XP is only found in indicative embedded clauses and not in subjunctives or infinitives–rather than the topmost, and likely phasal, CP2.

notes the same for Romanian–in both languages, indicatives are opaque to A-movement.<sup>26</sup> Landau describes both of these languages as exhibiting finite control.

We've so far seen languages—English, Hebrew and potentially Ewe—in which the complementizer appears to be located in CP2. But there is reason to believe that not all subjunctives are truncated in the middle; sometimes, in Spanish, they may be truncated at the top like infinitives, as well. According to Villa-Garcia (2012), *que* in Spanish is a low complementizer in CP1, exclusively associated with the jussive or optative mood. In such a context, the topic must precede *que*:

(97) A la fiesta \*(que) vayan to the party that go.3PL.SUBJ 'I demand that they go to the party.'

According to Villa-Garcia (2012), Spanish subjunctives can optionally have a high complementizer and an overt realization of the  $Top^0$  as well—all of the form que. Villa-Garcia (2012) provides such an example with two topics, indicating the presence of a highly articulated left periphery:

- (98) a. Que *a tu hijo*, (que) como *va a suspender*, \*(que) lo castiguen.3PL.SUBJ that your son that since goes to fail that cl. punish 'I/somebody ordered that they punish your son, since he's going to fail (the course).'
  - b. [CP2 [C2' que [TopP Topic 1 [Top' que [TopP Topic 2 [Top' Ø [CP1 [C1' que ...]]]]]]]]

Although this subjunctive is highly truncated, it's not in principle impossible for it to be truncated in the middle as well. One would have to verify, for example, whether contrastive focus is possible in these constructions. But there seem to be at least two strategies that are attested for subjunctives crosslinguistically.

It is not clear which group Japanese subjunctives belong to, but there is evidence that they are truncated, as well. Uchibori (2000) extensively notes selective opacity effects in Japanese subjunctive complements, which also have been noted to exhibit finite control with some, but not all predicates. Here I will focus on the subjunctives that allow control, though the transparency effects obtain for the ones that do not as well.

Crucially, though, Uchibori's solution is to posit that although subjunctives in Japanese are CPs, the C head is not a strong phase. It would be possible to get Uchibori's result, however, under a Rizzi-style framework, if we assume that the subjunctive complementizers are low complementizers in CP1 and the phasal CP2 has been truncated—or perhaps some truncation in the middle, as in Hebrew. Here is the data Uchibori discusses to come to this conclusion. Uchibori notes that scrambling out of subjunctive complements can remedy WCO violations (99a), but not out of an indicative complement (99b):

<sup>&</sup>lt;sup>26</sup>Alexiadou et al. (2010) argue that Greek subjunctive complements cannot be analyzed as instances of restructuring. Their evidence is based on two facts: first, event modifiers can modify the event of both the matrix and embedded clause. Second, they also claim that NPI licensing can take place across the subjunctive clause boundary, but it can also be in the matrix clause, as well. I do not find these arguments convincing: even in languages like English, the infinitive complement of *try* can be modified by an event modifier, so even if restructuring was present we would predict this to be possible. NPI licensing itself might merely be an instance of selective opacity: for example, Hebrew bans NPI licensing across indicative clause boundaries while English allows it, so this is again not surprising. Felix's observation and the fact that indicative complements do not allow raising is itself evidence for restructuring.

- (99) a. Daremo<sub>i</sub>-o [[soitsu<sub>i</sub>-no hahayoya]-ga [iinkai<sub>k</sub>-ni e<sub>k</sub> t<sub>i</sub> everyone-ACC guy-GEN mother-NOM committee-DAT suisensu-ru-yoo(-ni(-to))] tanon-da]. recommend-NONPAST-SUBJ-COMP ask-PAST (lit.) 'Everyone<sub>i</sub>, his<sub>i</sub> mother asked the committee to recommend.'
  - b. \* Daremo<sub>i</sub>-o [[soitsu<sub>i</sub>-no hahayoya]-ga [iinkai-ga t<sub>i</sub> suisensi-ta everyone-ACC guy-GEN mother-NOM committee-NOM recommend-PAST to] omot-ta].

    COMP think-PAST
    - (lit.) 'Everyone<sub>i</sub>, his<sub>i</sub> mother thought that the committee recommended.'

