

# ChatGPT, n-grams and the power of subword units: The future of research in morphology

Stela Manova

[manova.stela@gmail.com](mailto:manova.stela@gmail.com)

Subword units (cf. morphemes in linguistic morphology) are a powerful device for language modeling (cf. Byte Pair Encoding (BPE), a subword-based tokenization algorithm part of the architecture of Large Language Models (LLMs) such as ChatGPT). Based on recent advances in natural language processing, the notion of complexity (the logic of the *Big O* notation in computer science), existing phonology-driven (form-focused) analyses of (derivational) morphology (e.g. Stratal approach) and my own research on affix order in various languages, I maintain that research in morphology should take a form-focused perspective and that novel resources favoring such a change in perspective should be developed. I provide psycholinguistic evidence from a language with poor inflectional morphology (English) and a language with very rich inflection (Polish) that native speakers do not rely on semantic cues for affix ordering in derivation but rather memorize affix combinations as bigrams and trigrams. Speakers seem to treat frequently co-occurring linearly adjacent affixes, be they derivational or inflectional, together, as subword units longer than a morpheme, which is exactly what happens during the subword-based tokenization (BPE) in a LLM. Claims that ChatGPT does not reflect human-like language processing in morphology (and not only) are, most probably, due to the lack of linguistic research that adopts a ChatGPT perspective on language.

## 1 Introduction

Recently, computer science (CS) has made significant progress and now Generative Pre-trained Transformers (GPT) are used for natural language processing (NLP). A GPT is a type of a large language model (LLM) based on an artificial neural network (transformer architecture) and pre-trained on large data sets of unlabeled text, i.e. a GPT does not use grammar of the type known from linguistic theory. ChatGPT, a LLM chatbot, was launched by OpenAI on November 30, 2022. It has a user-friendly interface and was additionally trained for dialogue with humans. The most surprising feature of ChatGPT from a linguistic point of view (because ChatGPT can accomplish non-linguistic tasks as well) is its ability to generate human-like texts in real-time chat, which has thus raised questions about the correctness of the so-called Chomsky's approach in linguistics that claims for innateness of language. Since this approach has been one of the dominant research paradigms in linguistics for years, the recent advances in NLP are expected to have a significant impact on the future of linguistics as a scientific field. Unfortunately, there has not been any constructive dialogue on these issues: computer scientists are not interested in theorizing but in problem-solving and as a rule, they do not participate in linguistic discussions; there has been only an exchange (mainly on the *lingbuzz* archive) between psychologists / neuroscientists (Piantadosi 2023) and linguists (Chomsky et al., 2023; Katzir, 2023; Moro et al., 2023; Rawski and Baumont, 2023; Sauerland, 2023). In this exchange, one thing has become clear: linguists do not understand LLMs as an opportunity to see language from a novel perspective. For example:

- If ChatGPT can understand and generate language based only on form (a linear sequence of words in a prompt), form and meaning in language should be in a perfect relationship. As ChatGPT prompts are longer than a word, often even longer than a sentence, the perfect relationship between meaning and form should be visible only if one considers long sequences of words (tokens); tokenization, specifically the Byte Pair Encoding (BPE) algorithm used in LLMs, is introduced in Section 2 below.
- If ChatGPT does not rely on hierarchically organized *trees*, though the latter are a common data structure in CS, this could be an indication that, most probably, there is some problem with the trees in Chomsky's approach (linguistic trees have an unnatural direction of growth -- from leaves to the root, which is the opposite to how trees grow in CS, see the discussion in Manova, 2022).

- ChatGPT was launched in 2022 and is fluent in an impressive number of languages, Chomsky’s approach celebrated 50 years of linguistics at MIT in 2011 but still cannot generate fluent language. This situation could only mean that, most probably, Chomsky’s theory is unnecessarily complex. As for the millions of parameters in a LLM, just think of the number of neural networks (human brains)<sup>1</sup> Chomsky’s approach has had at its disposal in the years. Complexity is discussed in Section 3 below, see also Manova (2022) in which a ridiculously simple model based on linear structures such as bigrams and trigrams appears more efficient than a syntactic model with hierarchical trees.

Since Chomsky’s approach, among other things, made possible the introduction of the syntax-based Distributed Morphology (Halle and Marantz, 1993; Harley and Noyer, 1999; Embick and Noyer, 2007; Bobaljik, 2017), all the above issues are highly relevant to a morphological event such as *DeriMo 2023: Resources and tools for derivational morphology*, for the proceedings of which this text is meant. Thus in what follows, my focus is on derivational morphology. In Section 4, I demonstrate a form-based analysis of word-formation in two typologically distinct languages, English (with very poor inflectional morphology) and Polish (with very rich inflection) and report the results of a psycholinguistic experiment with native speakers of the two languages. In Section 5 conclusions are drawn and missing resources for research on derivational morphology identified.

## 2 Subword units

ChatGPT uses `tiktoken` (<https://github.com/openai/tiktoken>), a BPE tokenizer. BPE is a subword-based tokenization algorithm and as such can discover “common subwords”, e.g. pieces such as “ing” in English. A demonstration of tokenization at: <https://platform.openai.com/tokenizer>. A LLM, as a rule, operates with a modified BPE and its vocabulary comprises the following types of tokens: single (unique) characters, subword units, whole words, single digits and other special characters. Roughly, similar to what has been established in psycholinguistic research, highly frequent and highly rare pieces of form (tokens) are listed in the LLM vocabulary. ChatGPT has a fixed-size vocabulary of tokens, `cl100k_base`. It has to be noted that the model actually works with numbers, click on token IDs in the tokenization demonstration, the URL just given: a prompt is encoded into a sequence of numbers, when the task is solved, the output is decoded and numbers are again turned into language. Subword units and whole words that are part of the vocabulary are established in terms of the most frequent sequence of adjacent characters in a n-gram manner: unique characters are unigrams and as such are listed; highly frequent combinations of two characters (tokens) are bigrams, of three characters -- trigrams, etc. Thus, the tokenization is entirely form-based and does not pay any attention whatsoever to semantics (cf. Manova et al., 2020, on from-form-to-meaning versus from-meaning-to-form analyses in morphology, e.g. Distributed Morphology (references in Section 1) and Paradigm Function Morphology (Stump, 2001, 2016; Stump and Finkel, 2013; Bonami and Stump, 2017) are both from-meaning-to-form). LLM tokens (subword units) do not necessarily coincide with morphemes, though the most frequent combinations of adjacent characters can be expected to form either morphemes or words.

Unlike subword tokenization, current studies on and resources for derivational morphology are semantics-based: they operate with word families (Bauer and Nation, 1993, among others), word-formation nests (Burkacka, 2015, and references therein), derivational paradigms (Bonami and Strnadová, 2019; Hathout and Namer, 2019, and references therein), derivational networks (Körtvélyessy et al., 2020), blocking (Aronoff, 1976; Rainer, 2016, and references therein), affix rivalry (Huyghe and Varvara, 2023, and references therein).<sup>2</sup>

---

<sup>1</sup> The human brain is a neural network with an unknown number of parameters, see Kozachkov et al. (2023) on how one can “build Transformers using biological computational units”.

<sup>2</sup> Curiously enough, in morphological theory even the definition of *morpheme*, a purely morphological form hard to account for in terms of meaning, involves reference to semantics (Aronoff, 1994; Maiden, 2004; Luis and Bermúdez-Otero, 2016; Herce, 2023, among others).

The relevant question is now: Could it be that a model of derivational morphology that relies on form is less complex than a model that relies on semantics? To answer this question, we should first clarify *complexity*.

### 3 Complexity

In science, a problem often allows for different solutions. The so-called *Big O* notation serves for assessment of the complexity of those solutions in mathematics and CS. The *Big O* notation tells us how an algorithm slows as data grow. That is, complexity is not a property of data (which is the case in linguistics), but of the algorithm (analysis). As an illustration let me evaluate two solutions of a task. Note that the example is meant to help linguists understand the logic of the concept of complexity and is an oversimplification. In CS, the *Big O* notation evaluates the complexity of functions.

*Problem:* Calculate the sum of the numbers from 1 to 100.

*Solution 1:* 1+2+3, and so on to 100, i.e. 99 summations are necessary to calculate the sum.

Let us check the behavior of this solution as data grow, e.g. let us increase the amount of the data from 100 to 1000. Following the idea of Solution 1, to calculate the sum of the numbers from 1 to 1000, we have to perform 999 summations. That is, with the growth of the data, more effort is required to come to a solution.

*Solution 2:* Based on the observation made by the young Gauss that  $100+1 = 99+2 = 98+3$ , and so on to  $51+50$ , we can calculate the sum of the numbers from 1 to 100 in two steps: the first step involves addition, the second consists in multiplication:  $(1+100)*50=5050$ . An increase of the amount of the data from 100 to 1000, does not change the algorithm and we can still calculate the sum of the numbers from 1 to 1000 in two steps:  $(1+1000)*50= 500500$ .

Both Solution 1 and Solution 2 give the same result, but the first solution is complex and therefore uninteresting, while Gauss's solution is simple and elegant and has been used as a formula for the sum of an arithmetic progression ever since.

How does all this relate to ChatGPT and research in derivational morphology? The ChatGPT approach to language relies on surface forms (for convenience, I will speak of 'phonological information'), see Rule 1; while a linguistics approach usually relies on semantics, see Rule 2.

*Rule 1, form-based:* If a word A ends in *-a*, attach the suffix B to it.

*Rule 2, semantics-based:* If X is a particular type of a verb (e.g. an action verb), derive a particular type of a noun Y (e.g. an agent) by the attachment of the productive suffix Z (e.g. *-er*)?

Now, the information on which Rule 1 relies is not language-specific and is directly available: for the word A we have to evaluate whether it terminates in *-a* or not. The semantic information on which Rule 2 relies requires additional effort to be discovered and Rule 2 is also language-specific, in the sense that we need some knowledge of the language from which the data come in order to apply this rule. Then, Rule 1 consists of two steps: i) we have to check whether A ends in *-a* and if yes, ii) to attach the suffix B. Rule 2 involves the following steps: a) evaluation whether a word is a verb; if yes, b) we have to ensure that the verb is of the type we need (an action verb); afterwards c) addition of the productive suffix *-er* to derive an agent noun, if d) the derivation is possible, because e.g. *to edit* is an action verb but does not co-occur with *-er* (moreover, according to linguistic theory *to edit* is a backformation from *editor*, Manova, 2011a). Therefore, we conclude that Rule 2 is more complex than Rule 1.

Before moving to Section 4, in which I demonstrate a form-based analysis of derivational morphology, let us have a look at (1) and (2) which illustrate Rule 1 with real data, from Bulgarian (Slavic). (1) and (2) are not derivational morphology, but a similar rule, though less impressive, for derivation of diminutives is given in Section 4. Bulgarian has a suffixal definite article and indefinite nouns and adjectives in this language may end in *-a*. If semantics is considered, there should be four different *-a* morphemes, cf. the morphosyntactic feature values in (1) and (2), where all *-a* morphemes

are bolded and indexed for convenience. The four different *-a* morphemes all select the definite article *-ta* (Manova and Dressler, 2001), though the article has allomorphs, see *selo* ‘village’ in (1d).

- (1) Nouns: indefinite → definite
- a. sg.fem: *bluz-a<sub>1</sub>* ‘blouse’ → *bluz-a<sub>1</sub>-ta* ‘the blouse’
  - b. sg.masc: *bašt-a<sub>2</sub>* ‘father’ → *bašt-a<sub>2</sub>-ta* ‘the father’
  - c. pl.neut: *sel-a<sub>3</sub>* ‘villages’ → *sel-a<sub>3</sub>-ta* ‘the villages’
  - d. cf. sg.neut: *sel-o* ‘village’ → *sel-o-to* ‘the village’
- (2) Adjectives: indefinite → definite
- sg.fem: *krasiv-a<sub>4</sub>* ‘beautiful’ → *krasiv-a<sub>4</sub>-ta* ‘the beautiful’

#### 4 A form-based analysis of derivational morphology

Undoubtedly, English is the language with the most profoundly studied derivational morphology. (Overviews of research on derivational morphology from a cross-linguistic perspective in Lieber and Štekauer, 2014; Plag and Balling, 2016; and Lieber, 2017). While more recent studies analyze English word-formation based primarily, if not exclusively, on semantics (Lieber, 2004, among many others), previous research known as the *Stratal approach* (Siegel, 1974; Selkirk, 1982; Kiparsky, 1982) is form-focused, see (3): based on phonological information (see the different types of juncture marked by ‘+’ and ‘#’ respectively) forms of affixes are distributed into different strata (classes) so that class II affixes are always outside class I affixes in the word-form.

- (3) English: Stratal approach, from Spencer (1991:79)
- a. Class I suffixes: *+ion, +ity, +y, +al, +ic, +ate, +ous, +ive, +able, +ize*
  - b. Class I prefixes: *re+, con+, de+, sub+, pre+, in+, en+, be+*
  - c. Class II suffixes: *#ness, #less, #hood, #ful, #ly, #y, #like, #ist, #able, #ize*
  - d. Class II prefixes: *re#, sub#, un#, non#, de#, semi#, anti#*

Another example of a form-focused analysis is Fabb (1988). This study distributes the English suffixes into four groups as shown in (4):

- (4) English: Suffix-driven selectional restrictions (Fabb 1988)
- a. Group 1: suffixes that do not attach to already suffixed words
  - b. Group 2: suffixes that attach outside one other suffix
  - c. Group 3: suffixes that attach freely
  - d. Group 4: problematic suffixes

An alternative, form-focused analysis recognizes closing suffixes: a particular suffixal form cannot be followed by other suffixes in a language, Szymanek (2000) for English (and Polish), see also Aronoff & Fuhrhop (2002). Closing suffixes have been established in a number of languages, Manova (2015b) is an overview of research on the topic.

Another highly relevant observation regarding the order of English derivational suffixes is reported in Manova (2011b) and Manova and Knell (2021). Manova (2011b) sees derivational suffix combinations as binary structures of the type SUFF1-SUFF2, where SUFF1 has three valency positions for further suffixation: SUFF2<sub>Noun</sub>, SUFF2<sub>Adjective</sub> and SUFF2<sub>Verb</sub>. The idea of this distribution of outputs according to the lexical-category specification of SUFF2 is based on a mathematical method, Gauss-Jordan elimination. This method serves for solving systems of linear equations numerically, that is, only with the help of elementary operations such as substitution, addition or multiplication. (5) is an example of a system of linear equations.

- (5)
- $$\begin{aligned} 2x + y + 2z &= 10 \\ x + 2y + z &= 8 \\ 3x + y - z &= 2 \end{aligned}$$

The goal of Gauss-Jordan is, based only on well-known facts and elementary operations with them, to come to a single option for a variable (the unknown);  $x$ ,  $y$  and  $z$  are the variables in (5). If there is only one option for a variable, this option is the solution to the problem.

With respect to affix order in derivation, the well-known information is information about the lexical category specification of an affix, i.e. whether the affix derives nouns (N), adjectives (A) or verbs (V); a single option for a variable means one affix combination of a kind, i.e. a one-to-one mapping of form and meaning. As can be seen from Table 1, this method allows data to be distributed so that in most cases there is one option of a kind, see for N ( $-ist_N-dom_N$ ) and for V ( $-ist_N-ize_V$ ). I label such combinations *fixed*.

SUFF1	Lexical category of SUFF1	SUFF2 classified for lexical category; in brackets, number of types (lemmas) derived with the combination SUFF1-SUFF2	
$-ist$	N	N: $-dom$ (2)	[ <i>fixed combination</i> ]
		A: <b><math>-ic</math> (631)</b> , $-y$ (5)	[ <b><i>predictable combination</i></b> ]
		V: $-ize$ (3)	[ <i>fixed combination</i> ]

Table 1: Combinability of the English suffix  $-ist$   
(data from Aronoff and Fuhrhop, 2002, based on OED, CD, 1994)

If more than one SUFF2 of the same lexical category is available (see for A in Table 1), one of the SUFF2 suffixes attaches by default, suffix  $-ic_A$  in our case: in English, the combination  $-ist_N-ic_A$  derives 631 types, while  $-ist_N-y_A$  derives only 5 types. I therefore classify  $-ist_N-ic_A$  as a *predictable combination*. Regarding default suffixes, having counted suffix combinations in large dictionaries and corpora for different languages, Manova (2011, 2015), Manova and Talamo (2015), and Manova and Knell (2021) maintain that a default suffix derives more than ten types, while SUFF2 suffixes that compete with the default suffix derive ten or fewer types each. Thus, default suffixes are also seen as productive.

Table 2 applies the logic of Gauss-Jordan to a more complex case, the combinability of the Polish suffix  $-arz$ .<sup>3</sup> Polish, unlike English, is an inflecting fusional language and derivational suffixes are often followed by inflection, i.e. in Polish the inflection is obligatory for the well-formedness of a word. All inflectional suffixes in Table 2 are in brackets. Descriptions and analyses of Polish derivational morphology by Polish scholars, as a rule, give derivational suffixes together with the inflection that follows them, either in brackets, as done in Table 2, or unmarked, as a single suffix with the derivational one,  $-n(y)$  or  $-ny$ , respectively; see the first adjectivizing SUFF2 in Table 2. (For a semantics-based analysis of the combinability of Polish derivational suffixes, see Burkacka, 2015; see also the discussion of Polish word-formation in Szymanek, 2010.)

As shown in Table 2, the suffix  $-arz$  combines with more than one adjectivizing SUFF2 and a set of nominalizing SUFF2 suffixes. While for the derivation of adjectives, there is only one default suffix,  $-sk(i)$  ( $>10$ ), three different nominalizing suffixes that derive more than ten types can follow the suffix  $-arz$ :  **$-czyk$**  ( $>10$ ),  **$-ni(a)$**  ( $>10$ ) and  **$-stw(o)$**  ( $>10$ ), all bolded in Table 2 for convenience. The existence of three productive (default) suffixes of the same type (nominalizers) all “competing” for  $-arz$  seems to challenge my analysis. Note, however, that the three competing suffixes differ in both form and meaning:  $-czyk$  ( $>10$ ), default for derivation of persons;  $-ni(a)$  ( $>10$ ), default for derivation of places; and  $-stw(o)$  ( $>10$ ), default for abstract/collective nouns. That is, no suffix homophony is involved (homophony is a problem for any form-based analysis). I therefore conclude that all suffix combinations in Table 2 are predictable.

Considering the fact that derivational suffixes in English and Polish seem to form only fixed and predictable combinations, I hypothesized that native speakers should have memorized them and, consequently, should be able to produce them without reference to meaning, that is, based exclusively on form. To test this hypothesis, I designed a psycholinguistic experiment the results of which are reported below. Due to the limited length of this paper, here I present only the results of the native

<sup>3</sup> I thank Bartosz Brzoza for his help with the Polish data.

speakers of English and Polish, but the experiment was also conducted with native speakers of German, Italian, Spanish and Slovene, and with advanced non-native speakers of English and German. Overall, the results of all iterations converge. (For curious readers, the scores of the non-native speakers of English are reported in Manova and Knell, 2021; the scores of the native and non-native speakers of German can be found in Brosche and Manova, 2022).

SUFF1	Lexical category of SUFF1	Lexical category of SUFF2	SUFF1-SUFF2 exemplified	Notes
<b>-arz</b>	N	i. ADJ: <i>-n(y)</i> (2)	<i>moc-ar-n(y)</i> ‘strong’	[derives only 2 adjectives]
		ii. ADJ: <i>-ow(y)</i> (1)	<i>gęśl-arz-ow(y)</i> ‘of fiddler’	[derives a single adjective]
		iii. <b>ADJ: <i>-sk(i)</i> (&gt;10)</b>	<i>pis-ar-sk(i)</i> ‘of writer’	<b>[default for derivation of adjectives]</b>
		a. <b>N: <i>-czyk</i> (&gt;10)</b>	<i>piek-ar-czyk</i> ‘baker’s apprentice’	<b>[default for derivation of persons, cf. f]</b>
		b. N: <i>-k(a)</i> (2)	<i>mur-ar-k(a)</i> ‘bricklaying’	[derives only 2 abstract nouns, cf. e]
		c. <b>N: <i>-ni(a)</i> (&gt;10)</b>	<i>kreśl-ar-ni(a)</i> ‘drafting studio’	[derives nouns for <b>places</b> ]
		d. N: <i>-nik</i> (1)	<i>piek-ar-nik</i> ‘oven’	[derives a single <b>object</b> ]
		e. <b>N: <i>-stw(o)</i> (&gt;10)</b>	<i>księg-ar-stw(o)</i> ‘all booksellers’	<b>[default abstract/collective nouns, cf. b]</b>
		f. N: <i>-yn(a)</i> (5)	<i>mur-arz-yn(a)</i> ‘bad bricklayer’	[derives only 5 nouns for persons, cf. a]

Table 2: Combinability of the Polish suffix *-arz*

### Method

64 native Polish speakers and 45 native English speakers were tested, they all participated on a voluntary basis. The questionnaire presented to them consisted of three parts:

- A series of general demographic questions regarding age, gender, nationality, native language(s), other languages spoken, level of education, and experience in a linguistic or other language-related field.
- A small practice to ensure that the participants understood the task properly. The training examples were not part of the test stimuli.
- The main task: 60 suffix combinations (e.g. *-istic* in English, *-arny* in Polish) were presented in a randomized order, and participants were asked to decide intuitively, as quickly as possible, which of the combinations exist and which do not exist as word terminations in the respective language. Of the 60 combinations, 30 exist in the respective language and 30 do not. Of the existing combinations, 15 were productive and 15 unproductive. Of the non-existing combinations, 15 were created from a permutation of an existing combination (reversing the order of the two suffixes such that the combination was not possible in English), and 15 were created through a spelling manipulation of an existing combination (changing one letter from an existing combination such that the new form does not exist in the respective language). No non-existing combinations included any phonological and/or orthographical impossibilities in the respective language. Participants were given a 10-minute

time limit to complete the main task. (On average, the subjects used approximately one third of the time.)

### Data Analysis

We used independent t-tests to consider possible significance of overall scores, as well as for stimulus type: existing vs. non-existing and productive vs. unproductive combinations. Figure 1 presents the results of the native speakers of English and Polish.

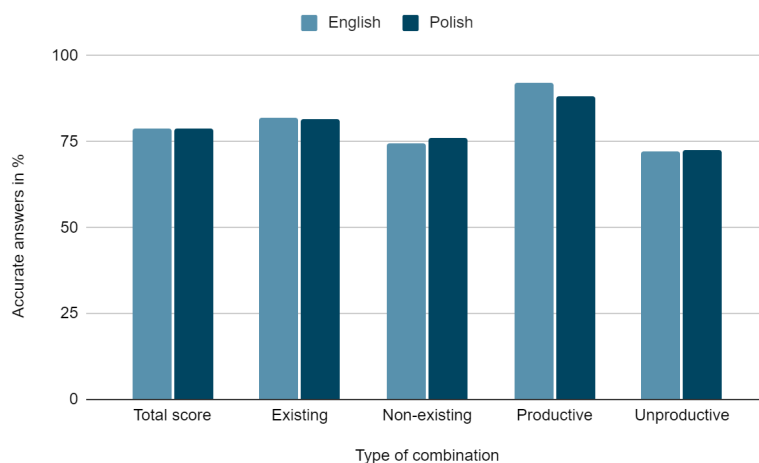


Figure 1: Native speakers' accuracy of recognition of the 60 suffix combinations tested in the experiment (only statistically significant results). Total score = correct answers for all types of suffix combinations tested: existing combinations of two types (productive and unproductive) and non-existing of two types (permutations and manipulations, see Method).

The participants in the experiment did not need semantic cues to process suffix combinability, i.e. they could differentiate between existing and non-existing suffix combinations presented to them without lexical bases such as roots/stems/words. Statistically significant were the differences between existing and non-existing combinations, and between productive and unproductive combinations. As already mentioned, English and Polish differ typologically, in the sense that English has very poor inflectional morphology, while Polish is characterized by a very rich inflectional system. Nevertheless, the results obtained for the two languages are virtually the same, the total score of the correct answers for English is 79% and 78.86% for Polish (Figure 1), though combinations of three suffixes (trigrams, the case of Polish where two derivational suffixes are often followed by inflection) should be easier to recognize than combinations of two suffixes (bigrams, the case of English derivational suffix combinations). In other words, inflection did not seem to have an impact on the processing on suffix combinability in derivation. I therefore conclude that native speakers of Polish see inflection as forming a natural subword unit with the derivational material that precedes it.

Since suffix combinability is not taught at school and all linguistic theories assume that a morphological derivation always starts with a root/stem, depending on the theory, the only plausible explanation why native speakers of English and Polish successfully accomplished a task they should not be able to solve is that they had subconsciously extracted and memorized adjacent suffixes in terms of bigrams<sup>4</sup> and trigrams, during language acquisition (cf. the training of ChatGPT). Further support to the conclusion that adjacent derivational and inflectional suffixes should be treated together provides Polish diminutive morphology. Polish, like the other Slavic languages (Manova 2015a), derives second-grade diminutives the forms of which contain a sequence of two adjacent diminutive suffixes, bolded in the following example : *dom* 'house' → DIM1 *dom-ek* 'small house' → DIM2 *dom-ecz-ek* 'very small house'. Table 3 presents the combinability of the nominal diminutive suffixes in Polish. The selection of the second diminutive suffix entirely depends on the phonological make-up of the first diminutive suffix: a DIM1 suffix in -C is always followed by a DIM2 suffix in -C, a DIM1 suffix in -a is always followed by a DIM2 suffix in -a, and a DIM1 suffix in -o is always

<sup>4</sup> Analyses of affix order in terms of bigrams are proposed in Ryan (2010) and Mansfield et al. (2020).

followed by a DIM2 suffix in *-o*, see Table 3. For the sake of completeness, both *-a* and *-o* are inflection. The selection of the DIM1 suffix is also form-driven in all but one case: the unproductive class of the feminine-gender nouns in *-C* selects DIM1 suffix based not on phonology but on gender, see “Nouns in *-C*” in Table 3. (In Polish, the default ending for feminine nouns is *-a*.)

	DIM1 suffixes	DIM2 suffixes	
Nouns in		Productive (attach by addition)	Unproductive (attach by substitution of a DIM1 suffix, i.e. do not combine with DIM1 suffixes)
<i>-C</i>	<i>-ek</i> <i>-ik / -yk</i> <i>-uszek</i> (unproductive) <i>-iszek / -yszek</i> (unproductive) <i>-aszek</i> (unproductive) <i>-ulek</i> (unproductive) <i>-ka</i> (unproductive, selects feminine nouns)	<i>-ek</i>	<i>-uszek, -aszek</i>
<i>-a</i>	<i>-ka</i> <i>-uszka</i> (unproductive) <i>-iczka / -yczka</i> (unproductive)	<i>-ka</i>	
<i>-o / -e</i>	<i>-ko</i> <i>-uszko</i> (unproductive)	<i>-ko</i>	

Table 3: Combinability of the DIM suffixes in Polish (from Manova & Winzernitz 2011)

## 5 Conclusion

Based on the BPE algorithm used for tokenization in LLMs, a mathematical method for problem solving, the so-called Gauss-Jordan elimination, and previous research on affix order (by other authors and my own), I put forward the idea of form-based analysis of derivational morphology and illustrated it with data from two typologically distinct languages, English with very poor inflectional morphology, and Polish with very rich inflection. A psycholinguistic experiment with native speakers of Polish and English confirmed the correctness of the proposal. Native speakers do not need semantic cues to process affix ordering in derivation. They seem to have memorized linearly adjacent affixes, be they derivational or inflectional, as bigrams and trigrams, without reference to semantics, which is exactly what happens during the subword-based tokenization in a LLM. Since morphology works with units of a very small length, the form-meaning correspondences in my analysis (and in (derivational) morphology in general) are not perfect, cf. the long sequences of form used in ChatGPT where form and meaning appear to be in a perfect one-to-one relationship. Nevertheless, a flexible approach, such as the one demonstrated in this paper, i.e. an approach operating with defaults and a fixed reasonable number of exceptions (ten or fewer exceptions in my analysis; exceptions are derived items which due to very low type-productivity should be rote-learned) successfully derives new words from already suffixed ones in English and Polish. Future research is needed to see how this approach works with unsuffixed bases.<sup>5</sup> In this endeavor, form-focused (preferably cross-linguistic) resources for (derivational) morphology providing information about word structure in terms of bigrams and trigrams of morphemes (linear sequences of adjacent subword units) will be essential. Such resources currently do not exist. Thus, claims that ChatGPT does not reflect human-like language processing in morphology (and not only) are, most probably, due to the lack of linguistic research that adopts a ChatGPT perspective on language.

<sup>5</sup> “Automatically discovered set of derivation rules” in Ševčíková and Žabokrtský (2014) can be seen as a step in this direction, as well as Manova (2011a) which is a structural, i.e. form-based, analysis of conversion and subtraction, with a focus on the derivational base. See also Unsupervised Learning of Morphology, Hammarström and Borin (2011).