Furthermore, a quantifier scrambled out of subjunctive complements may have wide scope over other quantifiers (100a), but not out of indicative clauses (100b):

- - b. Daremo<sub>i</sub>-o [dareka-ga [John-ga  $t_i$  hihansi-ta to] it-ta] Everyone-ACC someone-NOM John-NOM criticize-PAST COMP say-PAST (lit.) 'Everyone, someone said that John criticized.'  $(*\forall > \exists)$

Finally, Uchibori notes that the reciprocal anaphor *otagai* 'each other' must be locally A-bound. Scrambling out of a subjunctive complement can license the anaphor (101a), but not out of an indicative one (101b):

- (101) a. ? Karera<sub>i</sub>-o [otagai<sub>i</sub>-no sensei-ga [John<sub>j</sub>-ni [e<sub>j</sub> t<sub>i</sub> them-ACC each.other-GEN teacher-NOM John-DAT hihansu-ru-yoo(-ni(-to))] it-ta. criticize-NONPAST-SUBJ-COMP tell-PAST (lit.) 'Them<sub>i</sub>, each other<sub>i</sub>'s teacher told John to criticize.'
  - b. \* Karera<sub>i</sub>-o [otagai<sub>i</sub>-no sensei-ga [John-ga t<sub>i</sub> hihans-ita to] teacher-ACC each.other-GEN teacher-NOM John-NOM criticize-PAST COMP it-ta. say-PAST
    - (lit.) 'Them<sub>i</sub>, each other's teachers said that John criticized.'

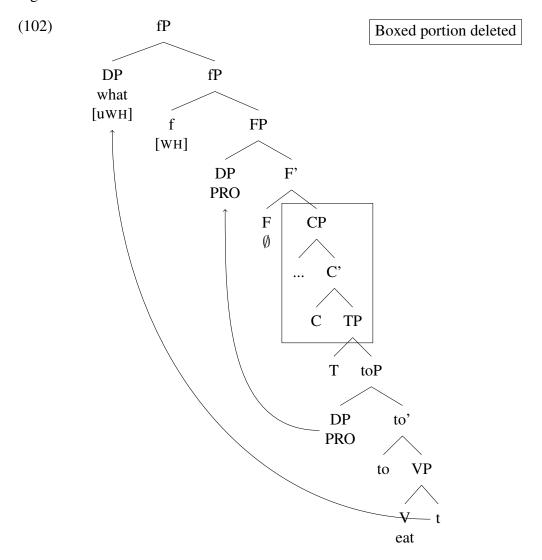
I believe that these observations from Hebrew, Ewe, Spanish and Japanese and to a lesser extent Greek and Romanian show that all control complements may be truncated in some regard. To conclude, my theory of finiteness in terms of clause size comes with the welcome advantage of eliminating the surprising phenomenon of "finite" control, which it turns out, based on my theory, is not so surprising after all. This is a new line of research worth exploring.

## 5.3 Consequences on Exfoliation

On one hand, I believe that my empirical generalization—that nonfinite clauses by definition lack a CP2 layer, in which high complementizers are realized—constitutes strong evidence, in my view,

that some kind of derivational process, perhaps Müller (2020)'s operation Remove or Pesetsky (2021)'s Exfoliation, is responsible for the truncated size of infinitives. These operations take place because Rizzi's ForceP, or my CP2, is a phase head, and it serves as a barrier for syntactic operations like subject extraction in the case of raising. Under a non-derivational theory of finiteness, this would be coincidental: why should it be the case that nonfinite clauses cannot co-occur with a high complementizer? Derivational frameworks *predict* my generalization.

On the other, the evidence from section 4 is at odds with Pesetsky's "one-size-fits-all" approach, where all infinitives have the same size: toP, apart from the superstructure that is sometimes added. To see where this goes wrong, let us see an attempt, under the Exfoliation framework, to derive a wh-infinitive such as *I know what to eat*. In this tree, f<sup>0</sup> has a WH-feature allowing the wh-infinitive to be formed.<sup>27</sup>



This sets the stage to present the first problem with the Exfoliation framework: it misses generalizations concerning the size of infinitives cross-linguistically. That is, it is not obvious under

 $<sup>^{27}</sup>$ I am omitting the movement of  $F^0$  to  $f^0$  for simplicity. One might object that this tree violates minimality conditions on movement. See, for example, Preminger (2014) on why it does not: the probe on  $f^0$  looks specifically for WH-features even if PRO is a more local DP. It can skip past PRO because it does not have WH-features.

Exfoliation why wh-infinitives do not exist in languages like Hindi, German, Swedish and Icelandic, or why infinitival complementizers do not exist in Hindi and German, given that *all* of these languages have control constructions and hence, superstructures.