## References

- Aronoff, Mark. 1976. *Word Formation in Generative Grammar*. MIT Press, Cambridge, Ma.
- Aronoff, Mark. 1994. *Morphology by itself: Stems and Inflectional Classes*. MIT Press, Cambridge, Ma.
- Aronoff, Mark, and Nanna Fuhrhop. 2002. Restricting Suffix Combinations in German and English: Closing Suffixes and the Monosuffix Constraint. *Natural Language and Linguistic Theory* 20: 451-490.
- Bauer, Laurie, and Paul Nation. 1993. Word Families. *International Journal of Lexicography* 6: 253-279.
- Bobaljik, Jonathan D. 2017. Distributed Morphology. *Oxford Research Encyclopedia in Linguistics*, Oxford: Oxford University Press, <https://doi.org/10.1093/acrefore/9780199384655.013.131>.
- Bonami, Olivier, and Gregory Stump. 2017. Paradigm Function Morphology. In Andrew Hippisley and Greg Stump, editors, *The Cambridge handbook of morphology* Cambridge University Press, Cambridge, pages, 449-481.
- Bonami, Olivier, and Jana Strnadová. 2019. Paradigm structure and predictability in derivational morphology, *Morphology* 29: 167-197.
- Brosche, Kimberly, and Stela Manova. 2022. German Word Formation and the Organization of the Mental Lexicon. *Annual Conference of the Middle European Master Program in Cognitive Science*. Zagreb, June, [https://homepage.univie.ac.at/stela.manova/uploads/1/2/2/4/12243901/poster\\_suffix\\_combinations\\_version.pdf](https://homepage.univie.ac.at/stela.manova/uploads/1/2/2/4/12243901/poster_suffix_combinations_version.pdf)
- Burkacka, Iwona. 2015. Suffix sets in Polish de-nominal derivatives. In Stela Manova, editor, *Affix ordering across languages and frameworks*. Oxford University Press, New York, pages 233-258.
- Chomsky, Noam, Ian Roberts, and Jeffrey Watumull. 2023. Noam Chomsky: The false promise of ChatGPT. *The New York Times*, <https://www.nytimes.com/2023/03/08/opinion/noam-chomsky-chatgptai.html>
- Fabb, Nigel. 1988. English Suffixation is Constrained only by Selectional Restrictions. *Natural Language and Linguistic Theory* 6: 527-539.
- Embick, David, and Rolf Noyer. 2007. Distributed morphology and the syntax/morphology interface. In Gillian Ramchand, and Charles Reiss, editors, *The Oxford Handbook of Linguistic Interfaces*. Oxford University Press, New York, pages, 289-324.
- Halle, Morris, and Alec Marantz. 1993. Distributed morphology and the pieces of inflection. In Kenneth Hale, and Samuel Jay Keyser, editors, *The view from building 20*. MIT Press, Cambridge, Ma, pages 111-176.
- Hammarström, Harald, and Lars Borin. 2011. Unsupervised Learning of Morphology. *Computational Linguistics* 37 (2): 309-350. doi: [https://doi.org/10.1162/COLI\\_a\\_00050](https://doi.org/10.1162/COLI_a_00050)
- Harley, Heidi, and Rolf Noyer. 1999. State-of-the-article: Distributed Morphology. *GLoT International* 4: 3-9.
- Hathout, Nabil, and Fiammetta Namer. 2019. Paradigms in word formation: what are we up to? *Morphology* 29, 153-165.
- Herce, Borja. 2023. *The Typological Diversity of Morphemes: A Cross-Linguistic Study of Unnatural Morphology*. Oxford University Press, Oxford.
- Huyghe, Richard, and Rossella Varvara. 2023. *Affix rivalry: Theoretical and methodological challenges*. *Word Structure* 16: 1-23.
- Katzir, Roni. 2023. Why large language models are poor theories of human linguistic cognition. A reply to Piantadosi (2023), [lingbuzz/007190](https://lingbuzz/007190)
- Kiparsky, Paul. 1982. Lexical Morphology and Phonology. In *The Linguistic Society of Korea, Linguistics in the Morning Calm*. Hanshin Publishing Co, Seoul, pages 1-91.
- Kozachkov, Leo, Ksenia V. Kastanenko, and Dmitry Krotov. 2023. Building transformers from neurons and astrocytes. *Proceedings of the National Academy of Sciences (PNAS)*, Vol. 120 No. 34, pages = e2219150120, <https://doi.org/10.1073/pnas.221915012>
- Körtvélyessy, Livia, Bagasheva, Alexandra and Štekauer, Pavol. 2020. *Derivational Networks Across Languages*, De Gruyter Mouton, Berlin, Boston.
- Lieber, Rochelle. 2004. *Morphology and lexical semantics*. Cambridge: Cambridge University Press.
- Lieber, Rochelle. 2017. Derivational Morphology. *Oxford Research Encyclopedia of Linguistics*. <https://oxfordre.com/linguistics/view/10.1093/acrefore/9780199384655.001.0001/acrefore-9780199384655-e-248>. Retrieved 17 Sep. 2023.
- Lieber, Rochelle, and Pavol Štekauer. 2014. *The Oxford handbook of derivational morphology*. Oxford University Press, Oxford.
- Luis, Ana R., and Ricardo Bermúdez-Otero, editors, 2016. *The morpheme debate*. Oxford University Press, Oxford.
- Maiden, Martin. 2004. Morphological autonomy and diachrony. *Yearbook of Morphology* 2004: 137-175.
- Manova, Stela. 2011a. *Understanding morphological rules*. Springer, Dordrecht.
- Manova, Stela. 2011b. A cognitive approach to SUFF1-SUFF2 combinations: A tribute to Carl Friedrich Gauss. *Word Structure* 4: 272-300.
- Manova, Stela. 2015a. Affix order and the structure of the Slavic word. In Stela Manova, editor, *Affix Ordering Across Languages and Frameworks*. Oxford University Press, New York, pages 205-230.

- Manova, Stela. 2015b. Closing suffixes, In P. Müller, I. Ohnheiser, S. Olsen, and F. Rainer, editos, *Word-Formation in the European Languages*. Vol. 2, Handbooks of Linguistics and Communication Science (HSK) 40/2. De Gruyter Mouton, Berlin, pages 956-971.
- Manova, Stela. 2022. The linear order of elements in prominent linguistic sequences: Deriving Tns-Asp-Mood orders and Greenberg's Universal 20 with n-grams, [lingbuzz/006082](https://lingbuzz/006082)
- Manova, Stela. 2023. Ordering restrictions between affixes. In Peter Ackema, Sabrina Bendjaballah, Eulàlia Bonet, and Antonio Fábregas, editors, *The Wiley Blackwell Companion to Morphology*. John Wiley & Sons, Hoboken, NJ. DOI: [10.1002/9781119693604.morphcom058](https://doi.org/10.1002/9781119693604.morphcom058)
- Manova, Stela, and Wolfgang U. Dressler. 2001. Gender and Declensional Class in Bulgarian. *Wiener Linguistische Gazette* 67-69: 45-81.
- Manova, Stela, and Mark Aronoff. 2010. Modeling affix order. *Morphology* 20: 109-131.
- Manova, S., and Kimberley Winternitz. 2011. Suffix Order in Double and Multiple Diminutives: With Data from Polish and Bulgarian. *Studies in Polish Linguistics* 6: 115-138.
- Manova, Stela, and Luigi Talamo. 2015. On the Significance of the Corpus Size in Affix-Order Research. *SKASE Journal of theoretical linguistics* 12: 369-397.
- Manova, Stela, Harald Hammarström, Itamar Kastner, and Yining Nie. 2020. What is in a morpheme? Theoretical, experimental and computational approaches to the relation of meaning and form in morphology. *Word Structure* 13: 1-21.
- Manova, Stela, and Georgia Knell. 2021. Two-suffix combinations in native and non-native English: Novel evidence for morphomic structures. In Sedigheh Moradi, Marcia Haag, Janie Rees-Miller, and Andrija Petrovic, editors, *All things morphology: Its independence and its interfaces*. Benjamins, Amsterdam, pages 305-323.
- Mansfield, John, Sabine Stoll, and Balthasar Bickel. 2020. Category clustering: A probabilistic bias in the morphology of verbal agreement marking. *Language* 96: 255-293.
- Moro, Andrea, Matteo Greco, and Stefano F. Cappa. 2023. Large languages, impossible languages and human brains. *Cortex* 167: 82-85.
- OpenAI. 2023. GPT-4 Technical Report. [arXiv:2303.08774](https://arxiv.org/abs/2303.08774) [cs.CL], accessed July 1st, 2023.
- Piantadosi, Steven. 2023. Modern language models refute Chomsky's approach to language, [lingbuzz/007180](https://lingbuzz/007180)
- Plag, Ingo, and Laura Balling. 2016. Derivational morphology: An integrative perspective on some fundamental questions. In Pirelli, Vito, Ingo Plag, and Wolfgang U. Dressler, editors, *Word knowledge and word usage: A cross-disciplinary guide to the mental lexicon*. De Gruyter, Berlin, pages 295-335.
- Rainer, Franz. 2016. Blocking. *Oxford Research Encyclopedia of Linguistics*. Retrieved 19 Sep. 2023, from <https://oxfordre.com/linguistics/view/10.1093/acrefore/9780199384655.001.0001/acrefore-9780199384655-e-33>.
- Rawski, Jon, and Lucie Baumont. 2023. Modern Language Models Refute Nothing, [lingbuzz/007203](https://lingbuzz/007203).
- Ryan, Kevin M. 2010. Variable affix order: Grammar and learning. *Language* 86: 758-91.
- Sauerland, Uli. 2023. The Impact of Large Language Models on Linguistic Theory and Generative Grammar: A Critical Analysis, [lingbuzz/007217](https://lingbuzz/007217).
- Selkirk, Elisabeth. 1982. *The Syntax of Words*. MIT Press, Cambridge, Ma.
- Siegel, Dorothy. 1974. *Topics in English Morphology*. MIT Press, Cambridge, Ma.
- Spencer, A. 1991. *Morphological Theory*. Oxford: Blackwell Publishers.
- Stump, Gregory. 2001. *Inflectional morphology: A theory of paradigm structure*. Cambridge University Press, Cambridge.
- Stump, Gregory. 2016. *Inflectional paradigms: Content and form at the syntax-morphology interface*. Cambridge University Press, Cambridge.
- Stump, Gregory, and Raphael A. Finkel. 2013. *Morphological Typology: From Word to Paradigm*. Cambridge University Press, Cambridge.
- Szymanek, Bogdan. 2000. On morphotactics: Closing morphemes in English. In Bożena Rozwadowska, editor, *PASE Papers in Language Studies*, Aksel, Wrocław, pages 311-320.
- Szymanek, Bogdan. 2010. *A panorama of Polish word-formation*. Wydawnictwo KUL, Lublin.
- Ševčíková, Magda, and Zdeněk Žabokrtský. 2014. Word-formation network for Czech. In Nicoletta Calzolari et al., editors, *Proceedings of the 9th International Language Resources and Evaluation Conference (LREC 2014)*. ELRA, Paris, pages 1087-1093, [http://www.lrec-conf.org/proceedings/lrec2014/pdf/501\\_Paper.pdf](http://www.lrec-conf.org/proceedings/lrec2014/pdf/501_Paper.pdf).

# ChatGPT, n-grams and the power of subword units: The future of research in morphology

**Stela Manova**

[manova.stela@gmail.com](mailto:manova.stela@gmail.com)

4th International Workshop on Resources and Tools for Derivational Morphology  
Dubrovnik, October 5, 2023

# What this talk is about

- Challenging times for linguistics:
  - Generative Pre-trained Transformers (GPT), large language models (LLM), versus linguistic theory;
  - innateness of language versus AI, i.e. humans versus machines;
  - linear versus hierarchical organization of language structure;
  - theory versus application.
- I address the challenges by explaining how linguists can learn from them;
- Why (derivational) morphology is in a privileged position in comparison to other linguistic (sub)fields, cf. Byte Pair Encoding (BPE);
- I identify missing resources for the study of derivational morphology.

# Preliminaries: Computer science and NLP vs. linguistic theory

- Significant advances in computer science and NLP in the past ten years or so.
- Generative Pre-trained Transformers (GPT), large language models (LLM), based on artificial neural networks (transformer architecture) and pre-trained on large data sets of unlabeled text entered the field of NLP.
- **A GPT (LLM) does not use grammar of the type known from linguistic theory.**

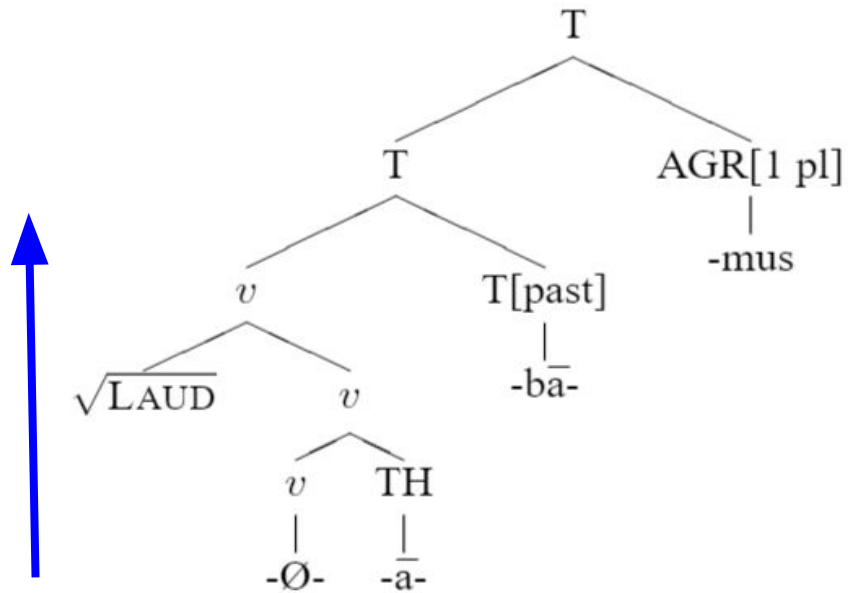
# Preliminaries: Computer science and NLP vs. linguistic theory

- On November 30, 2022, [OpenAI launched ChatGPT](#), a LLM chatbot with a user-friendly interface that was additionally [trained for dialogue with humans](#).
- ChatGPT raises [questions about the future of linguistics](#), specifically of the correctness of the so-called Chomsky's approach that claims for [innateness of language](#); this approach has been one of the dominant research paradigms in linguistics for years.
- Chomsky's approach (and most linguistic framework) assume a [hierarchical organization of language evidenced in terms of syntactic trees](#) (versus a linear analysis in LLMs).
  - What are syntactic trees: representations and/or evidence for internal organization of language?
  - Direction of growth: [in linguistics, trees grow from leaves to the root](#), while [trees in computer science follow the natural direction of growth](#), i.e. from the root to leaves.

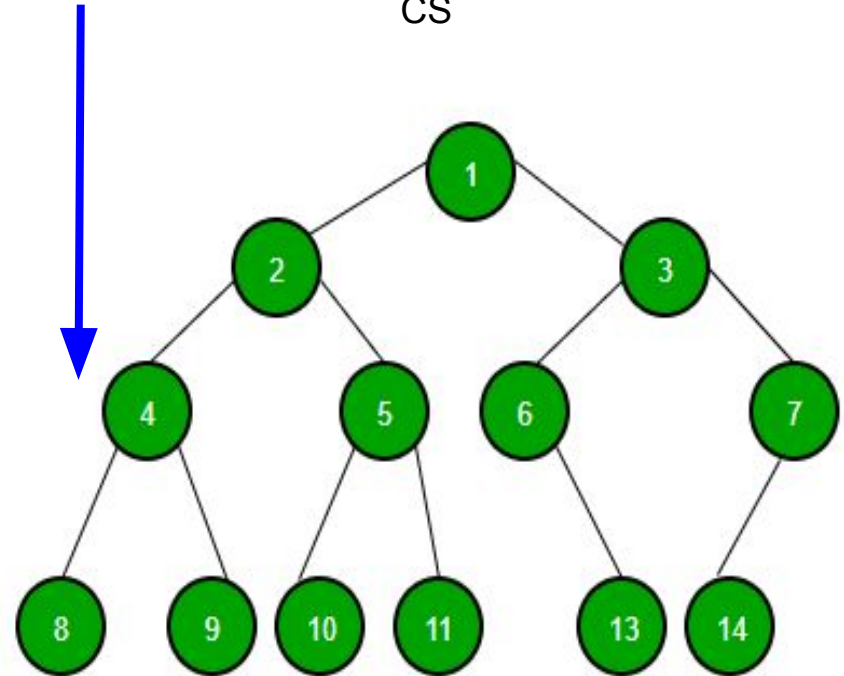
# Rooted binary trees in linguistics and in computer science: Direction of growth

Linguistics (Embick & Noyer 2012)

(19) Structure for *laudābāmus*



CS



Source: <https://www.geeksforgeeks.org/binary-tree-data-structure/>

# Preliminaries: Computer science and NLP vs linguistic theory

- ChatGPT was launched in 2022 and is **fluent in an impressive number of languages**. Chomsky's approach celebrated 50 years of linguistics at MIT in 2011 but still cannot generate fluent language. This situation could only mean that, **most probably, Chomsky's theory (and linguistic theory in general) is unnecessarily complex**.
- ChatGPT can understand and generate language based only on form (a linear sequence of words in a prompt), which implies that **form and meaning in language are in a perfect relationship**. As ChatGPT prompts are longer than a word, often even longer than a sentence, the perfect relationship between meaning and form should be visible only if one considers long sequences of words (tokens); later, I will explain the Byte Pair Encoding (BPE) algorithm that is used for tokenization in LLMs.



# Structure of the talk

- Byte Pair Encoding (BPE) and the role of subword units in NLP
- Complexity in computer science (*Big O* notation) and in linguistics
- Form-focused analysis of derivational morphology
  - A mathematical method, Gauss-Jordan elimination, will be applied to derivational data from English and Polish
  - Psycholinguistic experiment with native speakers of English and Polish
  - Discussion of results and findings
- Conclusion
  - The future of research in (derivational) morphology
  - Missing resources for research on derivational morphology

# Byte Pair Encoding (BPE), Sennrich et al. (2016)

- **Tokenization**: dividing a string of text into a collection of tokens. [ChatGPT uses `tiktoken`, <https://github.com/openai/tiktoken>]
- **Tokens** typically serve as input to vectorization, i.e. tokens are converted into numerical representations for machine learning.
- Byte Pair Encoding (BPE) is a **compression algorithm**: it represents a **large vocabulary with a small set of subword units**.
- BPE **iteratively merges the most frequent pair of consecutive bytes or characters** in a text corpus until a predefined vocabulary size is reached. (ChatGPT uses `cl100K_base`).

Sennrich, Rico, Barry Haddow, and Alexandra Birch. 2016. Neural Machine Translation of Rare Words with Subword Units, [arXiv:1508.07909v5](https://arxiv.org/abs/1508.07909v5) [cs.CL]

# Byte Pair Encoding (BPE)

## Concepts related to BPE

- **Vocabulary:** A set of subword units that can be used to represent a text corpus.
- **Byte:** A unit of digital information that typically consists of eight bits.
- **Character:** A symbol that represents a written or printed letter or numeral.
- **Frequency:** The number of times a byte or character occurs in a text corpus.
- **Merge:** The process of combining two consecutive bytes or characters to create a new subword unit.

Source: <https://www.geeksforgeeks.org/byte-pair-encoding-bpe-in-nlp/>

# BPE: Illustration

**Text corpus:** “ab”, “bc”, “bcd”, “cde” (i.e. consists of four words)

## Step 1: Initialize the vocabulary

Vocabulary = {"a", "b", "c", "d", "e"}

## Step 2: Calculate the frequency of each character (byte)

Frequency = {"a": 1, "b": 2, "c": 3, "d": 2, "e": 1}

## Step 3a: Find the most frequent pair of two characters

The most frequent pair is "bc" with a frequency of 2.

## Step 3b: Merge the pair

Merge "bc" to create a new subword unit "bc".

## Step 3c: Update frequency counts

Update the frequency counts of all the bytes or characters that contain “bc”:

Frequency = {"a": 1, "b": 2, "c": 3, "d": 2, "e": 1, "bc": 2}

## Step 3d: Add the new subword unit to the vocabulary

Add “bc” to the vocabulary:

Vocabulary = {"a", "b", "c", "d", "e", "bc"}

**Repeat steps 3a-3d until the desired vocabulary size is reached.**

Source: <https://www.geeksforgeeks.org/byte-pair-encoding-bpe-in-nlp>

# BPE: Illustration

**Text corpus:** “ab”, “bc”, “bcd”, “cde” (i.e. consists of four words)

## Step 1: Initialize the vocabulary

Vocabulary = {"a", "b", "c", "d", "e"}

## Step 2: Calculate the frequency of each character (byte)

Frequency = {"a": 1, "b": 2, "c": 3, "d": 2, "e": 1}

## Step 3a: Find the most frequent pair of two characters

The most frequent pair is "bc" with a frequency of 2.

## Step 3b: Merge the pair

Merge "bc" to create a new subword unit "bc".

## Step 3c: Update frequency counts

Update the frequency counts of all the bytes or characters that contain “bc”:

Frequency = {"a": 1, "b": 2, "c": 3, "d": 2, "e": 1, "bc": 2}

## Step 3d: Add the new subword unit to the vocabulary

Add “bc” to the vocabulary:

Vocabulary = {"a", "b", "c", "d", "e", "bc"}

**Repeat steps 3a-3d until the desired vocabulary size is reached.**

Source: <https://www.geeksforgeeks.org/byte-pair-encoding-bpe-in-nlp>

# BPE: Illustration

**Text corpus:** “ab”, “bc”, “bcd”, “cde” (i.e. consists of four words)

## Step 1: Initialize the vocabulary

Vocabulary = {"a", "b", "c", "d", "e"}

## Step 2: Calculate the frequency of each character (byte)

Frequency = {"a": 1, "b": 2, "c": 3, "d": 2, "e": 1}

## Step 3a: Find the most frequent pair of two characters

The most frequent pair is "bc" with a frequency of 2.

## Step 3b: Merge the pair

Merge "bc" to create a new subword unit "bc".

## Step 3c: Update frequency counts

Update the frequency counts of all the bytes or characters that contain “bc”:

Frequency = {"a": 1, "b": 2, "c": 3, "d": 2, "e": 1, "bc": 2}

## Step 3d: Add the new subword unit to the vocabulary

Add “bc” to the vocabulary:

Vocabulary = {"a", "b", "c", "d", "e", "bc"}

**Repeat steps 3a-3d until the desired vocabulary size is reached.**

Source: <https://www.geeksforgeeks.org/byte-pair-encoding-bpe-in-nlp>

# BPE: Illustration

**Text corpus:** “ab”, “bc”, “bcd”, “cde” (i.e. consists of four words)

## Step 1: Initialize the vocabulary

Vocabulary = {"a", "b", "c", "d", "e"}

## Step 2: Calculate the frequency of each character (byte)

Frequency = {"a": 1, "b": 2, "c": 3, "d": 2, "e": 1}

## Step 3a: Find the most frequent pair of two characters

The most frequent pair is "bc" with a frequency of 2.

## Step 3b: Merge the pair

Merge "bc" to create a new subword unit "bc".

## Step 3c: Update frequency counts

Update the frequency counts of all the bytes or characters that contain “bc”:

Frequency = {"a": 1, "b": 2, "c": 3, "d": 2, "e": 1, "bc": 2}

## Step 3d: Add the new subword unit to the vocabulary

Add “bc” to the vocabulary:

Vocabulary = {"a", "b", "c", "d", "e", "bc"}

**Repeat steps 3a-3d until the desired vocabulary size is reached.**

Source: <https://www.geeksforgeeks.org/byte-pair-encoding-bpe-in-nlp>

# BPE: Illustration

**Text corpus:** “ab”, “bc”, “bcd”, “cde” (i.e. consists of four words)

## Step 1: Initialize the vocabulary

Vocabulary = {"a", "b", "c", "d", "e"}

## Step 2: Calculate the frequency of each character (byte)

Frequency = {"a": 1, "b": 2, "c": 3, "d": 2, "e": 1}

## Step 3a: Find the most frequent pair of two characters

The most frequent pair is "bc" with a frequency of 2.

## Step 3b: Merge the pair

Merge "bc" to create a new subword unit "bc".

## Step 3c: Update frequency counts

Update the frequency counts of all the bytes or characters that contain “bc”:

Frequency = {"a": 1, "b": 2, "c": 3, "d": 2, "e": 1, "bc": 2}

## Step 3d: Add the new subword unit to the vocabulary

Add “bc” to the vocabulary:

Vocabulary = {"a", "b", "c", "d", "e", "bc"}

**Repeat steps 3a-3d until the desired vocabulary size is reached.**

Source: <https://www.geeksforgeeks.org/byte-pair-encoding-bpe-in-nlp>



# BPE: Illustration

**Text corpus:** “ab”, “bc”, “bcd”, “cde” (i.e. consists of four words)

## Step 1: Initialize the vocabulary

Vocabulary = {"a", "b", "c", "d", "e"}

## Step 2: Calculate the frequency of each character (byte)

Frequency = {"a": 1, "b": 2, "c": 3, "d": 2, "e": 1}

## Step 3a: Find the most frequent pair of two characters

The most frequent pair is "bc" with a frequency of 2.

## Step 3b: Merge the pair

Merge "bc" to create a new subword unit "bc".

## Step 3c: Update frequency counts

Update the frequency counts of all the bytes or characters that contain “bc”:

Frequency = {"a": 1, "b": 2, "c": 3, "d": 2, "e": 1, "bc": 2}

## Step 3d: Add the new subword unit to the vocabulary

Add “bc” to the vocabulary:

Vocabulary = {"a", "b", "c", "d", "e", "bc"}

**Repeat steps 3a-3d until the desired vocabulary size is reached.**

Source: <https://www.geeksforgeeks.org/byte-pair-encoding-bpe-in-nlp>

# BPE: Illustration

**Text corpus:** “ab”, “bc”, “bcd”, “cde” (i.e. consists of four words)

## Step 1: Initialize the vocabulary

Vocabulary = {"a", "b", "c", "d", "e"}

## Step 2: Calculate the frequency of each character (byte)

Frequency = {"a": 1, "b": 2, "c": 3, "d": 2, "e": 1}

## Step 3a: Find the most frequent pair of two characters

The most frequent pair is "bc" with a frequency of 2.

## Step 3b: Merge the pair

Merge "bc" to create a new subword unit "bc".

## Step 3c: Update frequency counts

Update the frequency counts of all the bytes or characters that contain “bc”:

Frequency = {"a": 1, "b": 2, "c": 3, "d": 2, "e": 1, "bc": 2}

## Step 3d: Add the new subword unit to the vocabulary

Add “bc” to the vocabulary:

Vocabulary = {"a", "b", "c", "d", "e", "bc"}

**Repeat steps 3a-3d until the desired vocabulary size is reached.**

Source: <https://www.geeksforgeeks.org/byte-pair-encoding-bpe-in-nlp>

# BPE: Illustration

**Text corpus:** “ab”, “bc”, “bcd”, “cde” (i.e. consists of four words)

## Step 1: Initialize the vocabulary

Vocabulary = {"a", "b", "c", "d", "e"}

## Step 2: Calculate the frequency of each character (byte)

Frequency = {"a": 1, "b": 2, "c": 3, "d": 2, "e": 1}

## Step 3a: Find the most frequent pair of two characters

The most frequent pair is "bc" with a frequency of 2.

## Step 3b: Merge the pair

Merge "bc" to create a new subword unit "bc".

## Step 3c: Update frequency counts

Update the frequency counts of all the bytes or characters that contain “bc”:

Frequency = {"a": 1, "b": 2, "c": 3, "d": 2, "e": 1, "bc": 2}

## Step 3d: Add the new subword unit to the vocabulary

Add “bc” to the vocabulary:

Vocabulary = {"a", "b", "c", "d", "e", "bc"}

**Repeat steps 3a-3d until the desired vocabulary size is reached.**

Source: <https://www.geeksforgeeks.org/byte-pair-encoding-bpe-in-nlp>

# GPT tokenization

GPT-3 Codex

Many words map to one token, but some don't: indivisible.

Unicode characters like emojis may be split into many tokens containing the underlying bytes: 🍌

Sequences of characters commonly found next to each other may be grouped together: 1234567890

Clear

Show example

**Tokens**

64

**Characters**

252

Source: <https://platform.openai.com/tokenizer>

# GPT tokenization

Tokens	Characters
64	252

Many words map to one token, but some don't: indivisible.

Unicode characters like emojis may be split into many tokens containing the underlying bytes: 🍌🍌🍌🍌🍌

Sequences of characters commonly found next to each other may be grouped together: 1234567890

TEXT

TOKEN IDS

Source: <https://platform.openai.com/tokenizer>

# Token IDs

Tokens      Characters

64          252

```
[7085, 2456, 3975, 284, 530, 11241, 11, 475, 617, 836, 470, 25, 773, 452,
12843, 13, 198, 198, 3118, 291, 1098, 3435, 588, 795, 13210, 271, 743,
307, 6626, 656, 867, 16326, 7268, 262, 10238, 9881, 25, 12520, 97, 248,
8582, 237, 122, 198, 198, 44015, 3007, 286, 3435, 8811, 1043, 1306, 284,
1123, 584, 743, 307, 32824, 1978, 25, 17031, 2231, 30924, 3829]
```

TEXT

TOKEN IDS

# Token IDs

Tokens	Characters
64	252

Many words map to one token, but some don't: indivisible.