Under my account, the presence of infinitival complementizers in English is predicted from the presence of wh-infinitives. The differing properties of *tough*-movement in German, Swedish and English is also predicted: the infinitives of these languages come in three different maximal sizes, which are TP, CP1 and WhP. Superstructures do not allow such predictions to be made, because superstructures across languages are the same size: it is mysterious why English has infinitival complementizers, why maximal infinitive size correlates with the kind of *tough*-movement that is present, and why TP and CP1 languages do not have wh-infinitives, among other facts.<sup>28</sup>

To start accounting for the lack of wh-infinitives in TP and CP1-languages, it is possible for David Pesetsky (p.c.) to claim these languages do not license WH-features on their superstructures whereas English does. But to see where this goes wrong, recall that there are even larger infinitives than those of English: Italian's infinitives go up to TopP, Hebrew's go up to IntP. Once again, the presence of WhP and CP1 in Italian is predicted from the presence of TopP, which the Exfoliation framework does not predict; the presence of FocP, TopP, WhP and CP1 is predicted in Hebrew from the presence of IntP, none of which the Exfoliation framework predicts.

To account for these predictions, it seems impossible to not assume a Rizzi-style left periphery for superstructures. But at this point his superstructure has become indistinguishable from Rizzi's articulated C domain, and ultimately, he has to end up admitting that infinitives come in different sizes, as well. That is, the Exfoliation framework must concede that languages can select the sizes of their superstructures. The sizes of the superstructures themselves *cannot* be derived; without further stipulations, this could lead to a problem of infinite regress, with superstructures Exfoliating themselves, without end.

Ultimately, I believe that a derivational theory of finiteness is on the right track. However, a derivational theory must admit that there are empirical generalizations concerning the maximal size of infinitives crosslinguistically, and this must be accounted for. I believe that each language must *select* the maximal size of their infinitives; perhaps such a process ends up being completely random. But, crucially, the fact that the maximal size cannot be CP2 is derived via something an operation like Exfoliation or the Müller (2020)'s operation Remove.

# 6 Conclusion

This paper has been an investigation on the size of infinitives. After laying the groundwork for this endeavor in sections 1-3, section 4 presented evidence that the size of infinitives can vary crosslinguistically. I showed that generalizations concerning the maximal size of infinitives crosslinguistically are difficult to for under an Exfoliation-style framework with superstructures in section 5. Languages must be able to select the maximal size of their infinitive. And yet, I also gave what I believe to be a very strong argument in favor for a derivational theory of finiteness

<sup>&</sup>lt;sup>28</sup>In addition, although I did not discuss this in detail in section 2 due to space constraints, Pesetsky assumes that *for* is not a complementizer but rather an irrealis marker. I believe that section 4.1 strongly implies that this analysis is incorrect, given that we can predict whether a language has infinitival complementizers or not if it has wh-infinitives. There seems to be no language in my survey which has wh-infinitives but does not have infinitival complementizers as well. This implies that *for* is a low complementizer.

like Exfoliation: the Infinitive Size Generalization—that infinitives can never co-occur with high complementizers. This is surprising under selectional theories, and requires at least some derivational element to finiteness.

I have argued that finiteness really is a matter of clause size, and defined finite clauses as those which are untruncated in the C domain, whereas nonfinite clauses are those which lack a CP2 layer. This paper has only investigated the size of finite and nonfinite *embedded* clauses, not root ones. As such, there are many open questions left at the conclusion of this paper. The most obvious one is that *that* can only appear with embedded clauses:

(103) (\*That) Caitlin likes chocolate.

This is a question for all theories, and not mine specifically—perhaps there is just an independent requirement for *that* to be pronounced only with embedded clauses, but it is always present. But this is by no means a universal; as we will see, it seems to be attested in the Romance languages.

Furthermore, one prediction that my account makes involves projections above CP2, which appear to be attested in at least Romance. Cruschina and Remberger (2018) discusses constructions in Romance in which a complementizer is present in root clauses, and is preceded by an adjective or an adverb. It appears to be preceded by an adjective in (104):

(104) Certo che la capito! certain that have.3SG understand.PST.PTCP 'Of course she understood!'

Italian

For Cruschina and Remberger (2018), this indicates that there are a set of projections above CP2 which encode speaker-oriented and pragmatic features such as evaluative, evidential or epistemic values. The prediction is that no projection above CP2 should be present not just with infinitives, but with other nonfinite clauses like imperatives as well.

Of course, I have not discussed the nature of imperatives like "Catch her!" and how they come into being. They are puzzling for an Exfoliation-style framework as well, given that all embedded clauses are born finite, yet it's not clear if the technology could be extended to root nonfinite clauses. I leave this open for future research to look into. But it is natural to suppose that they are missing many functional projections, leading to a truncated, nonfinite structure.

It also remains to be seen how this account can be extended to gerunds, which have a nominal nature, and structures like nominalized infinitives in Turkish–for which I presented evidence in section 4.1 that it is truncated. But at the very least–no matter what one thinks of the analysis of finiteness in this paper–the goal of this paper has been to introduce the reader to novel empirical generalizations concerning nonfinite clauses. The inability for nonfinite clauses to appear with high complementizers under the articulated C domain is a mystery worth investigating.

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