Unicode characters like emojis may be split into many tokens containing the underlying bytes: 🍌🍌🍌🍌

Sequences of characters commonly found next to each other may be grouped together: 1234567890

TEXT TOKEN IDS

Tokens	Characters
64	252

[7085, 2456, 3975, 284, 530, 11241, 11, 475, 617, 836, 470, 25, 773, 452, 12843, 13, 198, 198, 3118, 291, 1098, 3435, 588, 795, 13210, 271, 743, 307, 6626, 656, 867, 16326, 7268, 262, 10238, 9881, 25, 12520, 97, 248, 8582, 237, 122, 198, 198, 44015, 3007, 286, 3435, 8811, 1043, 1306, 284, 1123, 584, 743, 307, 32824, 1978, 25, 17031, 2231, 30924, 3829]

TEXT TOKEN IDS

# Subword units vs. morphemes

GPT-3 Codex

linguistic theory

Clear

Show example

Tokens

4

Characters

17

linguistic theory

TEXT

TOKEN IDS



# Subword units vs. morphemes

GPT-3 Codex

linguistic theory

Clear

Show example

**Tokens**

4

**Characters**

17

[1359, 84, 2569, 4583]

TEXT

TOKEN IDS

# Subword units vs. morphemes

Tokens      Characters  
4            17

linguistic theory

TEXT    TOKEN IDS

Tokens      Characters  
4            17

[1359, 84, 2569, 4583]

TEXT    TOKEN IDS

# The most frequent token

Tokens	Characters
4	17

linguistic theory

TEXT TOKEN IDS

Tokens	Characters
4	17

[1359, 84, 2569, 4583]

TEXT TOKEN IDS



# The least frequent token

Tokens	Characters
4	17

linguistic theory

TEXT    TOKEN IDS

Tokens	Characters
4	17

[1359, 84, 2569, 4583]



TEXT    TOKEN IDS

# Tokenization of derived words

GPT-3 Codex

linguist

Clear

Show example

**Tokens**

3

**Characters**

8

linguist

TEXT

TOKEN IDS

# Tokenization of derived words

GPT-3 Codex

linguistic

Clear Show example

Tokens	Characters
3	10

linguistic

TEXT TOKEN IDS

**Complexity**

# Complexity

- In science, a problem often allows for different solutions. The so-called *Big O* notation serves for assessment of the complexity of those solutions in mathematics and CS.
- The *Big O* notation tells us how an algorithm slows as data grow. That is, complexity is not a property of data (which is the case in linguistics, but of the algorithm (analysis)).
- As an illustration let us evaluate two solutions of a task.  
[Note that the example is meant to help linguists understand the logic of the concept of complexity and is an oversimplification. In CS, the *Big O* notation evaluates the complexity of functions.]



# The logic of the *Big O* notation

**Problem:** Calculate the sum of the numbers from 1 to 100.

**Solution 1:**  $1+2+3$ , and so on to 100, i.e. 99 summations are necessary to calculate the sum.

Let us check the behavior of this solution as data grow, e.g. let us increase the amount of the data from 100 to 1000. Following the idea of Solution 1, to calculate the sum of the numbers from 1 to 1000, we have to perform 999 summations. That is, with the growth of the data, more effort is required to come to a solution.

**Solution 2:** Based on the observation made by the young Gauss that  $100+1 = 99+2 = 98+3$ , and so on to  $51+50$ , we can calculate the sum of the numbers from 1 to 100 in two steps: the first step involves addition, the second consists in multiplication:  $(1+100)*50=5050$ . An increase of the amount of the data from 100 to 1000, does not change the algorithm and we can still calculate the sum of the numbers from 1 to 1000 in two steps:  $(1+1000)*50= 500500$ .

# The logic of the Big O notation

**Solution 1:**  $1+2+3$ , and so on to 100, i.e. 99 summations are necessary to calculate the sum. Let us check the behavior of this solution as data grow, e.g. let us increase the amount of the data from 100 to 1000. Following the idea of Solution 1, to calculate the sum of the numbers from 1 to 1000, we have to perform 999 summations. That is, with the growth of the data, more effort is required to come to a solution.

**Solution 2:** Based on the observation made by the young Gauss that  $100+1 = 99+2 = 98+3$ , and so on to  $51+50$ , we can calculate the sum of the numbers from 1 to 100 in two steps: the first step involves addition, the second consists in multiplication:  $(1+100)*50=5050$ . An increase of the amount of the data from 100 to 1000, does not change the algorithm and we can still calculate the sum of the numbers from 1 to 1000 in two steps:  $(1+1000)*50= 500500$ .

**Both Solution 1 and Solution 2 give the same result, but the first solution is complex and therefore uninteresting, while Gauss's solution is simple and elegant and has been used as a formula for the sum of an arithmetic progression ever since.**

# Complexity of a linguistic analysis

The **ChatGPT** approach to language **relies on surface forms** (for convenience, I speak of ‘**phonological information**’), see Rule 1; while a **linguistics** approach usually **relies on semantics**, see Rule 2.

**Rule 1, form-based:** If a word A ends in *-a*, attach the suffix B to it.

**Rule 2, semantics-based:** If X is a particular type of a verb (e.g. an action verb), derive a particular type of a noun Y (e.g. an agent) by the attachment of the productive suffix Z (e.g. *-er*)?

- The information on which **Rule 1** relies **is *not* language-specific** and is directly available: for the word A we have to evaluate whether it terminates in *-a* or not.
- The semantic information on which **Rule 2** relies requires additional effort to be discovered and Rule 2 **is also language-specific**, in the sense that we need some knowledge of the language from which the data come in order to apply this rule.

# Complexity of a linguistic analysis

**Rule 1, form-based:** If a word A ends in *-a*, attach the suffix B to it.

**Rule 2, semantics-based:** If X is a particular type of a verb (e.g. an action verb), derive a particular type of a noun Y (e.g. an agent) by the attachment of the productive suffix Z (e.g. *-er*)?

- **Rule 1** consists of **two steps**:
  - i) we have to check whether A ends in *-a* and if yes, step ii);
  - ii) attach the suffix B.
- **Rule 2** involves **more than two steps**:
  - i) evaluation whether a word is a verb; if yes, step ii);
  - ii) ensure that the verb is of the type we need (an action verb); if yes, step iii);
  - iii) add the productive suffix *-er* to derive an agent noun, if iv);
  - iv) the derivation is possible; because e.g. *to edit* is an action verb but does not co-occur with *-er* (moreover, according to linguistic theory *to edit* is a backformation from *editor*, Manova, 2011a).

**Rule 2 is more complex than Rule 1.**

# Rule 1: Example

**Bulgarian** has a **suffixal definite article** and **indefinite nouns and adjectives** in this language may end in **-a**. If **semantics** is considered, there should be **four different -a morphemes**, cf. the **morphosyntactic feature values** in (1) and (2), where all **-a morphemes** are bolded and indexed for convenience. The four different **-a morphemes** **all select the definite article -ta** (Manova and Dressler, 2001), though the article has allomorphs, see *sel/o* ‘village’ in (1d).

- |     |  |   |
|-----|--|---|
| (1) | <b>Nouns: indefinite</b>                               | → definite  |
| a.  | <b>sg.fem: bluz-<i>a</i><sub>1</sub></b> ‘blouse’      | → <b>bluz-<i>a</i><sub>1</sub>-ta</b> ‘the blouse’      |
| b.  | <b>sg.masc: bašt-<i>a</i><sub>2</sub></b> ‘father’     | → <b>bašt-<i>a</i><sub>2</sub>-ta</b> ‘the father’      |
| c.  | <b>pl.neut: sel-<i>a</i><sub>3</sub></b> ‘villages’    | → <b>sel-<i>a</i><sub>3</sub>-ta</b> ‘the villages’     |
| d.  | cf. <b>sg.neut: sel-o</b> ‘village’                    | → <b>sel-o-to</b> ‘the village’                         |
| (2) | <b>Adjectives: indefinite</b>                          | → definite  |
|     | <b>sg.fem: krasiv-<i>a</i><sub>4</sub></b> ‘beautiful’ | → <b>krasiv-<i>a</i><sub>4</sub>-ta</b> ‘the beautiful’ |

# **Form-based analysis of derivational morphology**

# Form-based analysis of derivational morphology

- Undoubtedly, English is the language with the most profoundly studied derivational morphology. (Overviews of research on derivational morphology from a cross-linguistic perspective in Lieber and Štekauer, 2014; Plag and Balling, 2016; and Lieber, 2017.)
- While more **recent studies** analyze English word-formation based primarily, if not exclusively, on **semantics** (Lieber, 2004, among many others), **previous research** known as the *Stratal approach* (Siegel, 1974; Selkirk, 1982; Kiparsky, 1982) is **form-focused**, see (3): based on phonological information (see the different types of juncture marked by ‘+’ and ‘#’ respectively) forms of affixes are distributed into different strata (classes) so that class II affixes are always outside class I affixes in the word-form.

(3) English: **Stratal approach**, from Spencer (1991:79)

- a. Class I suffixes: **+ion, +ity, +y, +al, +ic, +ate, +ous, +ive, +able, +ize**
- b. Class I prefixes: **re+, con+, de+, sub+, pre+, in+, en+, be+**
- c. Class II suffixes: **#ness, #less, #hood, #ful, #ly, #y, #like, #ist, #able, #ize**
- d. Class II prefixes: **re#, sub#, un#, non#, de#, semi#, anti#**

# Other form-focused analysis of English WF

- Fabb (1988) distributes the English suffixes into four groups:
  - (4) English: Suffix-driven selectional restrictions (Fabb 1988)
    - a. Group 1: suffixes that do not attach to already suffixed words
    - b. Group 2: suffixes that attach outside one other suffix
    - c. Group 3: suffixes that attach freely
    - d. Group 4: problematic suffixes
- **Closing suffixes:** a particular suffixal form cannot be followed by other suffixes in a language, Szymanek (2000) for English (and Polish), see also Aronoff & Fuhrhop (2002). Closing suffixes have been established in a number of languages, Manova (2015b) is an overview of research on the topic.
- **Another highly relevant observation** regarding the order of English derivational suffixes is reported in Manova (2011b) and Manova and Knell (2021). The observation is **made with the help of the Gauss-Jordan elimination**.



# Gauss-Jordan elimination

**Task:** Solve this system of linear equations:

$$2x + y + 2z = 10$$

$$x + 2y + z = 8$$

$$3x + y - z = 2$$

Example taken from:

[https://math.libretexts.org/Bookshelves/Applied\\_Mathematics/Applied\\_Finite\\_Mathematics\\_\(Sekhon\\_and\\_Bloom\)/02%3A\\_Matrices/2.02%3A\\_Systems\\_of\\_Linear\\_Equations\\_and\\_the\\_Gauss-Jordan\\_Method](https://math.libretexts.org/Bookshelves/Applied_Mathematics/Applied_Finite_Mathematics_(Sekhon_and_Bloom)/02%3A_Matrices/2.02%3A_Systems_of_Linear_Equations_and_the_Gauss-Jordan_Method)

## Gauss-Jordan elimination

$$2x + y + 2z = 10$$

$$x + 2y + z = 8$$

$$3x + y - z = 2$$

### **Solution:**

Write the augmented matrix.

$$\left[ \begin{array}{ccc|c} 2 & 1 & 2 & 10 \\ 1 & 2 & 1 & 8 \\ 3 & 1 & -1 & 2 \end{array} \right]$$

Manipulate the matrix, i.e. interchange rows or use elementary operations such as addition and multiplication until you get the matrix in a reduced row echelon form, which gives the values of all variables and is thus the solution to the problem.

$$\left[ \begin{array}{ccc|c} 1 & 0 & 0 & 1 \\ 0 & 1 & 0 & 2 \\ 0 & 0 & 1 & 3 \end{array} \right]$$

$$x = 1$$

$$y = 2$$

$$z = 3$$

# Gauss-Jordan elimination: The takeaway

- ❑ Pay attention to the well-known
- ❑ Manipulate well-known facts with the most simple logic
- ❑ Distribute the information so that there is only one option of a kind -- this option is the solution to the problem

## Linguistic analysis: The combinability of the English suffix *-ist*

SUFF1	Lexical category of SUFF1	Followed by SUFF2 suffixes
<i>-ist</i>	N	<i>-dom, -ic, -y, -ize</i>

Data from Aronoff & Fuhrhop (2002), based on OED, CD 1994

# Gauss-Jordan: The combinability of the English suffix *-ist*

Making suffix combinations unique pieces of word structure

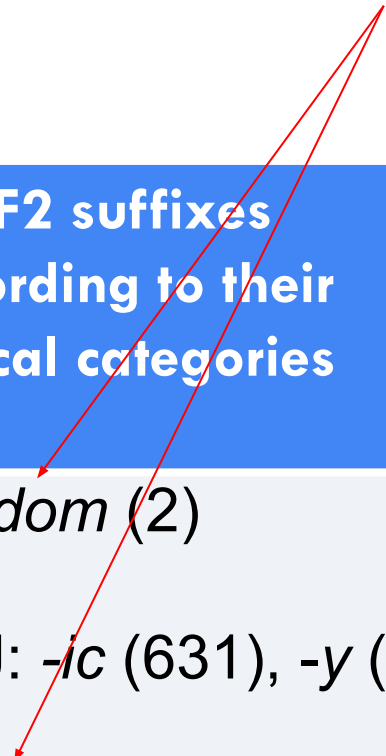
SUFF1	Lexical category of SUFF1	SUFF2 suffixes according to their lexical categories
<i>-ist</i>	N	N: <i>-dom</i> (2)  ADJ: <i>-ic</i> (631), <i>-y</i> (5)  V: <i>-ize</i> (3)

# Fixed combinations

SUFF1	Lexical category of SUFF1	SUFF2 suffixes according to their lexical categories
<i>-ist</i>	N	N: <i>-dom</i> (2)  ADJ: <i>-ic</i> (631), <i>-y</i> (5)  V: <i>-ize</i> (3)

# Fixed combinations

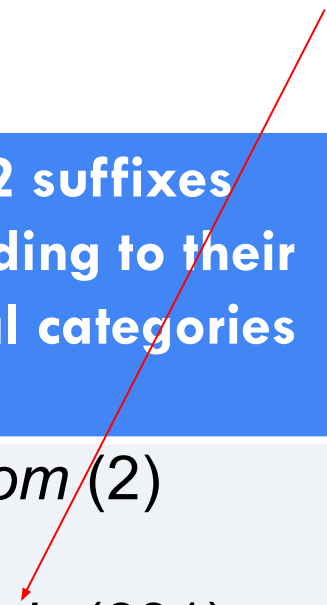
SUFF1	Lexical category of SUFF1	SUFF2 suffixes according to their lexical categories
<i>-ist</i>	N	N: <i>-dom</i> (2) ADJ: <i>-ic</i> (631), <i>-y</i> (5) V: <i>-ize</i> (3)





# Predictable combination

SUFF1	Lexical category of SUFF1	SUFF2 suffixes according to their lexical categories
<i>-ist</i>	N	N: <i>-dom</i> (2) ADJ: <i>-ic</i> (631), <i>-y</i> (5) V: <i>-ize</i> (3)



## Types of suffix combinations: Summing up

SUFF1	Lexical category of SUFF1	SUFF2 classified for lexical category; in brackets, number of types (lemmas) derived with   the combination SUFF1-SUFF2	
<i>-ist</i>	N	N: <i>-dom</i> (2) A: <i>-ic</i> (631), <i>-y</i> (5) V: <i>-ize</i> (3)	<i>[fixed combination]</i> <i>[predictable combination]</i> <i>[fixed combination]</i>

Table 1: Combinability of the English suffix *-ist*  
(data from Aronoff and Fuhrhop, 2002, based on OED, CD, 1994)

# English derivational morphology: a ChatGPT perspective

GPT-3 Codex

tour

Clear

Show example

Tokens

2

Characters

4

tour

TEXT

TOKEN IDS

GPT-3 Codex

tourist

Clear

Show example

Tokens

3

Characters

7

tourist

TEXT

TOKEN IDS

SUFF1	Lexical category of SUFF1	SUFF2 classified for lexical category; in brackets, number of types (lemmas) derived with the combination SUFF1-SUFF2	
<i>-ist</i>	N	N: <i>-dom</i> (2) A: <i>-ic</i> (631), <i>-y</i> (5) V: <i>-ize</i> (3)	[ <i>fixed combination</i> ] [ <i>predictable combination</i> ] [ <i>fixed combination</i> ]

Table 1: Combinability of the English suffix *-ist*  
 (data from Aronoff and Fuhrhop, 2002, based on OED, CD, 1994)

# English derivational morphology: a ChatGPT perspective

GPT-3 Codex

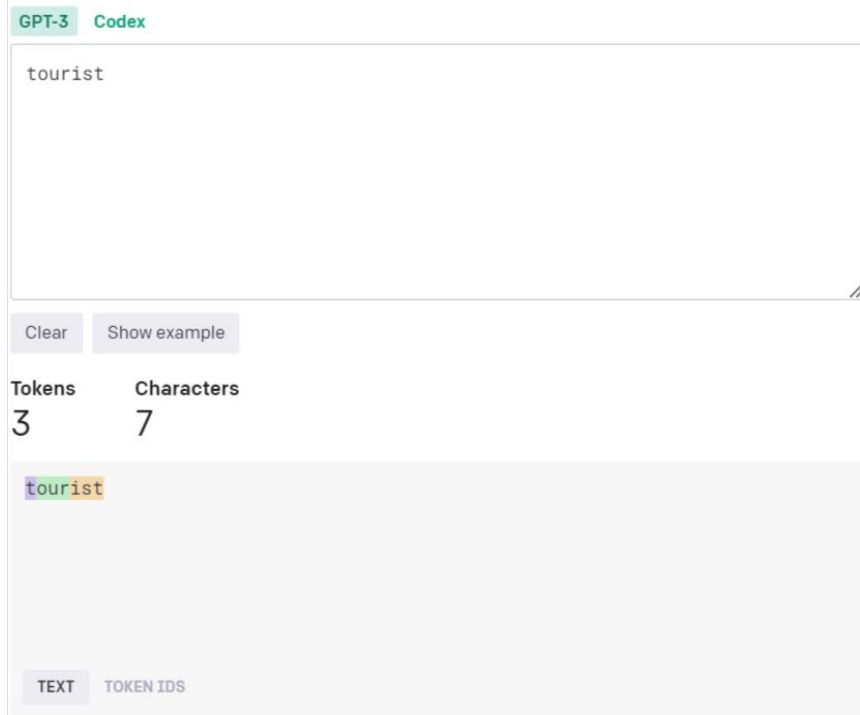
tourist

Clear Show example

Tokens	Characters
3	7

tourist

TEXT TOKEN IDS



GPT-3 Codex

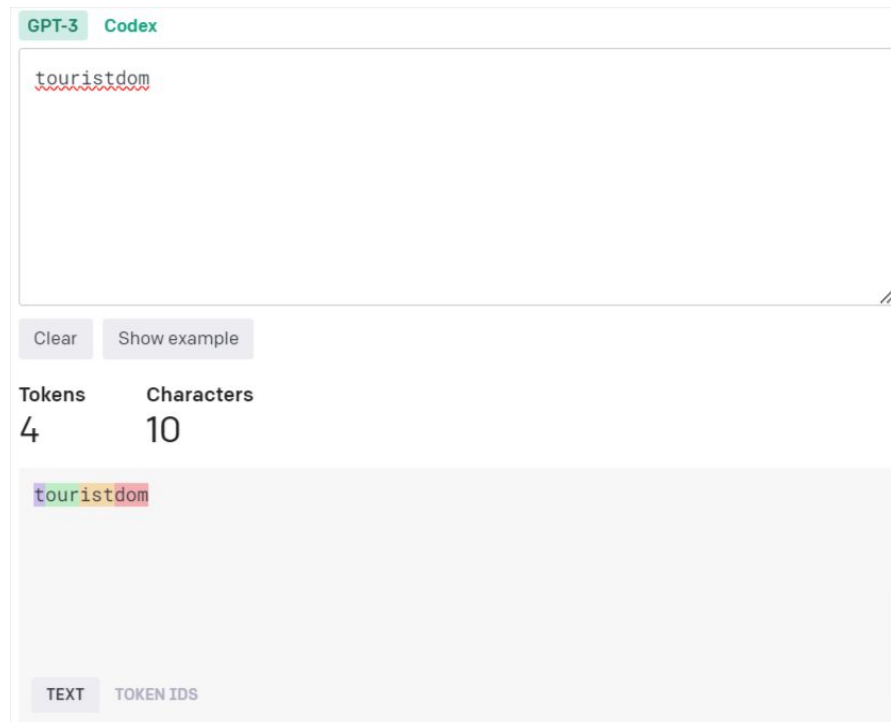
touristdom

Clear Show example

Tokens	Characters
4	10

touristdom

TEXT TOKEN IDS



SUFF1	Lexical category of SUFF1	SUFF2 classified for lexical category; in brackets, number of types (lemmas) derived with the combination SUFF1-SUFF2	
<i>-ist</i>	N	N: <i>-dom</i> (2) A: <i>-ic</i> (631), <i>-y</i> (5) V: <i>-ize</i> (3)	[ <i>fixed combination</i> ] [ <i>predictable combination</i> ] [ <i>fixed combination</i> ]

Table 1: Combinability of the English suffix *-ist*  
 (data from Aronoff and Fuhrhop, 2002, based on OED, CD, 1994)

# English derivational morphology: a ChatGPT perspective

GPT-3 Codex

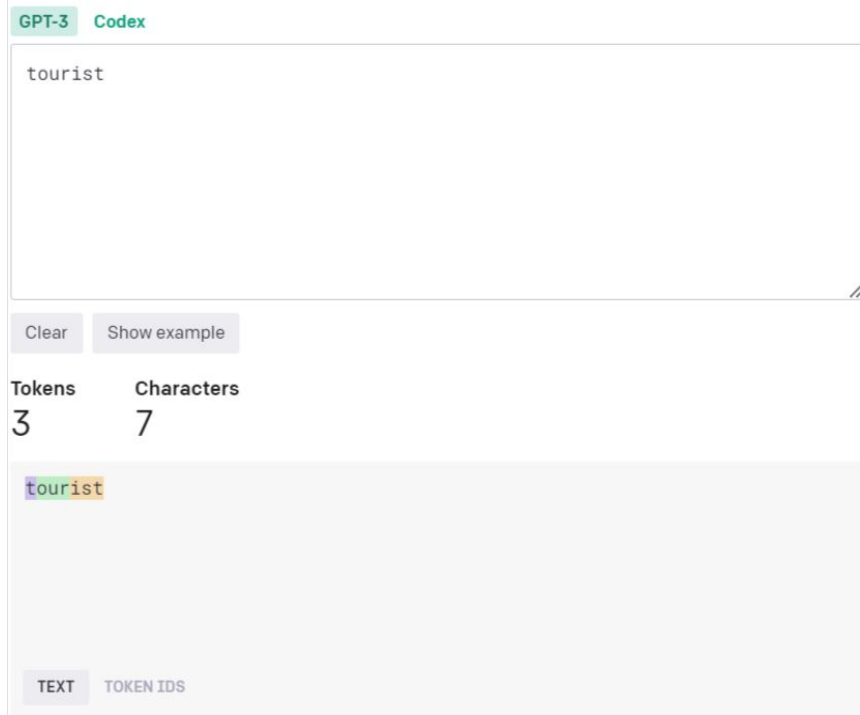
tourist

Clear Show example

Tokens	Characters
3	7

tourist

TEXT TOKEN IDS

The screenshot shows the GPT-3 Codex interface. At the top, there's a header "GPT-3 Codex". Below it is a text input field containing the word "tourist". Underneath the input field are two buttons: "Clear" and "Show example". Below these buttons is a table with two columns: "Tokens" and "Characters". The "Tokens" column shows the number "3" and the "Characters" column shows the number "7". Below the table is a light gray area containing the word "tourist" with a color gradient from blue to orange. At the bottom of this area are two buttons: "TEXT" and "TOKEN IDS".

GPT-3 Codex

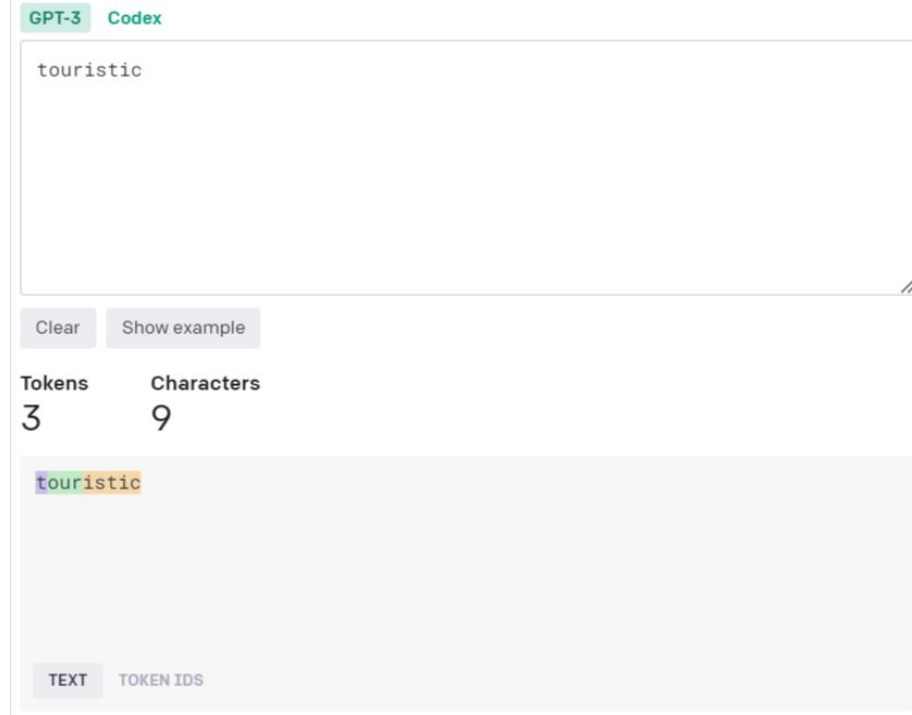
touristic

Clear Show example

Tokens	Characters
3	9

touristic

TEXT TOKEN IDS

The screenshot shows the GPT-3 Codex interface. At the top, there's a header "GPT-3 Codex". Below it is a text input field containing the word "touristic". Underneath the input field are two buttons: "Clear" and "Show example". Below these buttons is a table with two columns: "Tokens" and "Characters". The "Tokens" column shows the number "3" and the "Characters" column shows the number "9". Below the table is a light gray area containing the word "touristic" with a color gradient from blue to orange. At the bottom of this area are two buttons: "TEXT" and "TOKEN IDS".

# English derivational morphology: a ChatGPT perspective

GPT-3 Codex

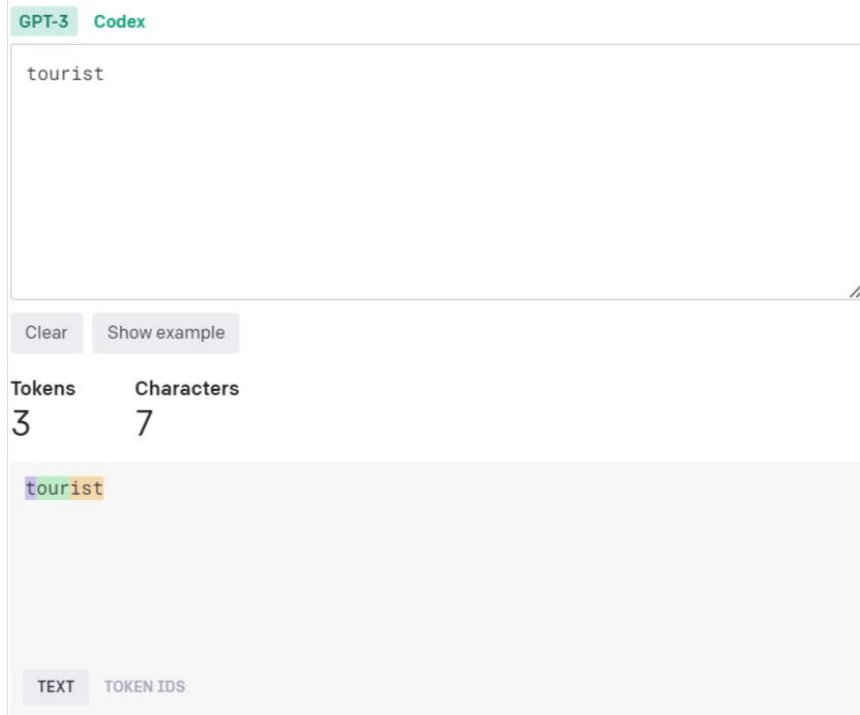
tourist

Clear Show example

Tokens	Characters
3	7

tourist

TEXT TOKEN IDS



GPT-3 Codex

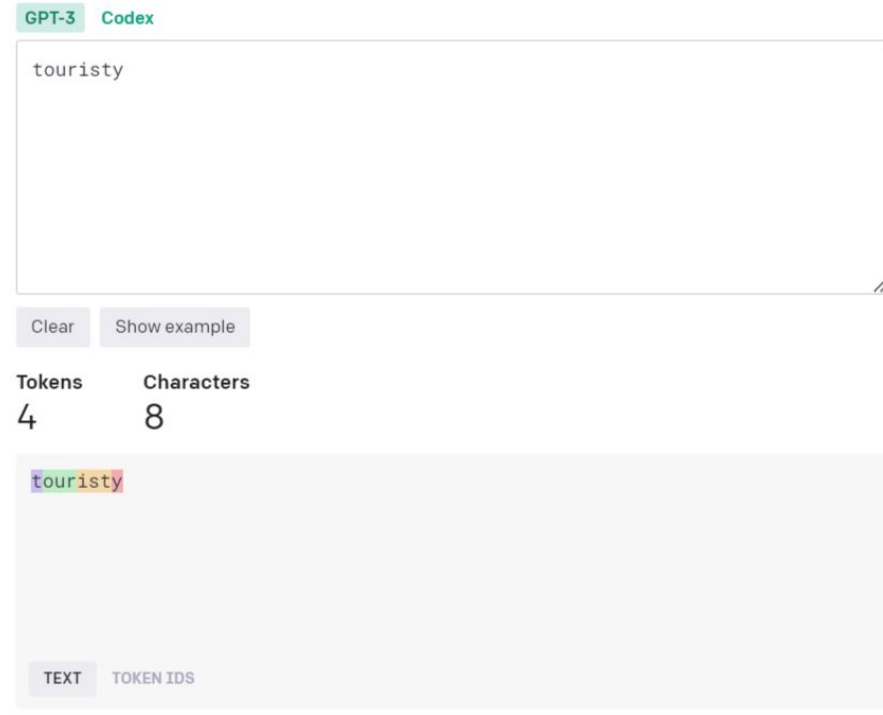
touristy

Clear Show example

Tokens	Characters
4	8

touristy

TEXT TOKEN IDS





SUFF1	Lexical category of SUFF1	SUFF2 classified for lexical category; in brackets, number of types (lemmas) derived with   the combination SUFF1-SUFF2
<i>-ist</i>	N	N: <i>-dom</i> (2) [ <i>fixed combination</i> ] A: <i>-ic</i> (631), <i>-y</i> (5) [ <i>predictable combination</i> ] V: <i>-ize</i> (3) [ <i>fixed combination</i> ]

Table 1: Combinability of the English suffix *-ist*  
(data from Aronoff and Fuhrhop, 2002, based on OED, CD, 1994)

# English derivational morphology: a ChatGPT perspective

GPT-3 Codex

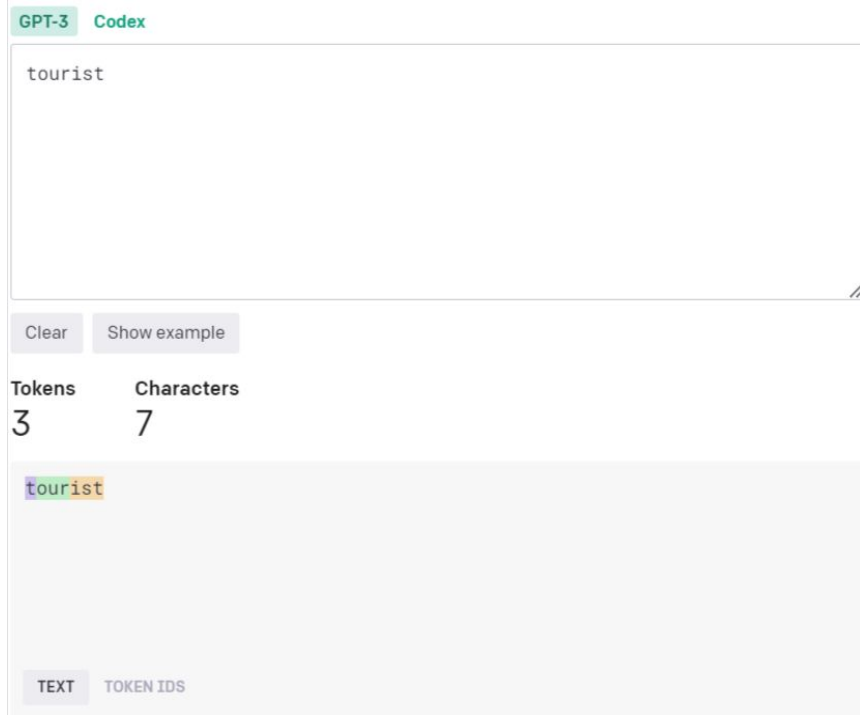
tourist

Clear Show example

Tokens	Characters
3	7

tourist

TEXT TOKEN IDS

The screenshot shows the GPT-3 Codex interface. At the top, there are tabs for 'GPT-3' and 'Codex'. Below them is a text input field containing the word 'tourist'. Underneath the input field are two buttons: 'Clear' and 'Show example'. Below the buttons is a table with two columns: 'Tokens' and 'Characters'. The table shows that 'tourist' has 3 tokens and 7 characters. Below the table is a light gray area containing the word 'tourist' with a color-coded highlight. At the bottom left, there are two tabs: 'TEXT' and 'TOKEN IDS'.

GPT-3 Codex

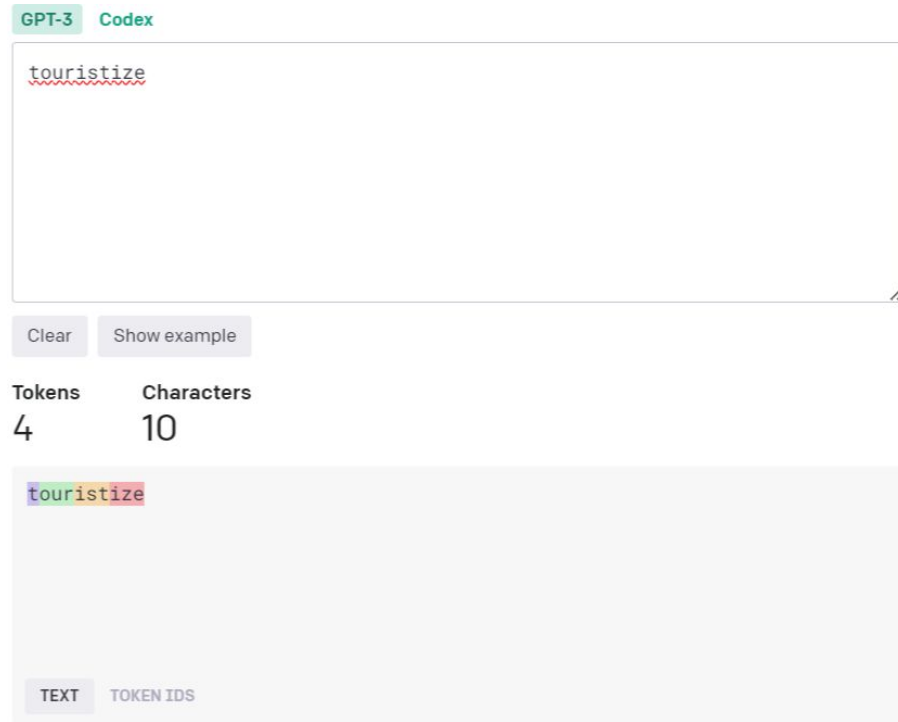
touristize

Clear Show example

Tokens	Characters
4	10

touristize

TEXT TOKEN IDS

The screenshot shows the GPT-3 Codex interface. At the top, there are tabs for 'GPT-3' and 'Codex'. Below them is a text input field containing the word 'touristize', which is underlined in red. Underneath the input field are two buttons: 'Clear' and 'Show example'. Below the buttons is a table with two columns: 'Tokens' and 'Characters'. The table shows that 'touristize' has 4 tokens and 10 characters. Below the table is a light gray area containing the word 'touristize' with a color-coded highlight. At the bottom left, there are two tabs: 'TEXT' and 'TOKEN IDS'.

A more complex example  
from Polish

SUFF1	Lexical category of SUFF1	Lexical category of SUFF2	SUFF1-SUFF2 exemplified	Notes
<i>-arz</i>	N	i. ADJ: <i>-n(y)</i> (2)	<i>moc-ar-n(y)</i> 'strong'	[derives only 2 adjectives]
		ii. ADJ: <i>-ow(y)</i> (1)	<i>geśl-arz-ow(y)</i> 'of fiddler'	[derives a single adjective]
		iii. ADJ: <i>-sk(i)</i> (>10)	<i>pis-ar-sk(i)</i> 'of writer'	[ <b>default</b> for derivation of adjectives]
		a. N: <i>-czyk</i> (>10)	<i>piek-ar-czyk</i> 'baker's apprentice'	[ <b>default</b> for derivation of <b>persons</b> , cf. f]
		b. N: <i>-k(a)</i> (2)	<i>mur-ar-k(a)</i> 'bricklaying'	[derives only 2 abstract nouns, cf. e]
		c. N: <i>-ni(a)</i> (>10)	<i>kreśl-ar-ni(a)</i> 'drafting studio'	[derives nouns for <b>places</b> ]
		d. N: <i>-nik</i> (1)	<i>piek-ar-nik</i> 'oven'	[derives a single <b>object</b> ]
		e. N: <i>-stw(o)</i> (>10)	<i>księg-ar-stw(o)</i> 'all booksellers'	[ <b>default abstract/collective nouns</b> , cf. b]
		f. N: <i>-yn(a)</i> (5)	<i>mur-arz-yn(a)</i> 'bad bricklayer'	[derives only 5 nouns for persons, cf. a]

Table 2: Combinability of the Polish suffix *-arz*

# Processing of morphological structure by humans

- Considering the fact that **derivational suffixes in English and Polish seem to form only fixed and predictable combinations**, I hypothesized that **native speakers should have memorized them** and, consequently, **should be able to process them without reference to meaning**, that is, based exclusively on form.
- To test this hypothesis, I designed a **psycholinguistic experiment**. Here I present only the results of the native speakers of English and Polish, but the experiment was also conducted **with native speakers of German, Italian, Spanish and Slovene**, and with advanced non-native speakers of English and German.
- Overall, **the results of all iterations converge**. (For curious readers, the scores of the non-native speakers of English are reported in Manova and Knell, 2021; the scores of the native and non-native speakers of German can be found in Brosche and Manova, 2022).

# Psycholinguistic experiment

## Method

64 native Polish speakers and 45 native English speakers were tested, they all participated on a voluntary basis. The questionnaire presented to them consisted of three parts:

- A series of general demographic questions regarding age, gender, nationality, native language(s), other languages spoken, level of education, and experience in a linguistic or other language-related field.
- A small practice to ensure that the participants understood the task properly. The training examples were not part of the test stimuli.
- **The main task: 60 suffix combinations** (e.g. *-istic* in English, *-arny* in Polish) were presented in a randomized order, and participants were asked to **decide intuitively, as quickly as possible, which of the combinations exist and which do not exist as word terminations in the respective language**. Of the 60 combinations, **30 exist in the respective language and 30 do not**. Of the existing combinations, **15 were productive (>10 types) and 15 unproductive**. Of the non-existing combinations, 15 were created from a permutation of an existing combination (reversing the order of the two suffixes such that the combination was not possible in English), and 15 were created through a spelling manipulation of an existing combination (changing one letter from an existing combination such that the new form does not exist in the respective language). No non-existing combinations included any phonological and/or orthographical impossibilities in the respective language. Participants were given a 10-minute time limit to complete the main task. (On average, the subjects used approximately one third of the time.)

# Data analysis

We used [independent t-tests](#) to consider possible significance of overall scores, as well as for stimulus type: existing vs. non-existing and productive vs. unproductive combinations (Figure 1).

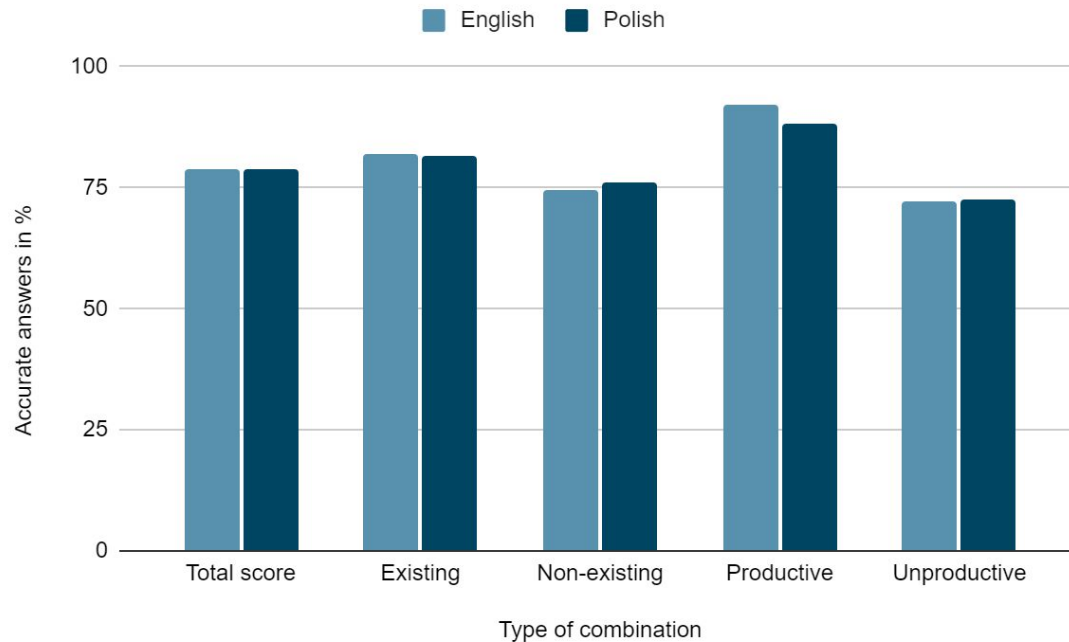


Figure 1

# Discussion

- The participants in the experiment did not need semantic cues to process suffix combinability, i.e. they could differentiate between existing and non-existing suffix combinations presented to them without lexical bases such as roots/stems/words.
- Statistically significant were the differences between existing and non-existing combinations, and between productive (>10 types) and unproductive combinations.
- English has very poor inflectional morphology, while Polish is characterized by a very rich inflectional system. Nevertheless, the results obtained for the two languages are virtually the same, the total score of the correct answers for English is 79% and 78.86% for Polish, though combinations of three suffixes (trigrams, the case of Polish where two derivational suffixes are often followed by inflection) should be easier to recognize than combinations of two suffixes (bigrams, the case of English derivational suffix combinations).
- Inflection did not seem to have an impact on the processing on suffix combinability in derivation. I therefore conclude that native speakers of Polish see inflection as forming a natural subword unit with the derivational material that precedes it.

# Discussion

- Since suffix combinability is not taught at school and all linguistic theories assume that a morphological derivation always starts with a root/stem, depending on the theory, the only plausible explanation why native speakers of English and Polish successfully accomplished a task they should not be able to solve is that they had subconsciously extracted and memorized adjacent suffixes in terms of bigrams and trigrams, during language acquisition (cf. the training of ChatGPT).
- Further support to the conclusion that adjacent derivational and inflectional suffixes should be treated together provides Polish diminutive morphology. Polish, like the other Slavic languages (Manova 2015a), derives second-grade diminutives the forms of which contain a sequence of two adjacent diminutive suffixes:

*dom* 'house' → DIM1 *dom-ek* 'small house' → DIM2 *dom-ecz-ek* 'very small house'.

The selection of the second diminutive suffix entirely depends on the phonological make-up of the first diminutive suffix. The selection of the DIM1 suffix is also form-driven in all but one case: the unproductive class of the feminine-gender nouns in -C selects DIM1 suffix based not on phonology but on gender.



DIM1 suffixes		DIM2 suffixes	
Nouns in		Productive (attach by addition)	Unproductive (attach by substitution of a DIM1 suffix, i.e. do not combine with DIM1 suffixes)
-C	-ek -ik / -yk -uszek (unproductive)	-ek	-uszek, -aszek
	-iszek /-yszek (unproductive) -aszek (unproductive) -ulek (unproductive) -ka (unproductive, selects feminine nouns)		
-a	-ka -uszka (unproductive) -iczka /-yczka (unproductive)	-ka	
-o / -e	-ko -uszko (unproductive)	-ko	

Table 3: Combinability of the DIM suffixes in Polish (from Manova & Winzernitz 2011)

# GPT

The derivation-infection  
distinction in English

GPT-3 Codex

collectors

Clear

Show example

Tokens

2

Characters

10

collectors

TEXT

TOKEN IDS

# GPT

The derivation-inflection distinction in English

GPT-3 Codex

employees

Clear

Show example

Tokens

2

Characters

9

employees

TEXT

TOKEN IDS

# GPT

The derivation-inflection distinction in English

GPT-3 Codex

organizations

Clear

Show example

Tokens

2

Characters

13

organizations

TEXT

TOKEN IDS

# Conclusions

- Based on the BPE algorithm used for tokenization in LLMs, a mathematical method for problem solving, the so-called Gauss-Jordan elimination, and previous research on affix order (by other authors and my own), I put forward the idea of form-based analysis of derivational morphology and illustrated it with data from two typologically distinct languages, English with very poor inflectional morphology, and Polish with very rich inflection.
- A psycholinguistic experiment with native speakers of Polish and English confirmed the correctness of the proposal: Native speakers do not need semantic cues to process affix ordering in derivation. They seem to have subconsciously memorized linearly adjacent affixes, be they derivational or inflectional, as bigrams and trigrams, without reference to semantics, which is exactly what happens during the subword tokenization in a LLM.

# Conclusions

- **Morphology** works with **units of a very small length** and the **form-meaning correspondences** in my analysis (and in (derivational) morphology in general) **are not perfect**, cf. the long sequences of form used in ChatGPT where form and meaning appear to be in a perfect one-to-one relationship. Nevertheless, a **flexible approach**, one that **operates with defaults and a fixed reasonable number of exceptions (ten or fewer exceptions in my analysis)** successfully derives new words from already suffixed ones in English and Polish.
- Future research is needed to see how the suggested approach works with unsuffixed bases, although cf. psycholinguistic research on **derivations such as *work-er*** and **pseudoderivations such as *corn-er***, for the human parser they contain the same morpheme **-er**.
- **Form-focused** (preferably cross-linguistic) **resources for (derivational) morphology** providing information about word structure in terms of bigrams and trigrams (linear sequences of adjacent subword units) and their frequency will be essential for future research. Such resources do not exist currently.
- Claims that ChatGPT does not reflect human-like language processing in morphology (and not only) are, most probably, due to the lack of linguistic research that adopts a ChatGPT perspective on language.

**Thank you for your attention!**

Stela Manova

[manova.stela@gmail.com](mailto:manova.stela@gmail.com)

<https://homepage.univie.ac.at/stela.manova/>

<https://sites.google.com/view/stelamanova>

# References

- Aronoff, Mark. 1976. *Word Formation in Generative Grammar*. MIT Press, Cambridge, Ma.
- Aronoff, Mark. 1994. *Morphology by itself: Stems and Inflectional Classes*. MIT Press, Cambridge, Ma.
- Aronoff, Mark, and Nanna Fuhrhop. 2002. Restricting Suffix Combinations in German and English: Closing Suffixes and the Monosuffix Constraint. *Natural Language and Linguistic Theory* 20: 451-490.
- Bauer, Laurie, and Paul Nation. 1993. Word Families. *International Journal of Lexicography* 6: 253-279.
- Bobaljik, Jonathan D. 2017. Distributed Morphology. *Oxford Research Encyclopedia in Linguistics*, Oxford: Oxford University Press, <https://doi.org/10.1093/acrefore/9780199384655.013.131>.
- Bonami, Olivier, and Gregory Stump. 2017. Paradigm Function Morphology. In Andrew Hippisley and Greg Stump, editors, *The Cambridge handbook of morphology* Cambridge University Press, Cambridge, pages, 449-481.
- Bonami, Olivier, and Jana Strnadová. 2019. Paradigm structure and predictability in derivational morphology, *Morphology* 29: 167-197.
- Brosche, Kimberly, and Stela Manova. 2022. German Word Formation and the Organization of the Mental Lexicon. *Annual Conference of the Middle European Master Program in Cognitive Science*. Zagreb, June, [https://homepage.univie.ac.at/stela.manova/uploads/1/2/2/4/12243901/poster\\_suffix\\_combinations\\_version.pdf](https://homepage.univie.ac.at/stela.manova/uploads/1/2/2/4/12243901/poster_suffix_combinations_version.pdf)
- Burkacka, Iwona. 2015. Suffix sets in Polish de-nominal derivatives. In Stela Manova, editor, *Affix ordering across languages and frameworks*. Oxford University Press, New York, pages 233-258.
- Chomsky, Noam, Ian Roberts, and Jeffrey Watumull. 2023. Noam Chomsky: The false promise of ChatGPT. *The New York Times*, <https://www.nytimes.com/2023/03/08/opinion/noam-chomsky-chatgptai.html>
- Fabb, Nigel. 1988. English Suffixation is Constrained only by Selectional Restrictions. *Natural Language and Linguistic Theory* 6: 527-539.
- Embick, David, and Rolf Noyer. 2007. Distributed morphology and the syntax/morphology interface. In Gillian Ramchand, and Charles Reiss, editors, *The Oxford Handbook of Linguistic Interfaces*. Oxford University Press, New York, pages, 289-324.
- Halle, Morris, and Alec Marantz. 1993. Distributed morphology and the pieces of inflection. In Kenneth Hale, and Samuel Jay Keyser, editors, *The view from building 20*. MIT Press, Cambridge, Ma, pages 111-176.
- Hammarström, Harald, and Lars Borin. 2011. Unsupervised Learning of Morphology. *Computational Linguistics* 37 (2): 309-350. doi: [https://doi.org/10.1162/COLI\\_a\\_00050](https://doi.org/10.1162/COLI_a_00050)
- Harley, Heidi, and Rolf Noyer. 1999. State-of-the-article: Distributed Morphology. *GLOT International* 4: 3-9.
- Hathout, Nabil, and Fiammetta Namer. 2019. Paradigms in word formation: what are we up to? *Morphology* 29, 153-165.



- Herce, Borja. 2023. *The Typological Diversity of Morphemes: A Cross-Linguistic Study of Unnatural Morphology*. Oxford University Press, Oxford.
- Huyghe, Richard, and Rossella Varvara. 2023. *Affix rivalry: Theoretical and methodological challenges*. *Word Structure* 16: 1-23.
- Katzir, Roni. 2023. Why large language models are poor theories of human linguistic cognition. A reply to Piantadosi (2023), [lingbuzz/007190](https://lingbuzz/007190)
- Kiparsky, Paul. 1982. Lexical Morphology and Phonology. In *The Linguistic Society of Korea, Linguistics in the Morning Calm*. Hanshin Publishing Co, Seoul, pages 1-91.
- Kozachkov, Leo, Ksenia V. Kastanenka, and Dmitry Krotov. 2023. Building transformers from neurons and astrocytes. *Proceedings of the National Academy of Sciences (PNAS)*, Vol. 120 No. 34, pages = e2219150120, <https://doi.org/10.1073/pnas.2219150120>
- Körtvélyessy, Livia, Bagasheva, Alexandra and Štekauer, Pavol. 2020. *Derivational Networks Across Languages*, De Gruyter Mouton, Berlin, Boston.
- Lieber, Rochelle. 2004. *Morphology and lexical semantics*. Cambridge: Cambridge University Press.
- Lieber, Rochelle. 2017. Derivational Morphology. *Oxford Research Encyclopedia of Linguistics*. <https://oxfordre.com/linguistics/view/10.1093/acrefore/9780199384655.001.0001/acrefore-9780199384655-e-248>. Retrieved 17 Sep. 2023.
- Lieber, Rochelle, and Pavol Štekauer. 2014. *The Oxford handbook of derivational morphology*. Oxford University Press, Oxford.
- Luís, Ana R., and Ricardo Bermúdez-Otero, editors, 2016. *The morpheme debate*. Oxford University Press, Oxford.
- Maiden, Martin. 2004. Morphological autonomy and diachrony. *Yearbook of Morphology* 2004: 137–175.
- Manova, Stela. 2011a. *Understanding morphological rules*. Springer, Dordrecht.
- Manova, Stela. 2011b. A cognitive approach to SUFF1-SUFF2 combinations: A tribute to Carl Friedrich Gauss. *Word Structure* 4: 272-300.
- Manova, Stela. 2015a. Affix order and the structure of the Slavic word. In Stela Manova, editor, *Affix Ordering Across Languages and Frameworks*. Oxford University Press, New York, pages 205-230.
- Manova, Stela. 2015b. Closing suffixes, In P. Müller, I. Ohnheiser, S. Olsen, and F. Rainer, editors, *Word-Formation in the European Languages*. Vol. 2, *Handbooks of Linguistics and Communication Science (HSK) 40/2*. De Gruyter Mouton, Berlin, pages 956-971.
- Manova, Stela. 2022. The linear order of elements in prominent linguistic sequences: Deriving Tns-Asp-Mood orders and Greenberg's Universal 20 with n-grams, [lingbuzz/006082](https://lingbuzz/006082)
- Manova, Stela. 2023. Ordering restrictions between affixes. In Peter Ackema, Sabrina Bendjaballah, Eulàlia Bonet, and Antonio Fábregas, editors, *The Wiley Blackwell Companion to Morphology*. John Wiley & Sons, Hoboken, NJ. DOI: [10.1002/9781119693604.morphcom058](https://doi.org/10.1002/9781119693604.morphcom058)
- Manova, Stela, and Wolfgang U. Dressler. 2001. Gender and Declensional Class in Bulgarian. *Wiener Linguistische Gazette* 67-69: 45-81.
- Manova, Stela, and Mark Aronoff. 2010. Modeling affix order. *Morphology* 20: 109-131.

- Manova, Stela, and Kimberley Winternitz. 2011. Suffix Order in Double and Multiple Diminutives: With Data from Polish and Bulgarian. *Studies in Polish Linguistics* 6: 115-138.
- Manova, Stela, and Luigi Talamo. 2015. On the Significance of the Corpus Size in Affix-Order Research. *SKASE Journal of theoretical linguistics* 12: 369-397.
- Manova, Stela, Harald Hammarström, Itamar Kastner, and Yining Nie. 2020. What is in a morpheme? Theoretical, experimental and computational approaches to the relation of meaning and form in morphology. *Word Structure* 13: 1-21.
- Manova, Stela, and Georgia Knell. 2021. Two-suffix combinations in native and non-native English: Novel evidence for morphomic structures. In Sedigheh Moradi, Marcia Haag, Janie Rees-Miller, and Andrija Petrovic, editors, *All things morphology: Its independence and its interfaces*. Benjamins, Amsterdam, pages 305-323.
- Mansfield, John, Sabine Stoll, and Balthasar Bickel. 2020. Category clustering: A probabilistic bias in the morphology of verbal agreement marking. *Language* 96: 255-293.
- Moro, Andrea, Matteo Greco, and Stefano F. Cappa. 2023. Large languages, impossible languages and human brains. *Cortex* 167: 82-85.
- OpenAI. 2023. GPT-4 Technical Report. [arXiv:2303.08774](https://arxiv.org/abs/2303.08774) [cs.CL], accessed July 1st, 2023.
- Piantadosi, Steven. 2023. Modern language models refute Chomsky's approach to language, [lingbuzz/007180](https://lingbuzz/007180)
- Plag, Ingo, and Laura Balling. 2016. Derivational morphology: An integrative perspective on some fundamental questions. In Pirelli, Vito, Ingo Plag, and Wolfgang U. Dressler, editors, *Word knowledge and word usage: A cross-disciplinary guide to the mental lexicon*. De Gruyter, Berlin, pages 295-335.
- Rainer, Franz. 2016. Blocking. *Oxford Research Encyclopedia of Linguistics*. Retrieved 19 Sep. 2023, from <https://oxfordre.com/linguistics/view/10.1093/acrefore/9780199384655.001.0001/acrefore-9780199384655-e-33>.
- Rawski, Jon, and Lucie Baumont. 2023. Modern Language Models Refute Nothing, [lingbuzz/007203](https://lingbuzz/007203).
- Ryan, Kevin M. 2010. Variable affix order: Grammar and learning. *Language* 86: 758-91.
- Sauerland, Uli. 2023. The Impact of Large Language Models on Linguistic Theory and Generative Grammar: A Critical Analysis, [lingbuzz/007217](https://lingbuzz/007217).
- Sennrich, Rico, Barry Haddow, and Alexandra Birch. 2016. Neural Machine Translation of Rare Words with Subword Units, [arXiv:1508.07909v5](https://arxiv.org/abs/1508.07909v5) [cs.CL]
- Selkirk, Elisabeth. 1982. *The Syntax of Words*. MIT Press, Cambridge, Ma.
- Siegel, Dorothy. 1974. *Topics in English Morphology*. MIT Press, Cambridge, Ma.
- Spencer, A. 1991. *Morphological Theory*. Oxford: Blackwell Publishers.
- Stump, Gregory. 2001. *Inflectional morphology: A theory of paradigm structure*. Cambridge University Press, Cambridge.
- Stump, Gregory. 2016. *Inflectional paradigms: Content and form at the syntax-morphology interface*. Cambridge University Press, Cambridge.
- Stump, Gregory, and Raphael A. Finkel. 2013. *Morphological Typology: From Word to Paradigm*. Cambridge University Press, Cambridge.
- Szymanek, Bogdan. 2000. On morphotactics: Closing morphemes in English. In Bożena Rozwadowska, editor, *PASE Papers in Language Studies*, Aksel, Wrocław, pages 311-320.
- Szymanek, Bogdan. 2010. *A panorama of Polish word-formation*. Wydawnictwo KUL, Lublin.
- Ševčíková, Magda, and Zdeněk Žabokrtský. 2014. Word-formation network for Czech. In Nicoletta Calzolari et al., editors, *Proceedings of the 9th International Language Resources and Evaluation Conference (LREC 2014)*. ELRA, Paris, pages 1087-1093, [http://www.lrec-conf.org/proceedings/lrec2014/pdf/501\\_Paper.pdf](http://www.lrec-conf.org/proceedings/lrec2014/pdf/501_Paper.pdf).